MAT-550 Schul

Midterm

First Name:

Last Name:

Stony Brook ID: \_\_\_\_\_

Signature:

Write coherent mathematical statements and show your work on all problems. If you use a theorem from the book, you must fully state it. If you give an example/construction then you must prove it is such. Please write clearly.

## Rules.

- 1. Start when told to; stop when told to.
- 2. No notes, books, etc,...
- 3. Turn OFF all unauthorized electronic devices (for example, your cell phone).

1 (30 pts)	2 (10 pts)	3 (10 pts)	4 (10 pts)	5 (10 pts)

DO quesion number 1 (FIVE of the SIX parts). Choose 3 out of the 4 questions numbered 2-5. 1. (Do FIVE of the SIX parts of QUESTION 1. Each part is worth 6 points) (A.) Suppose that  $f \in C[0, 1]$  is a real valued continuous function on [0, 1], such that

$$\int_0^1 x^n f(x) dx = 0$$

for all nonnegative integers n. Show that f(x) = 0 for all  $x \in [0, 1]$ ?

[Answer sketch: Apply Stone-Weierstrass (or Weierstrass) to get that  $f = \lim p_n$ where  $p_n$  is a polynomial and the limit is uniform. One can argue that

$$\int f^2 = \lim \int p_n \cdot f = 0$$

]

(B.) State the Open Mapping Theorem

(C.) State the Closed Graph Theorem

(D.) Define Weak  $L^p$ 

(E) Give an example of a function f which is Weak  $L^3$ , but  $f \notin L^3$ .

[Answer sketch: Take  $f(x) = \frac{1}{x^{\frac{1}{3}}}$ , defined on  $[1, \infty]$  and Lebesgue measure. Then  $f \notin L^3$  since  $\int_1^\infty x^{-1} dx = \infty$ . However

$$\mu\{x \ge 1 : f(x) > \alpha\} = \mu\{x \ge 1 : x < \alpha^{-3}\} < \alpha^{-3}.$$

]

(F) State one of the two interpolation theorems we learned in class

## 2. (10 points)

Suppose X is a Banach space. Show that if  $X^*$  is separable, then X is separable.

[Answer sketch: Let  $\{f_n\}_1^\infty \subset X^*$  be dense in  $X^*$ . Let  $x_n$  be such that  $||x_n|| = 1$ and  $|f_n(x_n)| \geq \frac{1}{2} ||f_n||$ . Let  $V = \operatorname{spn}\{x_1, x_2, \ldots\}$ . If the closure of V,  $\bar{V}$ , satisfies  $\bar{V} = X$  then we are done. Otherwise, let  $v \in X \setminus \bar{V}$ . By an application of Hahn-Banach (specifically, Thm 5.8) we have that there is  $f \in X^*$  with f(v) > 0 and  $f|_{\bar{V}} = 0$ . In fact, we may assume ||f|| = 1 WLOG. Take  $f_n$  such that  $|f - f_n| < \frac{1}{5}$ . Then,  $||f_n|| > \frac{4}{5}$ . We have

$$\frac{2}{5} \le \frac{1}{2} ||f_n|| \le |f_n(x_n)| = |f_n(x_n) - f(x_n)| \le \frac{1}{5}$$

which is a contradiction.]

- 3. (10 points) (You may use the open mapping theorem below.)
  - (a) Prove the Closed Graph Theorem

(b) Let  $\ell^p(\mathbb{N}, \mathbb{R}) := \{(x_1, x_2, ...) : x_i \in \mathbb{R}, \sum x_i^p < \infty\}$  and for  $x \in \ell^p(\mathbb{N}, \mathbb{R})$ , set  $\|x\|_p = (\sum |x_i|^p)^{\frac{1}{p}}$ . Note that for  $x \in \ell^1(\mathbb{N}, \mathbb{R})$  we have  $\|x\|_7 \leq \|x\|_1$ . Is it true that there is a C > 0 such that  $\|x\|_1 \leq C \|x\|_7$  for all  $x \in \ell^1(\mathbb{N}, \mathbb{R})$ ?

[Answer sketch: (b) First note that  $\ell^1(\mathbb{N},\mathbb{R}) \subset \ell^7(\mathbb{N},\mathbb{R})$ . Now, One could try to argue using the identity map

$$i: (\ell^1(\mathbb{N}, \mathbb{R}), \|\cdot\|_1) \to (\ell^1(\mathbb{N}, \mathbb{R}), \|\cdot\|_7)$$

which is bounded, and thus **seems** open (by the Open Mapping Thm). BUT THIS IS WRONG, since  $(\ell^1(\mathbb{N}, \mathbb{R}), \|\cdot\|_7)$  is not complete, as can be seen by taking  $a^n \in \ell^1(\mathbb{N}, \mathbb{R})$  given by  $a^n = (1, \frac{1}{2}, ..., \frac{1}{n}, 0, ..., 0, ...)$  and noting that  $a^n$  is Cauchy with  $\|\cdot\|_7$ , but has no limit (any limit would also be an  $\ell^7(\mathbb{N}, \mathbb{R})$  limit, which we know is unique and is given by  $(1, \frac{1}{2}, ..., \frac{1}{n}, ...)$ .

More directly, if one had the inequlaity  $||x||_1 \leq C||x||_7$ , then any  $\ell^7(\mathbb{N}, \mathbb{R})$  sequence would be in  $\ell^1(\mathbb{N}, \mathbb{R})$ , but this is false since  $(1, \frac{1}{2}, ..., \frac{1}{n}, ...) \in \ell^7$  and not in  $\ell^1(\mathbb{N}, \mathbb{R})$ .

4. (10 points)

Let  $F : \mathbb{R}^2 \to \mathbb{R}^2$  be a Lipschitz vector field. Suppose also that the maximal integral curves of this (time-independent) vector field are defined on the entire real line, i.e.  $\Phi_F^t : \mathbb{R}^2 \to \mathbb{R}^2$  is defined for all  $t \in \mathbb{R}$ . Show that there is a constant K > 0 such that

 $\|\Phi_F^t(x) - \Phi_F^t(y)\| \le e^{Kt} \|x - y\|.$ 

[Answer sketch: repeat proof of Lemma 6.3 in the notes with K being the Lipschitz constant for F.]

5. (10 points)

Let  $\epsilon > 0$  be given. Let  $f : [0,2] \to \mathbb{R}$  be continuous. Show that there is a polynomial p such that p(k) = f(k) for  $k \in \{0,1,2\}$  and for all  $x \in [0,2]$  we have  $|f(x) - p(x)| < \epsilon$ .

[Answer sketch: Let

$$a_0(x) = (x-1)(x-2)/2$$
  
 $a_1(x) = -x(x-2)$   
 $a_2(x) = x(x-1)/2.$ 

So for integers  $k, n \in \{0, 1, 2\}$ , we have  $a_n(k) = 1$  iff k = n and zero otherwise. Also note that  $|a_n(x)| \leq 2$  for all  $x \in [0, 2]$ .

Approximate f by a polynomial q such that  $||f - q||_u < \epsilon/10$  (using Stone-Weierstrass). Let  $\delta_k = f(k) - q(k)$ , so that  $|\delta_k| < \epsilon/10$ . Then set

$$p(x) = q(x) + \delta_0 a_0(x) + \delta_1 a_1(x) + \delta_2 a_2(x).$$

We have that p is a polynomial, and p agrees with f at  $\{0, 1, 2\}$ . We also have that

$$||f - p||_u \le ||f - q||_u + (\sup_k ||a_k||_u) \sum_k |\delta_k| \le (1 + 2 \times 3)\epsilon/10 < \epsilon$$

Note: a similar argument can be used for any fixed number of points in a closed interval...