

**COMPLEX ANALYSIS-II  
HOMEWORK: HINTS, COMMENTS,  
AND FURTHER PROBLEMS**

M. LYUBICH

HW1

*1. Cross-ratios and symmetries of the four-punctured spheres*

To verify invariance of the cross-ratios under the Möbius group, it is enough to check that the affine maps  $z \mapsto az + b$  and the map  $z \mapsto 1/z$  preserve the cross-ratios.

Given a tuple  $\mathbf{z} = (z_1 z_2 z_3 z_4)$ , consider the Möbius transformation

$$M_{\mathbf{z}} : z \mapsto \frac{(z - z_2)(z_3 - z_4)}{(z - z_4)(z_3 - z_2)}$$

that maps  $\mathbf{z}$  to  $(\tau 0 1 \infty)$  with  $\tau = [z_1 z_2 z_3 z_4]$ . If the tuples  $\mathbf{z}$  and  $\boldsymbol{\zeta}$  have the same cross-ratios, then consider  $M_{\boldsymbol{\zeta}}^{-1} \circ M_{\mathbf{z}}$ .

For tuples  $(z_1 z_2 z_3 \infty)$ , the cross-ratio becomes a simple *ratio*

$$\frac{z_1 - z_2}{z_3 - z_2}.$$

Letting  $(z_1 z_2 z_3)$  be various six permutations of  $(\tau 0 1)$ , we obtain six listed values of the cross-ratio.

Any permutation of  $(0 1, \infty)$  is induced by a unique Möbius transformation (which are exactly six listed transformations). This gives a natural isomorphism between the group of symmetries of  $\mathbb{C} \setminus \{0, 1\}$  and  $S_3$ .

*Show that this action is conjugate to the group of symmetries of the equilateral triangle in the Euclidean sphere  $S^2 \subset \mathbb{R}^3$ . [That is, the group of Euclidean rotations of  $S^2$  preserving the triangle.]*

The quotient of  $\mathbb{C} \setminus \{0, 1\}$  modulo this action is the plane. This can be seen in several ways:

- Compactify  $\mathbb{C} \setminus \{0, 1\}$  to the Riemann sphere and show, by the Riemann-Hurwitz formula, that  $\chi(\hat{\mathbb{C}}/S_3) = 2$ . Then use the Uniformization Theorem (which is certainly a heavy artillery for this matter).

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- Instead, one can get away with the Riemann Mapping Theorem only. Consider the triangle  $\Delta$  bounded by the real interval  $[0, 1/2]$ , vertical interval  $[1/2, e^{i\pi/3}]$  and the arc of the circle  $\{|z - 1| = 1\}$ . Show that  $\Delta \cup \bar{\Delta}$  is a fundamental domain for our group (draw the whole tiling of the sphere). Map  $\Delta$  to the upper half-plane by the Riemann Mapping, and extend it to the whole sphere by means of the Schwarz Reflections. We obtain a degree six rational map  $\phi : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$  automorphic with respect to the action of  $S_3$ . It can be identified with the quotient map  $\hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}/S_3$ .
- You can also try to find an *explicit* algebraic formula for  $\phi$ .

Any permutation  $\sigma \in S_4$  transforms the cross-ratio as described, which induces an action  $\rho : S_4 \rightarrow S_3$  on  $\mathbb{C} \setminus \{0, 1\}$  by Möbius transformations. The kernel of this action is the *Klein group*  $K = \mathbb{Z}_2 \times \mathbb{Z}_2$ : it preserves the cross ratio of *any* tuple  $\mathbf{z} = (z_1, \dots, z_4)$ . This means that all  $\sigma \in K$  are induced by Möbius automorphisms of  $\mathbb{C} \setminus \{z, \dots, z_4\}$ . So,  $K$  is contained in the symmetry group of any configuration of four points. *Realize it as a group of rotations preserving four points* (compare with Problem 1 in HW3).

In general, the symmetry group of a configuration  $\{z_1, \dots, z_4\}$  projects onto the stabilizer of  $\tau$  in  $S_3$ . Hence configurations with extra symmetries (up to conformal equivalence) correspond to the fixed points of  $S_3$  acting on  $\mathbb{C} \setminus \{0, 1\}$  (or rather, to the orbits of these pts). Thus, there are exactly two special configurations: the orbit  $\{1/2, 2, 1\}$  corresponds to the configuration with symmetry group  $D_8$  (the index 2 extension of  $K$ ), while  $e^{\pm i\pi/3}$  corresponds to the one with symmetry group  $A_4$  (the index 3 extension of  $K$ ). *Realize these groups as rotation groups of the sphere.*

## 2. Holomorphic index formula and fixed points of rational functions.

In the local coordinate  $\zeta = 1/z$  near  $\infty$ , the differential

$$\omega = \sum_{n=N}^{-\infty} a_n z^n dz$$

assumes the form

$$(0.1) \quad - \sum_{n=N}^{-\infty} a_n \zeta^{-(n+2)} d\zeta = - \sum_{m=-(N+2)}^{\infty} a_{-(m+2)} \zeta^m d\zeta.$$

So,  $\omega$  has pole of order  $N + 2$  at  $\infty$  with residue  $-a_{-1}$ .

If  $\omega$  is holomorphic in  $\mathbb{C}$  then it has a form  $\phi(z)dz$  where  $\phi$  is an entire function. But then  $\omega$  has a singularity at  $\infty$ . (which is a pole iff  $\phi$  is a polynomial). Moreover, by (0.1), this pole has order at least 2.

Take a circle  $\gamma$  surrounding all finite poles. Then

$$\sum_{\text{finite poles}} \text{Res}_a \omega = \frac{1}{2\pi i} \int_{\gamma} \omega = -\text{Res}_{\infty} \omega$$

(the “-” sign appeared because  $\gamma$  viewed from  $\infty$  is negatively oriented). So the sum of the residues over all poles (including  $\infty$ ) vanishes.

In fact,

$$(0.2) \quad \sum_{\text{poles}} \text{Res}_a \omega = 0.$$

is true for any meromorphic differential  $\omega$  on any closed Riemann surface  $S$ . To see it, let us surround the poles  $a_i \in S$  with small circles  $\gamma_i$ , and let  $\Omega = S \setminus \cup D_i$  be the complement of the corresponding disks  $D_i$  (bounded by the  $\gamma_i$ ). Then  $\omega$  is holomorphic at hence closed in  $\Omega$ . By Stokes Theorem,

$$\sum \int_{\gamma_i} \omega = - \int_{\Omega} d\omega = 0.$$

(Here  $\gamma$  is negatively oriented as viewed from  $\Omega$ .) But (by definition)

$$\frac{1}{2\pi i} \int_{\gamma_i} \omega = \text{Res}_{a_i} \omega,$$

and (0.2) follows.

Note that (0.2) implies in this generality that  $\omega$  cannot have a single simple pole.

If we conjugate  $f$  by a Möbius transformation  $\phi : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ , we obtain a degree  $d$  rational function  $g = \phi \circ f \circ \phi^{-1}$  with  $\text{Fix}(g) = \phi(\text{Fix}(f))$ . So, without loss of generality we can assume that  $\infty$  is not a fixed point of  $f$ , i.e.,  $\deg P \leq \deg Q = d$ , where  $f = P/Q$ . Then  $P(z) - zQ(z) = 0$  is a polynomial of degree  $d + 1$ , which gives us  $d + 1$  fixed pts.

*Remark 0.1.* A fixed point is *multiple* if is a multiple root of the equation  $f(z) - z = 0$ . In this case, the multiplier  $\lambda$  is equal to 1 (not 0!).

Also, with this normalization,  $\infty$  is a simple pole for

$$\omega := \frac{dz}{f(z) - z}$$

with residue 1. Finite poles of  $\omega$  are the fixed pts of  $f$ ; their residues are  $1/(\lambda - 1)$ . Applying (0.2), we obtain the Holomorphic Index Formula.

Let  $z' = f(z)$  be a germ of analytic map near a fixed point  $a$ , and  $\zeta = h(z)$  be another local coordinate. “To write  $f$  in this local chart” (respecting the notion of a fixed point) means to substitute  $z$  with  $\zeta$  in *both* the source and the target, i.e., to let  $z = h^{-1}(\zeta)$  and  $z' = h^{-1}(\zeta')$ . Then  $\zeta' = g(\zeta)$ , where  $g = h \circ f \circ h^{-1}$ . Moreover,  $b := h(a)$  is the corresponding fixed point for  $g$ . Differentiating the equation  $g \circ h = h \circ f$  at  $a$ , we get:

$$g'(b) h'(a) = h'(a) f'(a),$$

which implies invariance of the multiplier.

If  $\lambda \in \mathbb{D} \setminus \{1\}$  then  $\operatorname{Re} 1/(\lambda - 1) \leq -1/2$ . So, if  $d \geq 2$  and all fixed pts have multipliers in  $\mathbb{D} \setminus \{1\}$ , then

$$\operatorname{Re} \sum \frac{1}{\lambda - 1} \leq -\frac{3}{2}$$

contradicting the Holomorphic Index Formula.

### 3. Weierstrass $\mathcal{P}$ -function

Tile the plane with annuli  $A_n = \{n - 1 < |z| \leq n\}$ . The number of lattice points in  $A_n$  is  $O(n)$  while each term of the series  $E_3$  is bounded by  $n^{-3}$ . Hence the series is majorated by the convergent series

$$\sum \frac{1}{n^2}.$$

The Weierstrass  $\mathcal{P}$ -function  $\mathbb{T}^2 \rightarrow \hat{\mathbb{C}}$  has degree two since 0 is its only pole, and it has order two. It is a degree two Galois branched covering with the  $\mathbb{Z}_2$  Galois group generated by the reflection  $z \mapsto -z$ . The fixed points of this reflection (0,  $\omega_1/2$ ,  $\omega_2/2$ , and  $(\omega_1 + \omega_2)/2$ ) are the critical pts of  $\mathcal{P}$ . The corresponding critical values are  $\infty$  and the  $e_i$ , the roots of  $z^3 - g_2z - g_3$ .

## HW2

### 2. Annuli.

*Uniqueness* of the closed hyperbolic geodesic can be seen as follows: Any closed hyperbolic geodesic lifts to a geodesic in  $\mathbb{H}$  invariant under  $\gamma_\lambda$ , and there is only one such geodesic. Its length is equal to

$$h = |\log \lambda|.$$

There are several way of seeing that  $\operatorname{mod} C_h$  is a conformal invariant:

1) Since conformal maps are hyperbolic isometries, they preserve the hyperbolic length  $h$  of the closed geodesic, and hence preserve  $\operatorname{mod} C_h = \pi/h$ .

2) A conformal equivalence between the annuli lifts to a Möbius transformation  $A : \mathbb{H} \rightarrow \mathbb{H}$  conjugating the deck transformations  $\gamma_{\lambda_1}$  and  $\gamma_{\lambda_2}$  (first on  $\mathbb{H}$ , and then, by analytic continuation, on the whole  $\hat{\mathbb{C}}$ ). Each of these transformations has two fixed points, one attracting and one repelling, with multipliers  $\lambda_i$  and  $1/\lambda_i$ . Under the conjugacy, the attracting fixed pt is mapped to the corresponding attracting one. Since conformal conjugacies preserve multipliers of fixed pts, we conclude that  $\lambda_1 = \lambda_2$  or  $\lambda_1 = 1/\lambda_2$ .

3) Working with the round annuli, assume  $\phi : A \rightarrow A'$  is a conformal isomorphism. Use the Schwarz Reflection and Removability of Isolated Singularities to extend it to a linear map  $z \mapsto \rho z$ .

### 3. The punctured disk.

The exponential map  $z \mapsto e^{2\pi i z}$  provides a covering  $\pi : \mathbb{H} \rightarrow \mathbb{D}^*$  with the deck group generated by the parabolic Möbius transformation  $z \mapsto z + 1$ . The hyperbolic metric on  $\mathbb{H}$  pushes down to the hyperbolic metric on  $\mathbb{D}^*$ :

$$d\rho = -\frac{|dz|}{|z| \log |z|}$$

The horoballs have infinite diameter but *finite* area. It can be calculated in  $\mathbb{D}^*$  using the above expression, or directly in the upper half-plane as

$$\int_0^1 \int_t^\infty \frac{dx dy}{y^2}, \quad t > 0.$$

A closed geodesic in  $\mathbb{D}^*$  would lift to a geodesic in  $\mathbb{H}$  invariant under the translation  $z \mapsto z + 1$ , and there are no such.

Here are several ways of seeing that  $\mathbb{D}^*$  is not conformally equivalent to an annulus:

- 1) One has a closed geodesic, the other one does not.
- 2) Otherwise a hyperbolic deck transformation  $z \mapsto \lambda z$  would be conjugate to the parabolic one  $z \mapsto z + 1$  by a Möbius map. But they are not as one has two fixed points, while the other one has only one (or, you can use again invariance of the multiplier).
- 3) By Removability of isolated singularities, a conformal equivalence  $\phi : \mathbb{D}^* \rightarrow A$  would extend through 0. Then  $\phi(0) \in \partial A$ , and a neighborhood  $U$  of 0 would be mapped onto a neighborhood of  $\phi(0)$ , so it would not fit inside  $A$ .

Any hyperbolic Riemann surface with  $\pi_1 = \mathbb{Z}$  is a quotient of  $\mathbb{H}$  by a cyclic group  $\langle T \rangle$ . If  $T$  is hyperbolic, we obtain an annulus, if it is parabolic, we obtain  $\mathbb{D}^*$ .

However there is also a *parabolic* Riemann surface diffeomorphic to the annulus, namely  $\mathbb{C}^*$ . [*Prove that it is not conformally equivalent to the above.*]

### 3. Hyperelliptic surfaces.

The Riemann surface  $S$  is obtained by gluing together two sheets with  $k$  slits where  $k = \lfloor (d+1)/2 \rfloor$ . For even degree  $d$ , these slits connect pairs of the roots. In this case,  $S$  is unbranched over  $\infty$ . For odd degree, one of the slits goes to  $\infty$ , and  $S$  has a branched pt over there.

Each sheet is a sphere with  $k$  holes. Gluing together the boundaries of two holes, we obtain a sphere with  $2(k-1)$  holes. Gluing together a pair of the other holes amounts to adding a handle to the sphere, so we obtain a surface of genus  $g = k - 1$ .

In the even case, the local coordinate on each of the two sheets near  $\infty$  is  $\zeta = 1/z$ , and  $\omega \sim \zeta^{k-2} d\zeta$  on each sheet. So,  $\omega$  has two roots of order  $k - 2$ .

In the odd case, the local coordinate is  $\zeta = 1/\sqrt{z}$ , and  $\omega \sim \zeta^{d-3} d\zeta$ . So, it has one root of order  $d - 3 = 2k - 4$ .

In either case, the total number of roots is equal to  $2k - 4 = -\chi(S)$ , as it should be.

In the case of degree 5 polynomial with real roots, the Abelian integral  $\int \omega$  maps each half-plane onto a hexagon with 5 angles  $\pi/2$  and one angle  $3\pi/2$  (corresponding to  $\infty$ ). Gluing together two symmetric hexagons, we obtain one sheet of  $S$ . As  $S$  is obtained by gluing together two sheets, we need four hexagons. [*Explore carefully combinatorics of the gluing!*]

This flat structure has one cone point at  $\infty$  with angle  $4 \times 3\pi/2 = 6\pi$ . [So, the curvature at this point is  $K = 2\pi - 6\pi = -4\pi = 2\pi \chi(S)$ , as it should be according to Gauss-Bonnet.]

## HW3

### 3. Finite subgroups of $\mathrm{PSL}(2, \mathbb{C})$ .

Any finite subgroup  $G$  of  $\mathrm{GL}(2, \mathbb{C})$  can be realized as a subgroup of  $\mathrm{SU}(2)$ . Indeed, take any Hermitian product  $\langle x, y \rangle$  in  $\mathbb{C}^2$ , and average it over the group:

$$(x, y) = \frac{1}{|G|} \sum_{g \in G} \langle gx, gy \rangle .$$

We obtain a  $G$ -invariant Hermitian product. In the orthonormal basis, it assumes the standard form

$$(x, y) = z_1 \bar{\zeta}_1 + z_2 \bar{\zeta}_2,$$

and  $G$  becomes a finite subgroup of unitary matrices. It follows that finite subgroups of  $\text{PSL}(2, \mathbb{C})$  can be realized as subgroups of  $\text{PSU}(2) \approx \text{SO}(3)$  (the group of rotations of  $S^2$ ).

For instance, in HW1

- The group  $S_3$  of Möbius automorphisms of  $\mathbb{C} \setminus \{0, 1\}$  can be realized as the group of rotations preserving the equilateral triangle;
- The Klein group  $\mathbb{Z}^2 \times \mathbb{Z}^2$  of symmetries of a  $\mathbb{C}$  with three punctures can be realized as a group of rotation preserving a rectangle or a special tetrahedron (*which one?*);
- The dihedral group  $D_8$  is the group of rotations preserving the square;
- The alternating group  $A_4$  is the group of rotations of the right tetrahedron.

Other two examples are provided by groups of rotations of other four platonic bodies and by groups of rotations of right  $n$ -gones.

*Is this the full list?*

## 2. Cosine.

Here is three ways to identify the quotient  $S := \mathbb{C}/\Gamma$  (where  $\Gamma$  is the dihedral group) (of course, the first one requires much more machinery than the second, while the last one is elementary):

- 1)  $S$  can be obtained by gluing two copies of the half-strip

$$\Pi = \{0 \leq x \leq 1/2, y \geq 0\}$$

along the boundary. It is clearly a topological plane.

The complex structure can be pushed forward from  $\mathbb{C}$  to  $S$  (never mind  $\Gamma$  has fixed pts). By the Uniformization Theorem, it leaves for  $S$  two options:  $\mathbb{C}$  or  $\mathbb{D}$ . But  $\mathbb{C}/\Gamma$  is a cusp near  $+i\infty$ , so  $S$  can be compactified to the Riemann sphere. Hence it is  $\mathbb{C}$ .

- 2) Consider the Riemann mapping  $\phi : \Pi \rightarrow \mathbb{H}$  and extend it to the whole complex plane by means of the Schwarz reflection.

- 3) Since  $\cos : \mathbb{C} \rightarrow \mathbb{C}$  has exactly the same group of symmetries, it can be identified with the quotient in question. (Of course, the above Riemann mapping  $\phi$ , if appropriately normalized, is just  $\cos$  restricted to  $\Pi$ .)

Since the  $T_n : z \mapsto nz$  maps the lattice  $\mathbb{Z}$  into itself and commutes with the involution  $z \mapsto -z$ , it descends to a continuous map  $P_n : S \rightarrow S$ . It is obviously holomorphic outside the critical values of  $\cos$ . At the critical values  $P_n$  is holomorphic by Removability of isolated singularities (or by using explicitly the local coordinate  $\sqrt{z}$  on  $S$ ). Hence it becomes a polynomial  $P_n : \mathbb{C} \rightarrow \mathbb{C}$  (once  $S$  is identified with  $\mathbb{C}$ ).

The  $P_n$  are called *Chebyshev polynomials*.

The critical values of  $P_n \circ \cos$  are equal to the critical values of  $\cos 2\pi n z$ , which are  $\pm 1$ . Hence the critical values of  $P_n$  are contained in  $\{\pm 1\}$ . However, for  $n = 2$ , there is only one critical value,  $-1$ .

Explicitly, the  $P_n$  can be found from the Moivre formula:

$$\cos n z = \Re(\cos z + i \sin z)^n.$$

#### HW4

##### 3. Schwarzian derivative.

To verify the chain rule, it is convenient to normalize both  $f$  and  $g$  (by composing with translations) so that  $z = 0$ , and  $f(0) = g(0) = 0$ , and then further bring  $f$  to the normal form

$$f(z) = z + \frac{Sf(0)}{6}z$$

by post-composing it with a Möbius transformation.

*Solutions of non-linear equation can blow up in finite time!* (Consider  $z' = z^2$ .) So, even though the Schwarzian equation  $Sf = g$  has local solutions in the domain of analyticity of  $g$ , it is not obvious that it can be extended along any path. However, *linear equations can always be solved globally*, so the relation of the  $S$ -equation to the linear equation saves the day.

A fundamental system of solutions for the linear equation  $z'' + \frac{c}{2}z = 0$  is  $\sin \omega z$  and  $\cos \omega z$  (with  $\omega = \sqrt{c/2}$ ). Hence the general solution of  $Sf = c$  is

$$f(z) = \frac{a \operatorname{tg} \omega z + b}{c \operatorname{tg} \omega z + d}.$$

##### 3. Hyperbolic metric on plane domains.

We know explicitly the universal covering  $\lambda : \mathbb{D} \rightarrow \mathbb{C} \setminus \{0, 1\}$ : It is a modular function whose group of deck transformations is the congruence subgroup  $\Gamma(2)$ . The latter is generated by  $T : z \mapsto z + 2$  and  $S : z \mapsto \frac{z}{2z + 1}$ . Moreover, the upper half-plane  $\mathbb{H}_1 = \{\operatorname{Im} z > 1\}$  is *completely invariant* under the cyclic group  $\langle T \rangle$ , meaning that  $T^{\pm 1}(\mathbb{H}_1) = \mathbb{H}_1$ , while  $R(\mathbb{H}_1) \cap \mathbb{H}_1 = \emptyset$  for any  $R \neq T^n$ ,  $n \in \mathbb{Z}$ . It follows that  $\lambda(\mathbb{H}_1) \approx \mathbb{H}_1 / \langle T \rangle \approx \mathbb{D}^*$ . Hence the hyperbolic metric on  $\lambda(\mathbb{H}_1)$  behaves as the one on the punctured disk.

The second part follows from the Schwarz Lemma applied to the embedding  $U \rightarrow \hat{\mathbb{C}} \setminus \{a, b, c\}$ , where  $a$ ,  $b$  and  $c$  are three pts in the complement of  $U$ .

## HW6

3. Meromorphic functions on  $E$ .

If  $f$  is even then  $f(z)$  depends only on  $\mathcal{P}(z)$ , so  $f(z) = R(\mathcal{P}(z))$  for some function  $R : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ . This function is continuous everywhere and meromorphic outside the critical values of  $\mathcal{P}$ . By removability of singularities, it is meromorphic everywhere.

If  $f$  is odd then  $f/\mathcal{P}'$  is even, and the previous applies.

## HW7

## 3. Symmetries of hyperbolic Riemann surfaces.

Since automorphisms of hyperbolic Riemann surfaces are hyperbolic isometries, they form an equicontinuous family of maps  $S \rightarrow S$ . This implies compactness (even in the uniform topology). [Hyperbolicity is important here: the result is false for  $S = \hat{\mathbb{C}}$ .]

Any automorphism  $A : S \rightarrow S$  lifts to the universal covering giving a Möbius transformation  $\tilde{A} : \mathbb{H} \rightarrow \mathbb{H}$ . Moreover, for any deck transformation  $\gamma \in \Gamma \approx \pi_1(S)$ , we have:

$$\tilde{A} \circ \gamma \circ \tilde{A}^{-1} = A_*(\gamma),$$

where  $A_*$  is an automorphism induced in  $\pi_1$ . If  $A$  is homotopic to id then  $A_*$  is an inner automorphism, which can be normalized to be id. Then  $\tilde{A}$  commutes with  $\Gamma$ .

Any element  $\gamma \in \Gamma$  is hyperbolic (since  $S$  does not have cusps) and hence has two fixed points. As  $\tilde{A}$  commutes with  $\gamma$ , it either fixes or permutes these pts. Since  $\tilde{A}$  is equivariantly homotopic to id, it must fix the pts.

Since  $\Gamma$  is not cyclic, there are two elements in it that do not share the fixed points. So,  $\tilde{A}$  fixes at least three points and hence  $\tilde{A} = \text{id}$ .

It follows that  $\text{Aut}(S)$  is discrete and hence finite.