

18.725 PROBLEM SET 4

Due date: Friday, October 15 in lecture. Late work will be accepted only with a medical note or for another Institute-approved reason. You are strongly encouraged to work with others, but the final write-up should be entirely your own and based on your own understanding.

Read through all the problems. Write solutions to the “Required Problems”, 1, 2, 3, and 4, together with 2 others of your choice to a total of 6 problems. The last 5 problems on this problem set are taken from Problem Set 2 (the solutions to these problems were not given). You can use them for the non-required problems only if you did not use them for Problem Set 2.

Required Problem 1: Let F be an element of $k[X_0, \dots, X_n]_e$. Prove the *Euler identity*,

$$e \cdot F(X_0, \dots, X_n) = X_0 \frac{\partial F}{\partial X_0} + \dots + X_n \frac{\partial F}{\partial X_n}.$$

Remark: This isn’t a proof, but to see where this identity comes from, differentiate with respect to t both sides of the identity,

$$t^e F(X) = F(tX).$$

Solution: By linearity, it suffices to prove the case when F is a monomial $X^e = X_0^{e_0} \dots X_n^{e_n}$. For every $i = 0, \dots, n$, $\partial F / \partial X_i = e_i F / X_i$ so that $X_i (\partial F / \partial X_i) = e_i F$. Therefore $X_0 (\partial F / \partial X_0) + \dots + X_n (\partial F / \partial X_n) = e_0 F + \dots + e_n F = (e_0 + \dots + e_n) F = e F$.

Required Problem 2: Let X_0, X_1, X_2 be homogeneous coordinates on \mathbb{P}_k^2 . Let $(\mathbb{P}_k^2)^\vee$ be a copy of \mathbb{P}_k^2 with homogeneous coordinates Y_0, Y_1, Y_2 . Denote by $(\mathbb{P}_k^2 \times (\mathbb{P}_k^2)^\vee, \pi_1, \pi_2)$ a product of $(\mathbb{P}_k^2, (\mathbb{P}_k^2)^\vee)$. Define $\Lambda \subset \mathbb{P}_k^2 \times (\mathbb{P}_k^2)^\vee$ to be,

$$\{([a_0, a_1, a_2], [b_0, b_1, b_2]) \mid a_0 b_0 + a_1 b_1 + a_2 b_2 = 0\}.$$

A *projective line* in \mathbb{P}_k^2 is $\mathbb{V}(s)$ for any nonzero $s \in k[X_0, X_1, X_2]_1$.

(a) Prove there is a bijection between $(\mathbb{P}_k^2)^\vee$ and the set of lines in \mathbb{P}_k^2 defined by $q \in (\mathbb{P}_k^2)^\vee \mapsto \pi_1(\Lambda \cap \pi_2^{-1}(q))$.

Solution: Every nonzero element $s \in k[X_0, X_1, X_2]_1$ is of the form $b_0 X_0 + b_1 X_1 + b_2 X_2$, thus $\mathbb{V}(s) = \pi_1(\Lambda \cap \pi_2^{-1}(q))$ for $q = [b_0, b_1, b_2]$. If $q = [b_0, b_1, b_2]$ and $r = [c_0, c_1, c_2]$ are such that $\pi_1(\Lambda \cap \pi_2^{-1}(q)) = \pi_1(\Lambda \cap \pi_2^{-1}(r))$, then by the projective ideal variety correspondence $\langle b_0 X_0 + b_1 X_1 + b_2 X_2 \rangle = \langle c_0 X_0 + c_1 X_1 + c_2 X_2 \rangle$, from which it easily follows that $[b_0, b_1, b_2] = [c_0, c_1, c_2]$ as elements of $(\mathbb{P}_k^2)^\vee$.

(b) Let $F \in k[X_0, X_1, X_2]_e$ be an irreducible polynomial. Denote $C = \mathbb{V}(F) \subset \mathbb{P}_k^2$. Let $p = [a_0, a_1, a_2]$ be an element of C . A line $L \subset \mathbb{P}_k^2$ is *tangent to C at p* if $p \in L$ and the restriction of F to L has a repeated root at p . Assuming $\text{char}(k)$ does

not divide e , prove the line L associated to $[b_0, b_1, b_2] \in (\mathbb{P}_k^2)^\vee$ is tangent to C at $[a_0, a_1, a_2]$ iff the following matrix has rank 1,

$$\begin{pmatrix} (\partial F)/(\partial X_0)(a_0, a_1, a_2) & (\partial F)/(\partial X_1)(a_0, a_1, a_2) & (\partial F)/(\partial X_2)(a_0, a_1, a_2) \\ b_0 & b_1 & b_2 \end{pmatrix}.$$

(Hint: After a change of coordinates, arrange that $(a_0, a_1, a_2) = (1, 0, 0)$ and $(b_0, b_1, b_2) = (0, 0, 1)$. Combine this with the Euler identity from Problem 1.)

Solution: There is an action of \mathbf{GL}_3 on \mathbb{P}_k^2 and $(\mathbb{P}_k^2)^\vee$. For every $g \in \mathbf{GL}_3$, clearly L is tangent to C at p iff $g \cdot L$ is tangent to $g \cdot C$ at $g \cdot p$. Moreover, for $q = [b_0, b_1, b_2]$, the matrix M^g above for $g \cdot F$ and $g \cdot q$ is simply $M \cdot g^\dagger$. Thus M^g has rank 1 iff M has rank 1. So it suffices to prove the result after applying an element of \mathbf{GL}_3 . It is easy to prove that \mathbf{GL}_3 acts transitively on Λ , so assume $p = [a_0, a_1, a_2] = [1, 0, 0]$ and $q = [b_0, b_1, b_2] = [0, 0, 1]$. Then L is tangent to C at p iff $F(x_0, x_1, 0)$ has a repeated root at $(1, 0, 0)$, i.e., iff $f(t) = F(1, t, 0)$ has a repeated root at $t = 0$. This is true iff $F(1, 0, 0) = 0$ and $(\partial F)/(\partial X_1)(1, 0, 0) = 0$. Because $\text{char}(k)$ does not divide e , $F(1, 0, 0) = 0$ iff $(\partial F)/(\partial X_0)(1, 0, 0) = 0$. Therefore L is tangent to C at p iff $(\partial F)/(\partial X_0)(1, 0, 0) = (\partial F)/(\partial X_1)(1, 0, 0) = 0$. This is precisely the condition that the following matrix has rank 1,

$$\begin{pmatrix} \frac{\partial F}{\partial X_0}(1, 0, 0) & \frac{\partial F}{\partial X_1}(1, 0, 0) & \frac{\partial F}{\partial X_2}(1, 0, 0) \\ 0 & 0 & 1 \end{pmatrix}.$$

(c) A line $L \subset \mathbb{P}_k^2$ is tangent to C if there exists $p \in L$ such that L is tangent to C at p . Using (b) and the universal closedness of \mathbb{P}_k^2 , prove the following subset of $(\mathbb{P}_k^2)^\vee$ is Zariski closed,

$$\{q \mid \pi_1(\Lambda \cap \pi_2^{-1}(q)) \text{ is tangent to } C\}.$$

Solution: The 2×2 -minors of the matrix from (b) are bihomogeneous in X and Y of bidegree $(e-1, 1)$. The vanishing locus is a Zariski closed subset of $(\mathbb{P}_k^2) \times (\mathbb{P}_k^2)^\vee$. So the intersection with Λ is a Zariski closed subset. By universal closedness, the image of this closed set under π_2 is a closed subset of $(\mathbb{P}_k^2)^\vee$. The set above is precisely this set.

Remark: Even if $\text{char}(k)$ does divide e , this set is closed. In (b), the condition on the matrix is not enough to guarantee that L is tangent to C at p . But the condition on the matrix together with the condition $F(a_0, a_1, a_2) = 0$ is equivalent to the condition that L is tangent to C at p , with no hypothesis on $\text{char}(k)$. These conditions define a Zariski closed subset of Λ , whose image under π_2 is a Zariski closed subset of $(\mathbb{P}_k^2)^\vee$.

Required Problem 3: Let k be an algebraically closed field and let R be a finitely generated, reduced k -algebra. Define the *max spectrum of R* , $\text{Spec}_{\max}(R)$, to be the set of k -algebra homomorphisms $\phi : R \rightarrow k$. For every element $r \in R$, there is a mapping $\tilde{r} : \text{Spec}_{\max}(R) \rightarrow \mathbb{A}_k^1 = k$ by $\tilde{r}(\phi) = \phi(r)$. Define the *Zariski topology on $\text{Spec}_{\max}(R)$* to be the weakest topology such that \tilde{r} is continuous (with respect to the Zariski topology on \mathbb{A}_k^1) for every $r \in R$. Denote by \mathcal{F} the sheaf on $\text{Spec}_{\max}(R)$ of all continuous maps from open subsets to \mathbb{A}_k^1 . Define the *structure sheaf of $\text{Spec}_{\max}(R)$* , \mathcal{O} , to be the smallest subsheaf of \mathcal{F} such that,

- (i) for every nonempty open subset $U \subset \text{Spec}_{\max}(R)$, the constant mappings are in $\mathcal{O}(U)$,

- (ii) for every open subset $U \subset \text{Spec}_{\max}(R)$ and every $g \in \mathcal{O}(U)$ that is everywhere nonzero, also $1/g \in \mathcal{O}(U)$, and
- (iii) for every $r \in R$, $\tilde{r} \in \mathcal{O}(\text{Spec}_{\max}(R))$.

(a) Prove that a basis for the topology on $\text{Spec}_{\max}(R)$ is given by the *basic open affines*, $D(r) := \{\phi : R \rightarrow k \mid \phi(r) \neq 0\}$.

Solution: The weakest topology such that all of the maps \tilde{r} is continuous is the topology with basis $\tilde{r}_1^{-1}(U_1) \cap \cdots \cap \tilde{r}_n^{-1}(U_n)$ for $r_1, \dots, r_n \in R$ and $U_1, \dots, U_n \subset \mathbb{A}_k^1$ Zariski open sets. The Zariski open subsets $U \subset \mathbb{A}_k^1$ are the sets $D(f)$ for $f \in k[x]$. So a basis for the topology consists of

$$\tilde{r}_1^{-1}(D(f_1)) \cap \cdots \cap \tilde{r}_n^{-1}(D(f_n)) = D(f_1 \circ r_1) \cap \cdots \cap D(f_n \circ r_n) = D((f_1 \circ r_1) \cdots (f_n \circ r_n)).$$

(b) Prove that for every open U , every continuous map $g : U \rightarrow \mathbb{A}_k^1$ and every point $\phi \in U$, there exists a neighborhood $\phi \in V \subset U$ such that $g|_V$ is in $\mathcal{O}(V)$ iff there exist $h, s \in R$ such that $\phi \in D(s) \subset U$ and $g|_{D(s)} = \tilde{h}/\tilde{s}$. Using Theorem 4.5, prove that for every $s \in R$, $\mathcal{O}(D(s)) \cong R[1/s]$.

Solution: By definition of \mathcal{O} , $\tilde{h}/\tilde{s} \in \mathcal{O}(D(s))$. It remains to prove that if $g|_V \in \mathcal{O}_V$, then there exist $h, s \in R$ such that $g|_{D(s)} = \tilde{h}/\tilde{s}$. Denote by \mathcal{F} the sub-presheaf of the sheaf of continuous maps to \mathbb{A}_k^1 , where

$$\mathcal{F}(U) = \{\tilde{h}/\tilde{s} \mid h, s \in R, U \subset D(s)\}.$$

By definition, \mathcal{O} is the sheafification of \mathcal{F} . As discussed in lecture, the stalk of \mathcal{O} at ϕ equals the stalk of \mathcal{F} at ϕ , as subsets of the stalk at ϕ of the sheaf of all continuous functions. So there exist $f, q \in R$ such that $\phi(s) \neq 0$ and the stalk $(g)_\phi$ equals $(\tilde{f}/\tilde{q})_\phi$. By the definition of the stalk, there exists $\phi \in V \subset U \cap D(q)$ such that $g|_V = (\tilde{f}/\tilde{q})|_V$. By (a), there exists $r \in R$ such that $\phi \in D(r) \subset V$. Define $s = rq$ and $h = rf$. Then $\phi \in D(s) \subset V$ and $g|_{D(s)} = \tilde{h}/\tilde{s}$.

By the sheaf axiom, a continuous function $g : U \rightarrow \mathbb{A}_k^1$ is in $\mathcal{O}(U)$ iff for every element $\phi \in U$ there exists $\phi \in V \subset U$ such that $g|_V \in \mathcal{O}(V)$. By the last paragraph, $g \in \mathcal{O}(U)$ iff for every $\phi \in U$, there exists $h, s \in R$ such that $\phi(s) \neq 0$ and $g|_{D(s)} = \tilde{h}/\tilde{s}$. This is precisely the same as the definition of regularity of functions on a quasi-affine algebraic set. Therefore, by exactly the same argument as in the case of affine algebraic sets, the k -algebra of regular functions on $D(s)$ is $R[1/s]$.

(c) Prove that $(\text{Spec}_{\max}(R), \mathcal{O})$ is an affine variety. **Not to be written up:** What is the universal property of this affine variety?

Solution: Let $r_1, \dots, r_n \in R$ be a finite set of generators. Define $\psi : k[x_1, \dots, x_n] \rightarrow R$ to be the k -algebra homomorphism $f(x_1, \dots, x_n) \mapsto f(r_1, \dots, r_n)$. Define $I \subset k[x_1, \dots, x_n]$ to be the kernel. Define $X = \mathbb{V}(I) \subset \mathbb{A}_k^n$ and define (X, \mathcal{O}_X) to be the associated algebraic variety. Because R is reduced, I is radical ideal, so $k[X] = k[x_1, \dots, x_n]/I$. There is an induced k -algebra homomorphism $\psi : k[X] \rightarrow R$. By the universal property of affine varieties, there exists a regular morphism $F : \text{Spec}_{\max}(R) \rightarrow X$ such that $\psi = F^*$. Of course, ψ is an isomorphism and $F(\phi) = (\phi(r_1), \dots, \phi(r_n))$. By the Weak Nullstellensatz, F is a bijection. By (a), F identifies the standard basis for the Zariski topology on $\text{Spec}_{\max}(R)$ with the standard basis for the Zariski topology on X , i.e., F is a homeomorphism. Denote by $G : X \rightarrow \text{Spec}_{\max}(R)$ the inverse homeomorphism. By (b), for every open

$U \subset \text{Spec}_{\max}(R)$, for every $\phi \in U$ and every continuous $g : U \rightarrow \mathbb{A}_k^1$, g is regular at ϕ iff $G^*(g)$ is regular at $F(\phi)$ as a function on a quasi-affine algebraic set. Hence, for every $g \in \mathcal{O}(U)$, $G^*(g) \in \mathcal{O}_X(G^{-1}(U))$, i.e., G is a regular morphism. Since F and G are inverse regular morphisms, $\text{Spec}_{\max}(R)$ is isomorphic to X , i.e., $\text{Spec}_{\max}(R)$ is an affine variety.

Using the isomorphisms F and ψ , for every SWF (T, \mathcal{O}_T) , the following set map is a bijection:

$$\begin{aligned} \text{Hom}_{\text{SWF}}((T, \mathcal{O}_T), (\text{Spec}_{\max}(R), \mathcal{O})) &\rightarrow \text{Hom}_{k\text{-alg}}(R, \mathcal{O}_T(T)), \\ (F : T \rightarrow \text{Spec}_{\max}(R)) &\mapsto (F^* : R \rightarrow \mathcal{O}_T(T)). \end{aligned}$$

Required Problem 4: Let $F : X \rightarrow Y$ be a regular morphism of affine algebraic sets.

(a) For every element $y \in Y$, denote by $\mathfrak{m}_y \subset k[Y]$ the corresponding maximal ideal. Prove there is a bijection between the elements of $F^{-1}(\{y\})$ and the maximal ideals of $k[X]/F^*(\mathfrak{m}_y)k[X]$.

Solution: There is a bijection between maximal ideals of $k[X]/F^*(\mathfrak{m}_y)k[X]$ and maximal ideals of $k[X]$ containing $F^*(\mathfrak{m}_y)k[X]$. By the Nullstellensatz, there is a bijection between the maximal ideals of $k[X]$ containing $F^*(\mathfrak{m}_y)k[X]$ and the elements of X contained in $\mathbb{V}(F^*(\mathfrak{m}_y))$. Of course $\mathbb{V}(F^*(\mathfrak{m}_y)) = F^{-1}\mathbb{V}(\mathfrak{m}_y)$, i.e., $F^{-1}(\{y\})$.

(b) If F is a finite morphism, and if $F^{-1}(\{y\})$ is empty, prove there exists $g \in k[Y]$ such that $g(y) \neq 0$ and $F^*(g) = 0$, i.e., $F^*(g) \cdot k[X] = \{0\}$. (**Hint:** Apply Nakayama's lemma to the finitely-generated $k[Y]$ -module $k[X]$.)

Solution: Denote by $I \subset k[Y]$ the ideal \mathfrak{m}_y . Denote by M the finitely-generated $k[Y]$ -module, $M = k[X]$. By hypothesis, $M/IM = \{0\}$. By Nakayama's lemma, there exists $g \in k[Y]$ such that $g \equiv 1 \pmod{I}$ and $g \cdot M = \{0\}$. Therefore, $g(y) = 1$ and $F^*(g) = \{0\}$.

(c) If F is a finite morphism, conclude that $F(X) \subset Y$ is a closed subset: if $y \in Y - F(X)$, then there exists $g \in k[Y]$ such that $y \in D(g) \subset Y - F(X)$. **Not to be written up:** Combined with Corollary 14.19, conclude that finite morphisms of algebraic varieties are universally closed.

Solution: The subset $F(X) \subset Y$ is closed iff the complement $Y - F(X)$ is open. Let $y \in Y - F(X)$. Because $F^{-1}(\{y\})$ is empty, by (b) there exists $g \in k[Y]$ such that $g(y) = 1$ and $F^*(g) = \{0\}$, i.e., $y \in D(g)$ and $F^{-1}(D(g)) = \emptyset$. Therefore $y \in D(g) \subset Y - F(X)$, proving $Y - F(X)$ is open.

Problem 5 (a): Assume $\text{char}(k) \neq 2$. Prove the subset of $(\mathbb{P}_k^2)^\vee$ parametrizing lines tangent to $C = \mathbb{V}(X_0^2 + X_1^2 + X_2^2)$ is $\mathbb{V}(Y_0^2 + Y_1^2 + Y_2^2)$. For a "general" element $p \in \mathbb{P}_k^2$, how many tangent lines to C contain p ?

(b) Let $F \in k[X_0, X_1, X_2]_e$ be an irreducible polynomial. Define $U = \mathbb{V}(F) - \mathbb{V}(\partial F/\partial X_0, \partial F/\partial X_1, \partial F/\partial X_2)$. Prove the following mapping $U \rightarrow (\mathbb{P}_k^2)^\vee$ is a regular morphism whose image is contained in the set of lines tangent to $\mathbb{V}(F)$ (this mapping is the *Gauss map*):

$$[p] \in U \mapsto [(\partial F/\partial X_0)(p), (\partial F/\partial X_1)(p), (\partial F/\partial X_2)(p)].$$

Problem 6: Let R be a finitely-generated k -algebra that is not necessarily reduced. Repeat the definition of $\text{Spec}_{\max}(R)$ and \mathcal{O}^{red} as in Problem 3 (except that, for reasons that will become clear, the sheaf is denoted \mathcal{O}^{red} instead of \mathcal{O}). Prove $(\text{Spec}_{\max}(R), \mathcal{O}^{\text{red}})$ is an affine variety, and identify the k -algebra $\mathcal{O}^{\text{red}}(\text{Spec}_{\max}(R))$.

Solution: Denote by R^{red} the reduced k -algebra of R , i.e., the quotient of R by the nilradical. Denote by $p : R \rightarrow R^{\text{red}}$ the canonical surjection. There is an induced set map $F : \text{Spec}_{\max}(R^{\text{red}}) \rightarrow \text{Spec}_{\max}(R)$, by $F(\phi) = \phi \circ p$. This is a bijection since the nilradical is contained in every maximal ideal of R . For every element $r \in R$, the function $\widetilde{p(r)} \circ F$ equals \widetilde{r} . First this implies that $F(D(r)) = D(p(r))$ and $F^{-1}(D(p(r))) = D(r)$, i.e., p^* is a homeomorphism. Second the image of R in the k -algebra of continuous functions $\text{Spec}_{\max}(R) \rightarrow \mathbb{A}_k^1$ equals $F^*(R^{\text{red}})$. It follows that $F_*\mathcal{O}^{\text{red}}$ equals the \mathcal{O} as subsheaves of the sheaf of continuous functions $\text{Spec}_{\max}(R^{\text{red}}) \rightarrow \mathbb{A}_k^1$. Therefore $F : (\text{Spec}_{\max}(R), \mathcal{O}^{\text{red}}) \rightarrow (\text{Spec}_{\max}(R^{\text{red}}), \mathcal{O})$ is an isomorphism of SWFs.

Problem 7: Another proof of existence of sheafification Let X be a topological space and let \mathcal{F} be a presheaf of sets. Define the *espace étalé* as a set in Definition 10.8, $p : |\mathcal{F}| \rightarrow X$.

(a) Let $U \subset X$ be an open set, $p \in U$ an element and $f, g \in \mathcal{F}(U)$ elements whose images are equal in the stalk \mathcal{F}_p . Prove there exists an open neighborhood $V \subset U$ such that $f|_V = g|_V$.

Solution: This is part of the definition of direct limits.

(b) For every open set $U \subset X$ and every $f \in \mathcal{F}(U)$, define $D(U, f) \subset |\mathcal{F}|$ to be the set of pairs (p, f_p) of an element $p \in U$ and the image f_p of f in \mathcal{F}_p . Prove these sets form the basis for a topology on $|\mathcal{F}|$, called the *natural topology*.

Solution: This is not technically correct, because the empty set should be added. The other axioms for a basis are satisfied. First of all, for every $p \in X$ and every $f_p \in \mathcal{F}_p$, there exists an open set $U \subset X$ and $f \in \mathcal{F}(U)$ such that f_p is the germ of f at p . So $(p, f_p) \in D(U, f)$. Next, let (p, h_p) be an element of $D(U, f) \cap D(V, g)$. Then by (a), there exists $W \subset U \cap V$ such that $f|_W = g|_W$. So $(p, h_p) \in D(W, f|_W)$.

(c) For every open set $U \subset X$ and every $f \in \mathcal{F}(U)$, prove the induced set map $\tilde{f} : U \rightarrow |\mathcal{F}|$ is continuous with respect to the natural topology on $|\mathcal{F}|$.

Solution: It suffices to prove that for every pair (V, g) , $\tilde{f}^{-1}(D(V, g))$ is open. For every $p \in \tilde{f}^{-1}(D(V, g))$, $f_p = g_p$. By (a), there exists $W \subset U \cap V$ such that $f|_W = g|_W$. Therefore $W \subset \tilde{f}^{-1}(D(V, g))$, proving $\tilde{f}^{-1}(D(V, g))$ is open.

(d) Denote by \mathcal{F}^+ the sheaf of sections of the continuous mapping $p : |\mathcal{F}| \rightarrow X$ as in Example 10.4(ii). By (c) there is a presheaf homomorphism $\phi : \mathcal{F} \rightarrow \mathcal{F}^+$. Prove this is a sheafification of \mathcal{F} .

Solution: First of all, it is easy to prove \mathcal{F}^+ is a sheaf because continuous maps satisfy the gluing lemma. To prove $\phi : \mathcal{F} \rightarrow \mathcal{F}^+$ is a sheafification, it suffices to prove for every $p \in X$ that the induced map of stalks is a bijection, $\phi_p : \mathcal{F}_p \rightarrow \mathcal{F}_p^+$.

Injectivity: Let $U \subset X$ be an open set, $p \in U$ an element and $f, g \in \mathcal{F}(U)$ elements such that $\phi_p(f_p) = \phi_p(g_p)$, i.e., $\tilde{f}_p = \tilde{g}_p$. By (a), there exists $p \in V \subset U$ such that $\tilde{f}|_V = \tilde{g}|_V$. In particular, $f_p = \tilde{f}|_V(p) = \tilde{g}|_V(p) = g_p$.

Surjectivity: Let $p \in X$, let $p \in U \subset X$ be an open neighborhood, and let $f \in \mathcal{F}^+(U)$. There exists an open subset $p \in V \subset U$ and $g \in \mathcal{F}(V)$ such that $f(p) = (p, g_p)$, i.e., $p \in f^{-1}(D(V, g))$. Because f is continuous, the subset $W := f^{-1}(D(V, g))$ is open. By definition, $f|_W = \tilde{g}|_W$. So $f_p = \phi_p(g_p)$.

Problem 8 Let \mathcal{A} and \mathcal{B} be categories. An *adjoint pair of functors* is a pair of functors (L, R) , $L : \mathcal{A} \rightarrow \mathcal{B}$, $R : \mathcal{B} \rightarrow \mathcal{A}$, together with a rule associating to every object A of \mathcal{A} and every object B of \mathcal{B} a bijection,

$$\eta_{A,B} : \text{Hom}_{\mathcal{B}}(L(A), B) \rightarrow \text{Hom}_{\mathcal{A}}(A, R(B)),$$

which is a *natural bijection* in the sense that for every object A of \mathcal{A} , resp. every object B of \mathcal{B} , the induced transformation of functors $\mathcal{B} \rightarrow \text{Sets}$,

$$\eta_{A,*} : \text{Hom}_{\mathcal{B}}(L(A), *) \Rightarrow \text{Hom}_{\mathcal{A}}(A, R(*)),$$

is a natural transformation, resp. the induced transformation of contravariant functors $\mathcal{A} \rightarrow \text{Sets}$,

$$\eta(*, B) : \text{Hom}_{\mathcal{B}}(L(*), B) \Rightarrow \text{Hom}_{\mathcal{A}}(*, R(B)),$$

is a natural transformation.

(a) Let $\mathcal{A} = \text{Sets}$ and let $\mathcal{B} = \text{Groups, Rings, or } R\text{-modules}$. Define $R : \mathcal{B} \rightarrow \mathcal{A}$ to be the functor that sends each object to its underlying set of elements. Prove there is a functor $L : \mathcal{A} \rightarrow \mathcal{B}$ and a natural bijection η so that (L, R) is an adjoint pair. **Hint:** For each \mathcal{B} , there is a notion of a *free object*.

Solution: For $\mathcal{B} = \text{Groups}$, for every set S define F_S together with the set map $i : S \rightarrow F_S$ to be the *free group on S*, i.e., the group whose elements are all finite words $w = x_1 x_2 \dots x_n$ where every x_i is either an element of S or the formal inverse of an element of S , and product is defined by concatenating words and contracting inverses. The free group has the universal property that for every group G , the following set map is a bijection,

$$\text{Hom}_{\text{Groups}}(F_S, G) \rightarrow \text{Hom}_{\text{Sets}}(S, G), \quad (\phi : F_S \rightarrow G) \mapsto (\phi \circ i : S \rightarrow G).$$

This is precisely the condition for an adjoint pair. The construction for rings and for R -modules is similar.

(b) In each case above, prove that (L, R) has the additional property that a morphism $f : B \rightarrow B'$ in \mathcal{B} is an isomorphism iff $R(f)$ is an isomorphism (this is not an axiom for an adjoint pair).

Solution: The point is that a homomorphism of groups, rings or R -modules is invertible iff the underlying set map is a bijection. This is because the inverse set map automatically preserves the group product, resp. addition and multiplication, resp. addition and scaling by elements in R .

Problem 9: Let $\mathcal{A} = \text{Sets}$, let \mathcal{B} be a category, and let (L, R, η) be an adjoint pair such that for every morphism $f : B \rightarrow B'$ in \mathcal{B} , f is an isomorphism iff $R(f)$ is an isomorphism. Let X be a topological space, and let \mathcal{F} be a presheaf of objects in \mathcal{B} on X .

(a) Prove that \mathcal{F} is a sheaf iff the presheaf of sets $R(\mathcal{F})$ on X is a sheaf.

Correction: The assertion is false. A corrected version of this exercise appears on the next problem set.

(b) Prove that \mathcal{F} satisfies Axiom (A) from Definition 10.1 iff \mathcal{F} satisfies Axiom (A') from Remark 10.2.

Correction: Same as above.

Difficult Problem 10: Let $F : \mathbb{P}_k^1 \rightarrow \mathbb{P}_k^3$ be the regular morphism $[a_0, a_1] \mapsto [a_0^3, a_0^2 a_1, a_0 a_1^2, a_1^3]$. Denote by $C \subset \mathbb{P}_k^3$ the image of F (which is a projective subvariety by Problem 10 from PS# 2). For every element $p = [b_0, b_1, b_2, b_3] \in \mathbb{P}_k^3 - F(\mathbb{P}_k^1)$, define a morphism $G_p : C \rightarrow \mathbb{P}_k^5$ by

$$[c_0, c_1, c_2, c_3] \mapsto [b_1 c_0 - b_0 c_1, b_2 c_0 - b_0 c_2, b_3 c_0 - b_0 c_3, b_2 c_1 - b_1 c_2, b_3 c_1 - b_1 c_3, b_3 c_2 - b_2 c_3].$$

(a) Prove there exists a linear embedding $H : \mathbb{P}_k^2 \subset \mathbb{P}_k^5$ whose image contains the image of G_p .

Solution: Choose homogeneous coordinates on \mathbb{P}_k^5 , $(Z_{(i,j)} | 0 \leq i < j \leq 3)$. Then, up to relabelling coordinates, G_p is the restriction of a regular morphism $g_p : \mathbb{P}_k^1 - \{p\} \rightarrow \mathbb{P}_k^5$ determined by $g_p^* Z_{i,j} = b_j X_i - b_i X_j$. Denote $Z_{(j,i)} := -Z_{(i,j)}$. There exists $0 \leq i \leq 3$ such that $b_i \neq 0$. For every $0 \leq j < k \leq 3$ with $j, k \neq i$,

$$g_p^* Z_{j,k} = -(b_k/b_i) g_p^* Z_{(i,j)} + (b_j/b_i) g_p^* Z_{(i,k)}.$$

Choose homogeneous coordinates on \mathbb{P}_k^2 , $(Y_j | 0 \leq j \leq 3, j \neq i)$. Define $H : \mathbb{P}_k^2 \rightarrow \mathbb{P}_k^5$ to be the regular morphism determined by $H^* Z_{(i,j)} = Y_j$ for $j \neq i$, and $H^* Z_{(j,k)} = -(b_k/b_i) Y_j + (b_j/b_i) Y_k$ for $j, k \neq i$. The image of g_p is contained in the image of H .

(b) With respect to your linear embedding, find the equation of the plane curve $C_p = H^{-1}(G_p(C))$ for $p = [1, 0, 0, 1]$. Write down all the elements $q \in C_p$ where there is *not* a unique tangent line to C_p at q .

Solution: Choose $i = 0$ in (a) above. There is a unique regular morphism $i_p : \mathbb{P}_k^3 - \{p\} \rightarrow \mathbb{P}_k^2$ such that $H \circ i_p = g_p$, namely,

$$i_p^* Y_1 = -X_1, \quad i_p^* Y_2 = -X_2, \quad i_p^* Y_3 = X_0 - X_3.$$

The composition $i_p \circ F$ is $[a_0, a_1] \mapsto [-a_0^2 a_1, -a_0 a_1^2, a_0^3 - a_1^3]$. The equation of the image is $Y_1^3 - Y_2^3 + Y_1 Y_2 Y_3$. For every point q except $[Y_1, Y_2, Y_3] = [0, 0, 1]$ there is a unique tangent line, namely,

$$\mathbb{V}(a_1(2a_0^3 + a_1^3)Y_1 - a_0(a_0^3 + 2a_1^3)Y_2 + a_0^2 a_1^2 Y_3).$$

For the point $q = [0, 0, 1]$, every line containing q is a tangent line to C_p at q .

(c) A *secant line* to C is a projective line in \mathbb{P}^3 that intersects C in at least 2 distinct points. How many secant lines to C contain p ? **Not to be written up:** What if p is another (general) element of \mathbb{P}_k^3 ? How many secant lines to C contain p ? Pay special attention if you go to Alexei Oblomkov's PUMA-GRASS lecture.

Solution: The lines in \mathbb{P}_k^3 containing p are in bijective correspondence with the elements of \mathbb{P}_k^2 via $q \mapsto \overline{i_p^{-1}(\{q\})}$. Thus the secant lines to C containing p correspond to pairs of distinct points $r, s \in C$ such that $i_p(r) = i_p(s)$. For such a pair, the corresponding point $q = i_p(r) = i_p(s)$ is a point of C_p for which there is not a unique tangent line. Since there is precisely one such point on C_p , there is one secant line to C containing p , namely $\mathbb{V}(X_1, X_2) \subset \mathbb{P}_k^3$ which contains $p = [1, 0, 0, 1]$, contains $[1, 0, 0, 0] = F([1, 0])$ and contains $[0, 0, 0, 1] = F([0, 1])$.

It is true that there is a unique secant line to C containing p for every point $p \in \mathbb{P}_k^3 - \mathbb{V}(Q)$, where

$$Q = 4(X_0X_3 - X_1^2)(X_1X_3 - X_2^2) - (X_0X_3 - X_1X_2)^2.$$

Moreover, for every point $p \in \mathbb{V}(Q) - C$, there is a unique tangent line to C containing p . This implies a peculiar property of C : every pair of distinct tangent lines to C in \mathbb{P}_k^3 are disjoint (for any non-planar curve, 2 *general* tangent lines are disjoint, but typically every tangent line intersects finitely many other tangent lines).

Problem 11: For every integer $n \in \mathbb{Z}$, define X_n to be a copy of the affine variety $\mathbb{V}(xy) \in \mathbb{A}^2$, define $X_{n,n+1} \subset X_n$ to be $D(x)$ and $X_{n,n-1} \subset X_n$ to be $D(y)$. Define $\phi_{n,n+1} : X_{n,n+1} \rightarrow X_{n+1,n}$ to be the regular morphism $(a, 0) \mapsto (0, 1/a)$. If $|m - n| > 1$, define $X_{m,n} = \emptyset$ and define $\phi_{m,n}$ to be the empty mapping.

(a) Prove that the collection $(\{X_n\}, \{X_{m,n}\}, \{\phi_{m,n}\})$ satisfy the axioms for Lemma 12.11, the Gluing Lemma for spaces with functions. Denote by X the associated space with functions.

Solution: This comes to the fact that $X_{n,n-1} \cap X_{n,n+1} = \emptyset$.

(b) Prove that X is a connected algebraic variety that is *not* quasi-compact.

Solution: The collection $(\phi_n(X_n) | n \in \mathbb{Z})$ is an open covering of X that has no finite subcovering.