Four-Manifolds,

Einstein Metrics, &

Differential Topology

Claude LeBrun Stony Brook University

Rademacher Lectures University of Pennsylvania Four-Manifolds,

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Differential Topology, III

Moduli Spaces of Einstein Metrics

October 21, 2016 University of Pennsylvania For simplicity,

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have a solution  $(\Phi, A)$  for every metric g on M.

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## Curvature Estimates:

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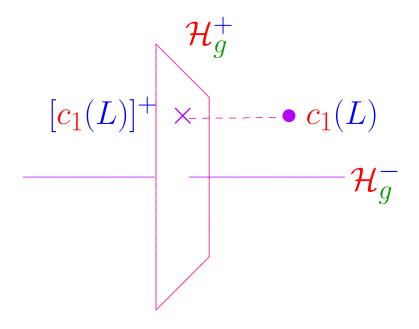
$$\int_{M} s^{2} d\mu_{g} \ge 32\pi^{2} [c_{1}(L)^{+}]^{2}$$

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where  $c_{1}(L)^{+} \in \mathcal{H}_{g}^{+}$  is self-dual part of
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If SW equations have solution  $\forall \tilde{g} \in [g]$ ,  $\Longrightarrow$  curvature estimates

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The existence of SW solutions  $\forall g$  may occur even when not detected by invariant we have discussed.

**Example.** Bauer-Furuta invariant...

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Weitzenböck formula:

$$0 = 2\Delta |\Phi|^2 + 4|\nabla \Phi|^2 + s|\Phi|^2 + |\Phi|^4$$

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Any  $M^4$  can only admit finitely many basic classes.

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$$\int_{M} s^{2} d\mu \ge 32\pi^{2} (2\chi + 3\tau)(M)$$

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$$g \text{ Einstein} \Longrightarrow \int_{M} \frac{s^2}{24} d\mu_g \ge \int_{M} |W_{+}|^2 d\mu_g$$

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For any compact Riemannian  $(M^4, g)$ ,

$$(\chi - 3\tau)(\mathbf{M}) = \frac{1}{8\pi^2} \int_{\mathbf{M}} \left[ \left( \frac{s^2}{24} - |W_+|^2 \right) + 3|W_-|^2 - \frac{|\mathring{r}|^2}{2} \right] d\mu_g$$

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## Corollary.

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Corollary. For any compact complex-hyperbolic 4-manifold

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Corollary. For any compact complex-hyperbolic 4-manifold  $M = \mathbb{C}\mathcal{H}_2/\Gamma$ ,

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Corollary. For any compact complex-hyperbolic 4-manifold  $M = \mathbb{C}\mathcal{H}_2/\Gamma$ , the Einstein moduli space,

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Corollary. For any compact complex-hyperbolic 4-manifold  $M = \mathbb{C}\mathcal{H}_2/\Gamma$ , the Einstein moduli space, consisting of Einstein metrics on M,

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Corollary. For any compact complex-hyperbolic 4-manifold  $M = \mathbb{C}\mathcal{H}_2/\Gamma$ , the Einstein moduli space, consisting of Einstein metrics on M, modulo diffeomorphisms and rescaling,

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Corollary. For any compact complex-hyperbolic 4-manifold  $M = \mathbb{C}\mathcal{H}_2/\Gamma$ , the Einstein moduli space, consisting of Einstein metrics on M, modulo diffeomorphisms and rescaling, consists of exactly one point.

#### Curvature Estimates:

If SW equations have solution  $\forall \tilde{g} \in [g]$ ,  $\Longrightarrow$  curvature estimates

$$\int_{M} s^{2} d\mu_{g} \geq 32\pi^{2} [c_{1}(L)^{+}]^{2}$$

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# Second Estimate:

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**Theorem** (L). Let  $(M^4, J)$  be a compact complex surface with  $Kod \neq -\infty$  and  $b_1$  even. Let g be any Riemannian metric on M. Then, possibly after moving J by a self-diffeomorphism of M, the curvature of g satisfies

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"Kähler Paradigms in a Riemannian World"

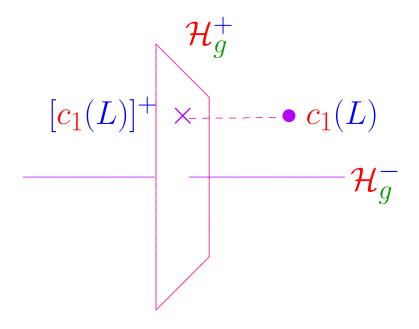
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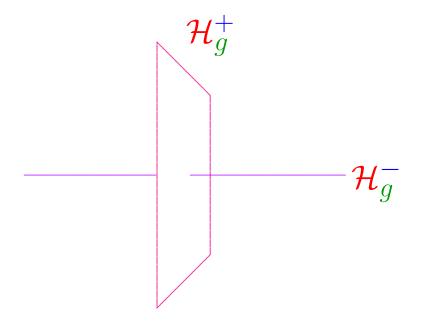
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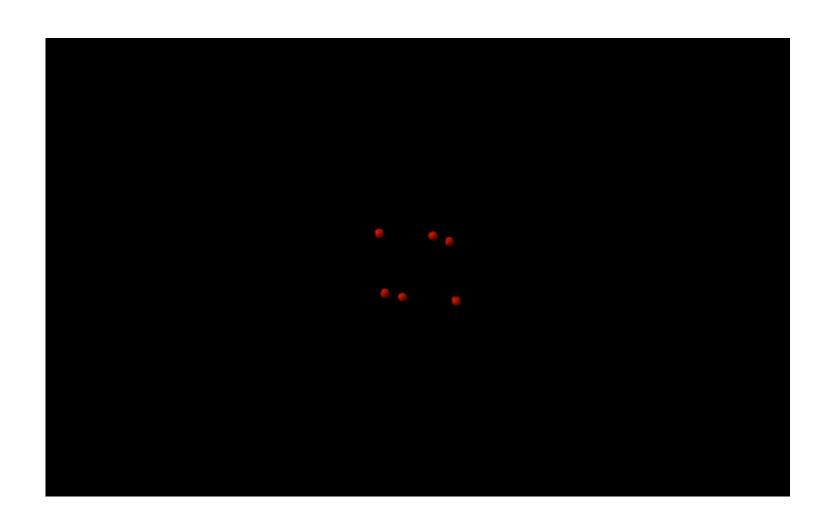
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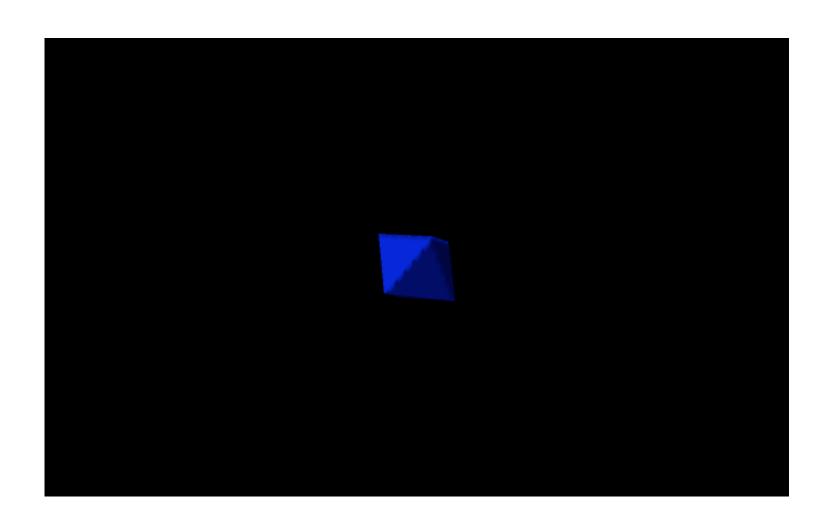
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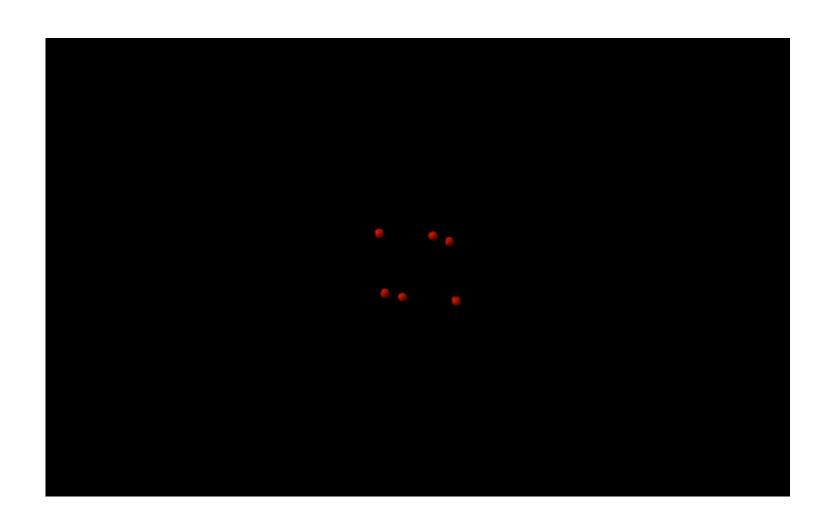
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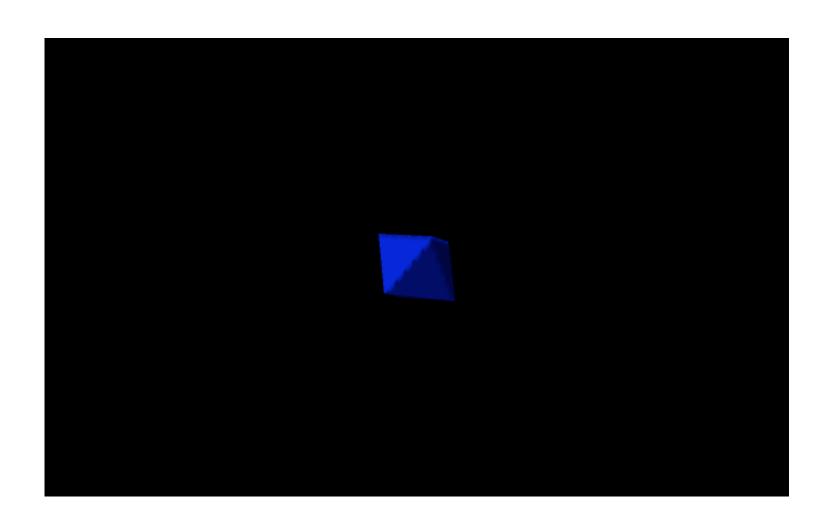
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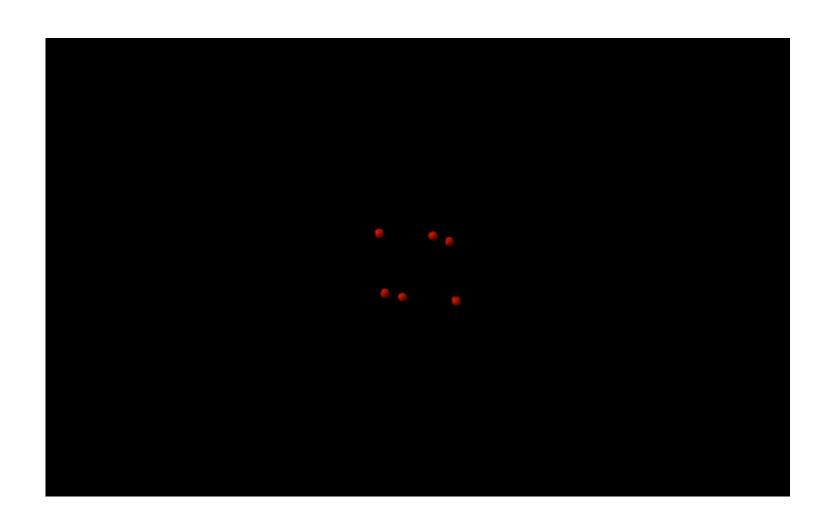
But there is a metric-independent improvement!











**Theorem** (L). Let M be a smooth compact 4-manifold with  $(2\chi + 3\tau)(M) > 0$ , and suppose that  $\mathbf{a} \in H^2(M, \mathbb{R})$  belongs to the convex hull of  $\mathfrak{S} = \{basic\ classes\}$ . Then every Riemannian metric g on M satisfies

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and equality can only occur if g is CSCK, with s < 0.

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For both, equality holds iff M = X, and g is  $K\ddot{a}hler$ -Einstein with  $\lambda < 0$ .

# Cauchy-Schwarz argument ⇒

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So being "very" non-minimal is an obstruction.

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When n = 2m = 4, such M are the minimal complex surfaces of general type such that

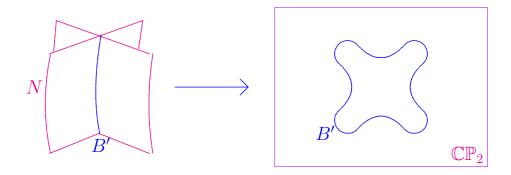
$$\nexists \mathbb{CP}_1 \stackrel{\mathcal{O}}{\hookrightarrow} M$$

of homological self-intersection -2.

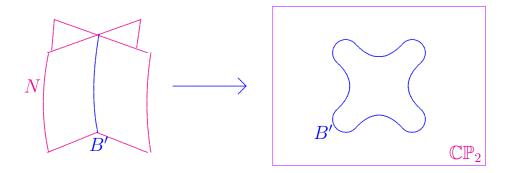
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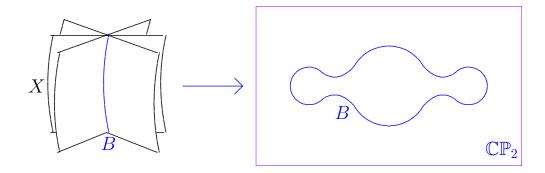


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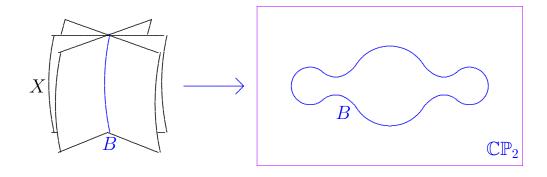


Aubin/Yau  $\Longrightarrow N$  carries Einstein metric.

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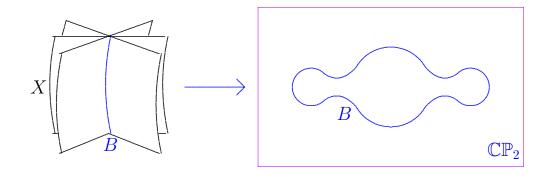
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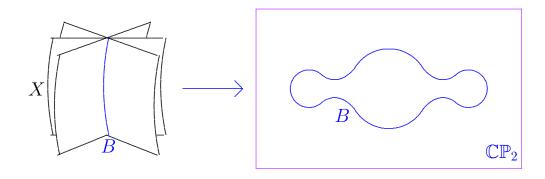
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#### In example:

$$c_1^2(X) = 3$$
  
 $k = 1 = c_1^2(X)/3$ 

# X is triple cover $\mathbb{CP}_2$ ramified at sextic



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Theorem  $\Longrightarrow no$  Einstein metric on M.

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Hence Freedman  $\Longrightarrow M$  homeomorphic to N!Moral: Existence depends on diffeotype!



$$\mathscr{E}(M) = \{\text{Einstein } h\}/(\text{Diffeos} \times \mathbb{R}^+)$$

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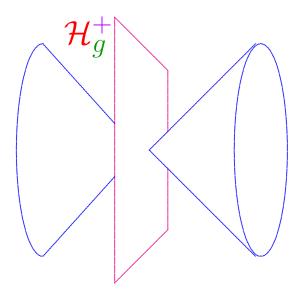
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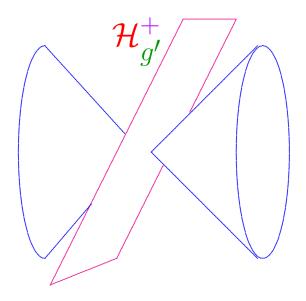
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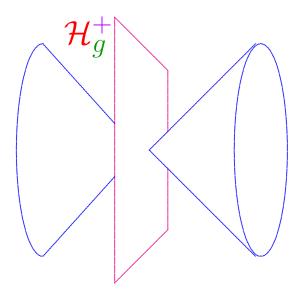
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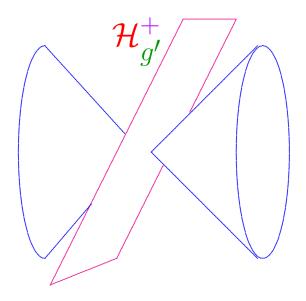
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Smooth: complement of codimension-3 subset.

When a complex surface (M, J) admits an Einstein metric g, also admits a symplectic structure  $\omega$ .

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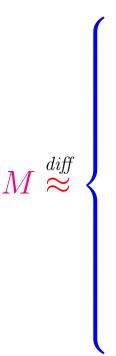
When  $\lambda \geq 0$ , we will now see that symplectic alone suffices to imply strong results.

Theorem (L).

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**Theorem** (L). Suppose that M is a smooth compact oriented 4-manifold which admits a symplectic structure  $\omega$ .

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```
M \stackrel{diff}{pprox} \left\{ egin{array}{c} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, \\ M \stackrel{diff}{pprox} \end{array} 
ight.
```

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M \stackrel{diff}{\approx} \left\{ \begin{array}{c} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, & 0 \leq k \leq 8, \\ \\ \end{array} \right.
```

```
 \begin{array}{c} \text{ ...ifold} \\ \text{ ...if } g \text{ with } \lambda \geq 0 \text{ if } \alpha \\ \\ & \\ C\mathbb{P}_2 \# k \overline{\mathbb{CP}_2}, \quad 0 \leq k \leq 8, \\ S^2 \times S^2, \\ \\ M \overset{diff}{\approx} \end{array}
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Theorem (L). Suppose that 
$$M$$
 is a small pact oriented 4-manifold which symplectic structure  $\omega$ . Then  $M$  also Einstein metric  $g$  with  $\lambda \geq 0$  if and of  $\mathbb{CP}_2\#k\overline{\mathbb{CP}}_2$ ,  $0 \leq k \leq 8$ ,  $S^2 \times S^2$ ,  $K3$ ,  $K3/\mathbb{Z}_2$ ,

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```

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\end{pmatrix}$$

Del Pezzo surfaces, K3 surface, Enriques surface, Abelian surface, Hyper-elliptic surfaces.

```
\mathbb{CP}_{2} \# k \overline{\mathbb{CP}}_{2}, \quad 0 \leq k \leq 8, \\
S^{2} \times S^{2}, \\
K3, \\
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### Definitive list ...

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\mathbb{CP}_{2} \# k \mathbb{\overline{CP}}_{2}, \quad 0 \leq k \leq 8, \\
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Every Einstein metric is Ricci-flat Kähler.

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Moduli space  $\mathscr{E}(M)$ 

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Moduli space  $\mathscr{E}(M)$  completely understood.

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Know an Einstein metric on each manifold.

$$\mathbb{CP}_{2} \# k \overline{\mathbb{CP}}_{2}, \quad 0 \leq k \leq 8, \\
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Moduli space  $\mathscr{E}(M) \neq \varnothing$ .

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Moduli space  $\mathscr{E}(M) \neq \varnothing$ . But is it connected?

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This allows us to associate the scalar quantity

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with any metric g on such a manifold.

Every del Pezzo surface has  $b_{+} = 1$ .  $\iff$ 

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everywhere on M.

 $W_{+}(\omega,\omega)$  is non-trivially related

 $W_{+}(\omega, \omega)$  is non-trivially related to scalar curv s,

$$0 = \nabla^* \nabla \omega - 2W^+(\omega, \cdot) + \frac{s}{3}\omega$$

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$$\int_{M} W_{+}(\omega, \omega) d\mu \ge \int_{M} \frac{s}{6} |\omega|^{2} d\mu$$

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Taking inner product with  $\omega$  and integrating:

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In particular, an Einstein metric with  $\lambda > 0$  has

 $W_{+}(\omega,\omega)$  is non-trivially related to scalar curv s,

via Weitzenböck for harmonic self-dual 2-form  $\omega$ :

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Much simpler than scalar curvature!

In particular, if g satisfies

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so does every other metric  $\tilde{g}$  in conformal class [g].

## Theorem.

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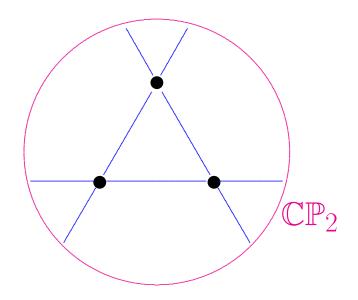
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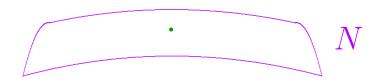
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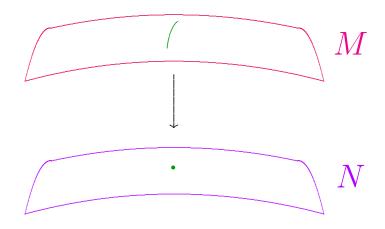
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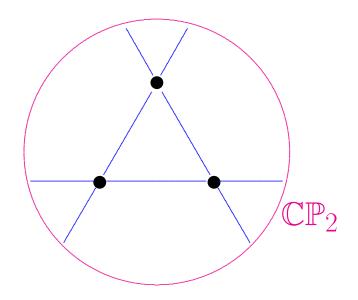
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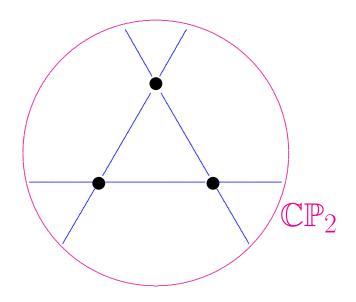
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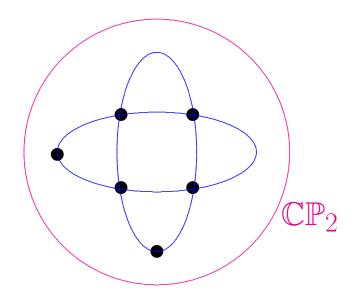
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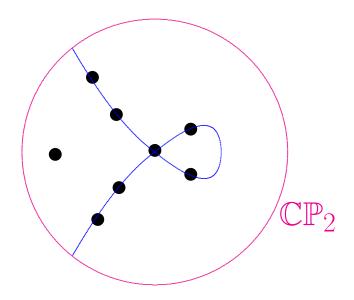
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**Theorem.** Each Del Pezzo  $(M^4, J)$  admits a compatible conformally Kähler Einstein metric, and this metric is unique up to automorphisms.

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Existence: Tian, Odaka-Spotti-Sun, Chen-L-Weber...

Uniqueness: Bando-Mabuchi, L 2012...

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Just a point if  $b_2(M) \leq 5$ .

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Corollary.  $\mathscr{E}^+_{\omega}(M)$  is exactly one connected component of  $\mathscr{E}(M)$ .

## Method of Proof.

If  $W_{+}(\omega, \omega) > 0$ , then  $\omega \neq 0$  everywhere.

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for some  $\tilde{g}$ -preserving almost-complex structure J.

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Equation  $\delta W^+ = 0$ ?

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for 
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where  $W^{+}(\omega)^{\perp}$  = projection of  $W^{+}(\omega, \cdot)$  to  $\omega^{\perp}$ .

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$$0 \ge \int_{M} \left( -sW^{+}(\omega, \omega) + 3 \left[ W^{+}(\omega, \omega) \right]^{2} \right) f d\mu$$

Now take inner product of Weitzenböck formula

$$0 = \nabla^* \nabla (fW^+) + \frac{s}{2} fW^+ - 6fW^+ \circ W^+ + 2f|W^+|^2 I$$

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This yields

$$0 \ge 3 \int_{M} W^{+}(\omega, \omega) \left( W^{+}(\omega, \omega) - \frac{s}{3} \right) f d\mu$$

Now take inner product of Weitzenböck formula

$$0 = \nabla^* \nabla (fW^+) + \frac{s}{2} fW^+ - 6fW^+ \circ W^+ + 2f|W^+|^2 I$$

with  $2\omega \otimes \omega$  and integrate by parts, using identity

$$\langle W^+, \nabla^* \nabla(\omega \otimes \omega) \rangle = [W^+(\omega, \omega)]^2 + 4|W^+(\omega)|^2 - sW^+(\omega, \omega).$$

This yields

$$0 \ge 3 \int_{M} W^{+}(\omega, \omega) \left( \frac{1}{2} |\nabla \omega|^{2} \right) f d\mu$$

## Proposition.

**Proposition.** If compact almost-Kähler  $(M^4, \tilde{g}, \omega)$ 

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## Theorem.

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**Remark.** If such metrics exist,  $b_{+}(M) = 1$ .

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Restrict to Einstein case; use results of [L '12]...