Curvature Functionals,

Kähler Metrics, &

the Geometry of 4-Manifolds I

Claude LeBrun Stony Brook University

IHP, December 3, 2012

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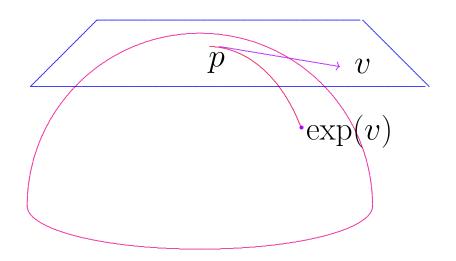
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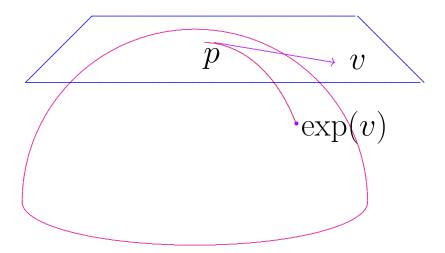
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Now choosing $T_pM \stackrel{\cong}{\to} \mathbb{R}^n$ via some orthonormal basis gives us special coordinates on M.

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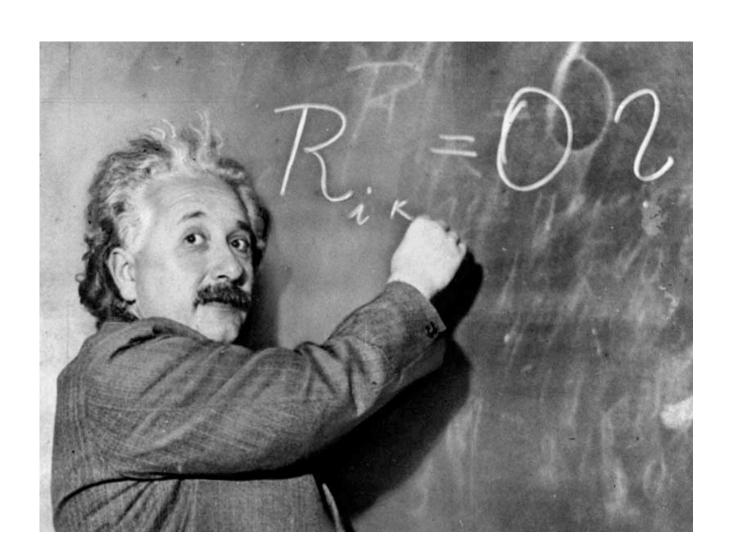
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"...the greatest blunder of my life!"

— A. Einstein, to G. Gamow

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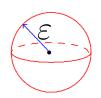
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$$\Delta x^j = 0 \Longrightarrow r_{jk} = \frac{1}{2} \Delta g_{jk} + \ell ots.$$

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Proposition. If $n \geq 3$, a Riemannian n-manifold (M^n, g) is Einstein iff the trace-free part of its Ricci tensor vanishes:

$$\mathring{r} := r - \frac{s}{n}g = 0.$$

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Proof. Bianchi identity $\Longrightarrow \nabla \cdot \mathring{r} = (\frac{1}{2} - \frac{1}{n}) ds$.

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Dimension 4 is exceptional...

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Try to find Einstein metrics by minimizing?

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is realized by an Einstein metric g_j with $\lambda < 0$.

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Four Dimensions is Exceptional

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By contrast, high-dimensional Einstein metrics too common; have little to do with geometrization.

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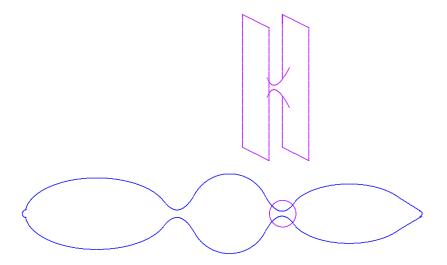
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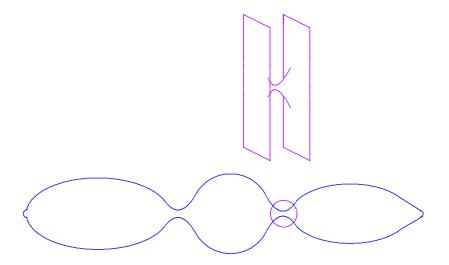


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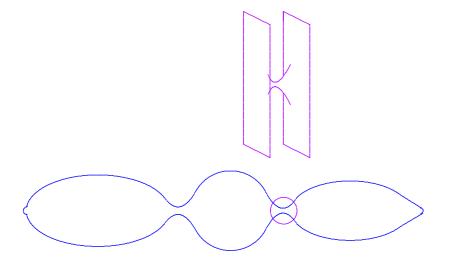
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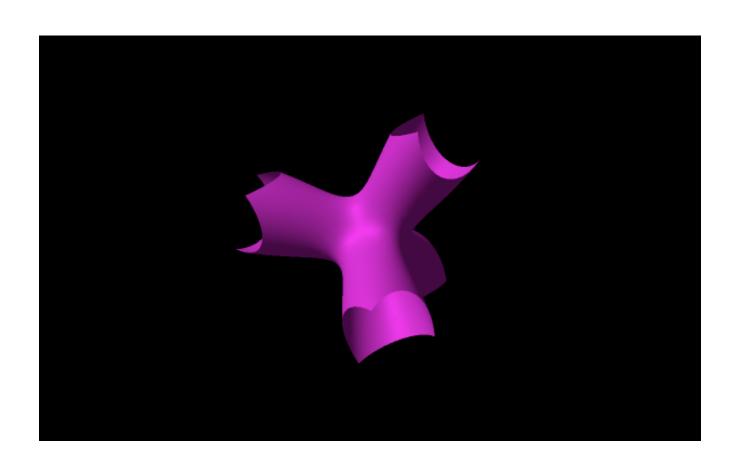
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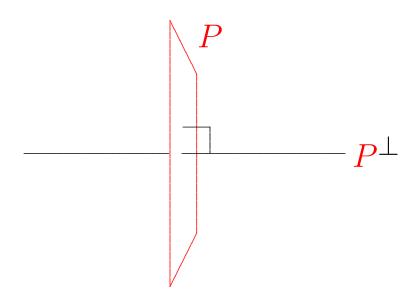
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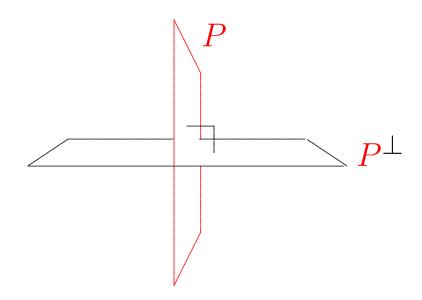
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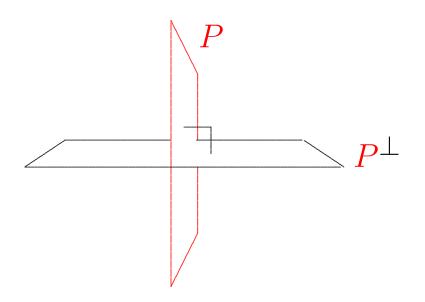
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$$K(P) = K(P^{\perp})$$

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But also natural and interesting to consider

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Integrals give four scale-invariant functionals.

Four Basic Quadratic Curvature Functionals

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However, these are not independent!

$$\chi(\mathbf{M}) = \frac{1}{8\pi^2} \int_{\mathbf{M}} \left(\frac{\mathbf{s}^2}{24} + \right) d\mu$$

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for Euler-characteristic
$$\chi(\mathbf{M}) = \sum_{j} (-1)^{j} b_{j}(\mathbf{M}).$$

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Here $b_{\pm}(M) = \max \dim \text{ subspaces } \subset H^2(M, \mathbb{R})$ on which intersection pairing

$$H^{2}(M,\mathbb{R}) \times H^{2}(M,\mathbb{R}) \longrightarrow \mathbb{R}$$

$$([\varphi], [\psi]) \mapsto \int_{M} \varphi \wedge \psi$$

is positive (resp. negative) definite.

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Minimizer \iff minimizes $V^{-1/2} \int s \, d\mu$ (in [g])

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Similarly for any quadratic curvature functional which is not conformally invariant.

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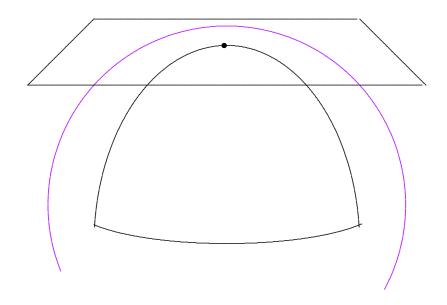
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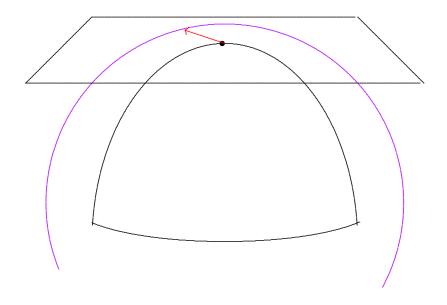
On suitable 4-manifolds, Seiberg-Witten theory allows one to mimic Kähler geometry when treating non-Kähler metrics.

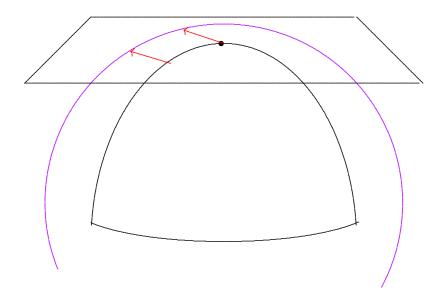
Our Focus. If (M^4, J) is a compact complex surface, when does M^4 admit an Einstein metric g (unrelated to J)?

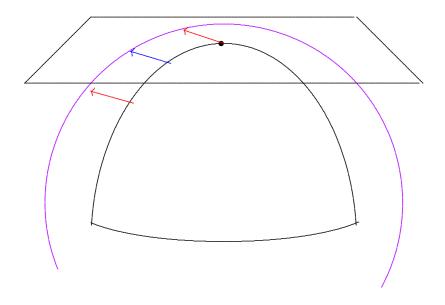
 (M^n, g) : holonomy

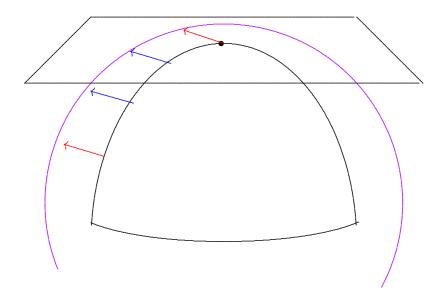
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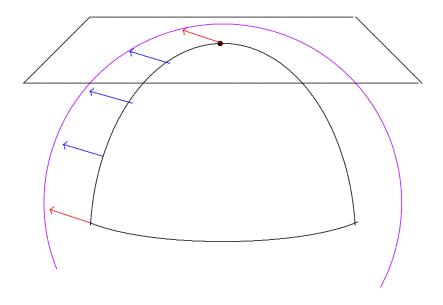


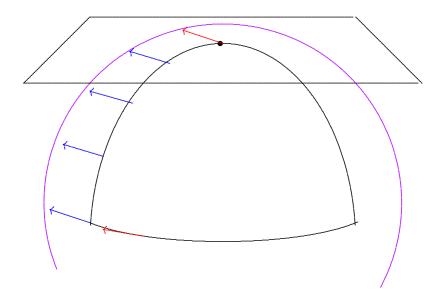


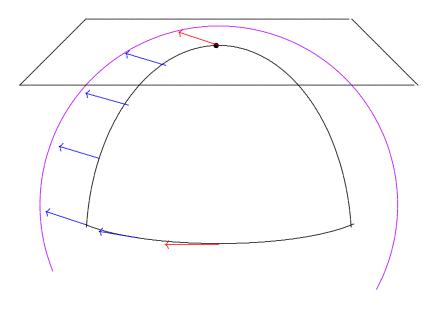


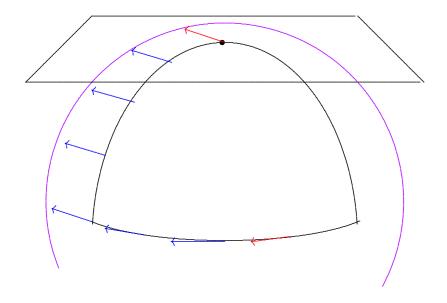


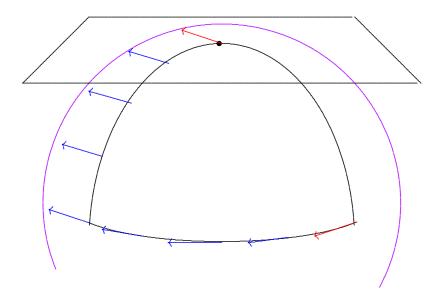


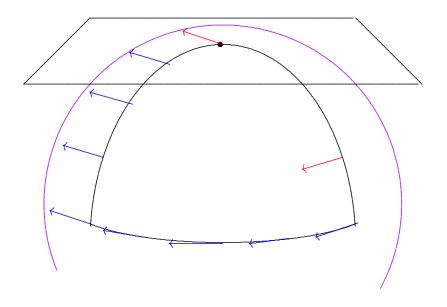


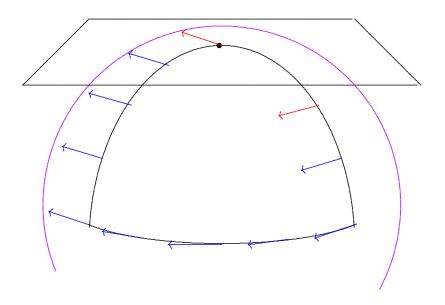


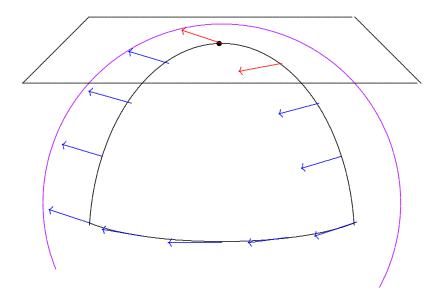


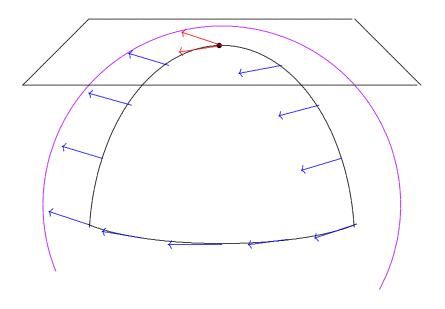


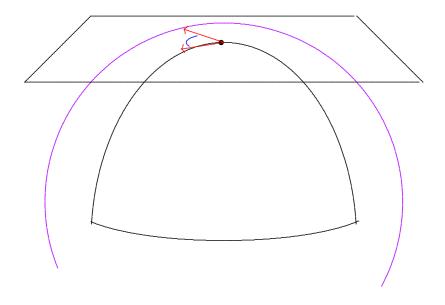




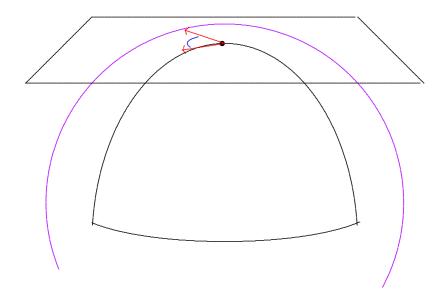




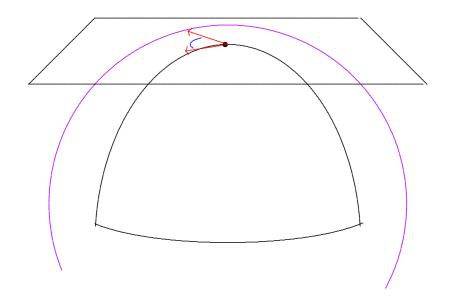




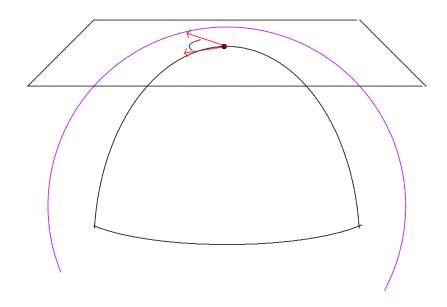
 (M^n, g) : holonomy $\subset \mathbf{O}(n)$



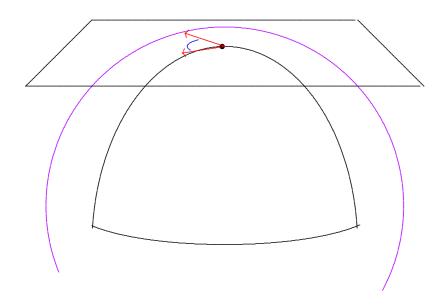
 (M^{2m}, g) : holonomy



 (M^{2m}, g) Kähler \iff holonomy $\subset \mathbf{U}(m)$



 (M^4, g) Kähler \iff holonomy $\subset \mathbf{U}(2)$



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The 2-form

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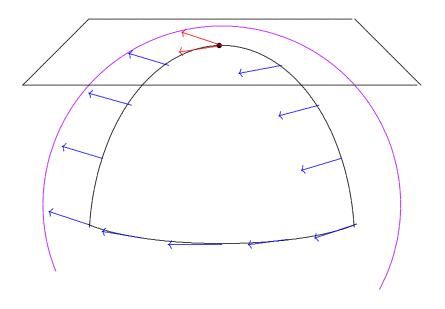
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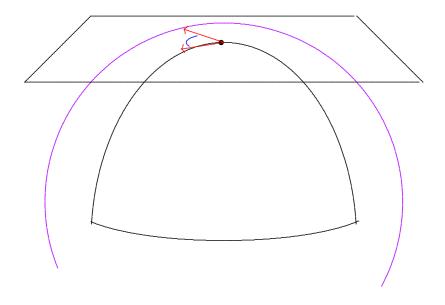
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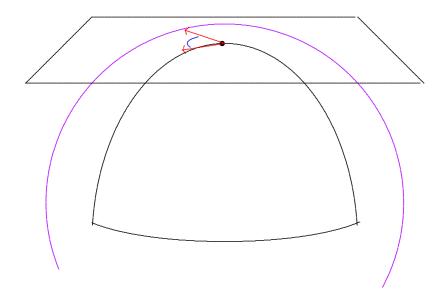
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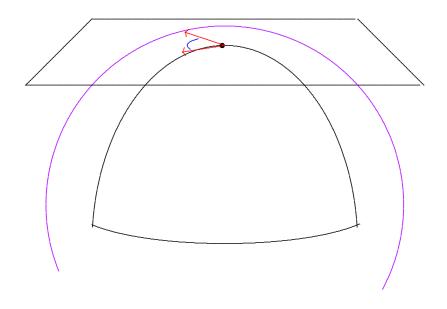




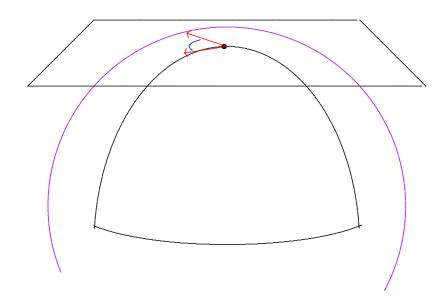
 (M^n, g) : holonomy $\subset \mathbf{O}(n)$



 $(\mathbf{M}^{4\ell}, g)$ Hyper-Kähler \iff holonomy $\subset \mathbf{Sp}(\ell)$



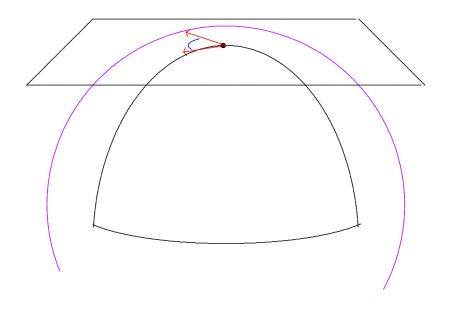
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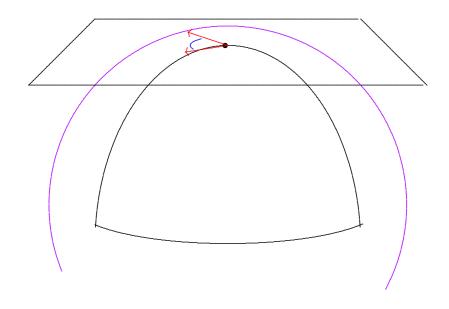
Kähler, for many different J's.

$$\mathbf{Sp}(\ell) = \mathbf{O}(4\ell) \cap \mathbf{GL}(\ell, \mathbb{H})$$

 (\mathbf{M}^4, g) Hyper-Kähler \iff holonomy $\subset \mathbf{Sp}(1)$



 (M^4, g) Hyper-Kähler \iff holonomy \subset $\mathbf{SU}(2)$



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⇒ Kähler and Ricci-flat

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Calabi-Yau metrics

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If
$$\pi_1(M) = 0$$
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Calabi-Yau metrics

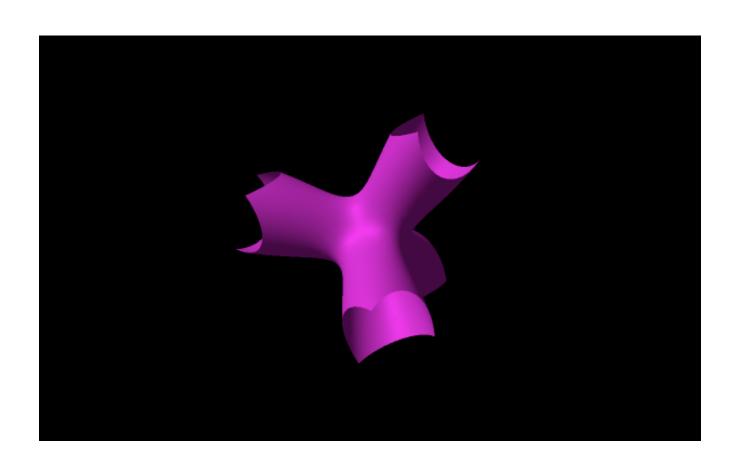
 $K3 = \text{Kummer-K\"{a}hler-Kodaira manifold}.$

Simply connected complex surface with $c_1 = 0$.

$K3 = \text{Kummer-K\"{a}hler-Kodaira manifold.}$

Diffeomorphic to quartic in \mathbb{CP}_3

$$x^4 + y^4 + z^4 + w^4 = 0$$



$$(M^4,g)$$
 Hyper-Kähler \iff holonomy \subset $\mathbf{SU}(2)$ \iff (Λ^+,∇) flat, trivial. If $\pi_1(M)=0$, \iff Kähler and Ricci-flat Calabi-Yau metrics

Any simply connected, compact hyper-Kähler is K3.

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If
$$\pi_1(M) = 0$$
, \iff Kähler and Ricci-flat

Calabi-Yau metrics

Any simply connected, compact hyper-Kähler is K3.

Yau: Conversely, any K3 admits such metrics.

Berger's Inequality:

$$\chi(\mathbf{M}) = \frac{1}{8\pi^2} \int_{\mathbf{M}} \left(\frac{\mathbf{s}^2}{24} + |W_+|^2 + |W_-|^2 - \frac{|\mathring{\mathbf{r}}|^2}{2} \right) d\mu_g$$

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Einstein $\Rightarrow = \frac{1}{8\pi^2} \int_{M} \left(\frac{s^2}{24} + |W_+|^2 + |W_-|^2 \right) d\mu_g$

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Theorem (Berger Inequality). If smooth compact M^4 admits Einstein g, then

$$\chi(M) \ge 0$$
,

with equality only if (M, g) flat, and finitely covered by $T^4 = \mathbb{R}^4/\Lambda$.

$$(2\chi + 3\tau)(\mathbf{M}) = \frac{1}{4\pi^2} \int_{\mathbf{M}} \left(\frac{\mathbf{s}^2}{24} + 2|W_+|^2 - \frac{|\mathring{\mathbf{r}}|^2}{2} \right) d\mu_g$$

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Curvature Λ^{+} Curvature Λ^{-}

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Theorem (Hitchin-Thorpe Inequality). If smooth compact oriented M^4 admits Einstein g, then

$$(2\chi + 3\tau)(M) \ge 0,$$

with equality only if (M, g) is locally hyper-Kähler. The latter case happens only if M finitely covered by flat T^4 or K3.

$$(2\chi+3\tau)(M\#\underbrace{\mathbb{CP}_2\#\cdots\#\mathbb{CP}_2})=(2\chi+3\tau)(M)-k$$

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M simply connected \rightsquigarrow simply connected examples.

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we would obtain a better result.

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Next lecture:

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Next lecture: Obtaining such estimates, using Seiberg-Witten theory.

End, Part I