Four-Manifolds,

Einstein Metrics, &

Differential Topology

Claude LeBrun Stony Brook University

Ohio State University, 10/22/15

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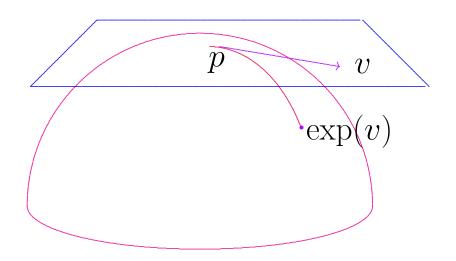
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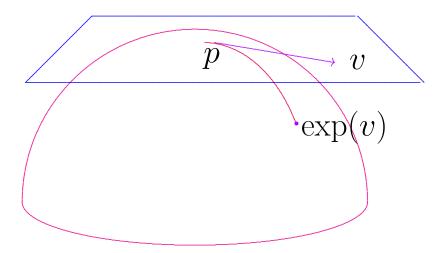
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Now choosing  $T_pM \stackrel{\cong}{\to} \mathbb{R}^n$  via some orthonormal basis gives us special coordinates on M.

$$d\mu_g = d\mu_{\text{Euclidean}},$$

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$$v \longmapsto r(v,v).$$

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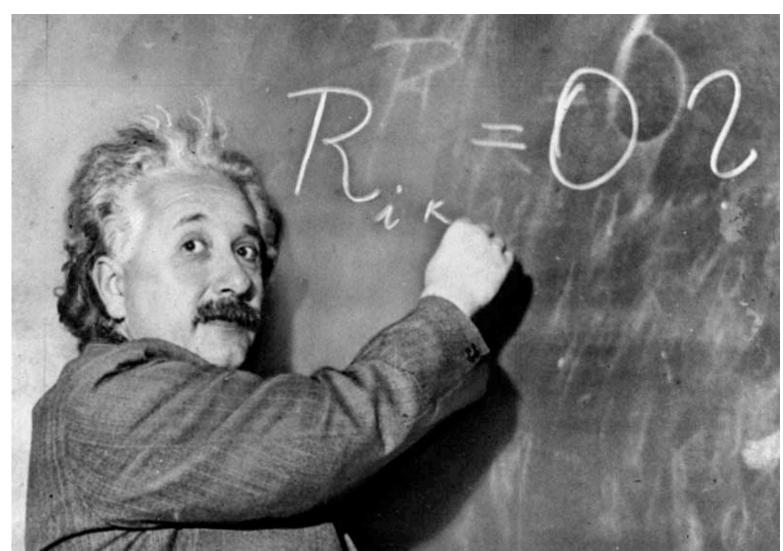
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"... the greatest blunder of my life!"

— A. Einstein, to G. Gamow

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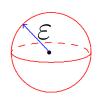
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$$\frac{\operatorname{vol}_g(B_{\varepsilon}(p))}{c_n \varepsilon^n} = 1 - s \frac{\varepsilon^2}{6(n+2)} + O(\varepsilon^4)$$



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$$\Delta x^j = 0 \Longrightarrow r_{jk} = \frac{1}{2} \Delta g_{jk} + \ell ots.$$

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- When  $n \geq 6$ , wide open. Maybe???

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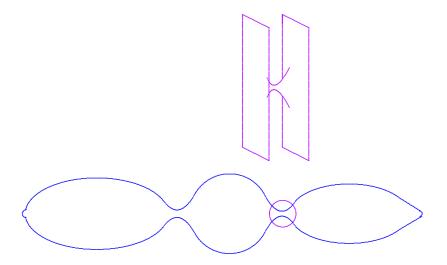
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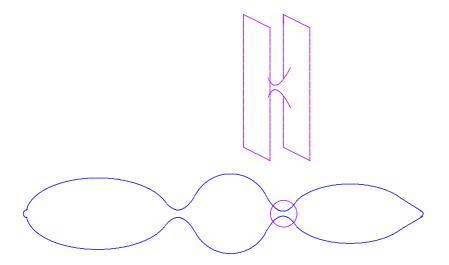


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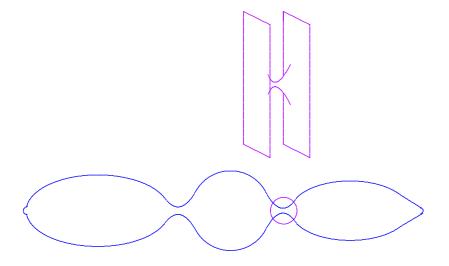
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Similar results for most simply connected spin 5-manifolds. (Boyer, Galicki, Kollár, et al.)

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(Terminology to be explained later!)

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By contrast, high-dimensional Einstein metrics too common; have little to do with geometrization.

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$$\star^2 = 1.$$

 $\Lambda^+$  self-dual 2-forms.

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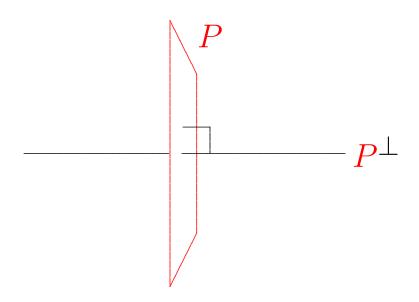
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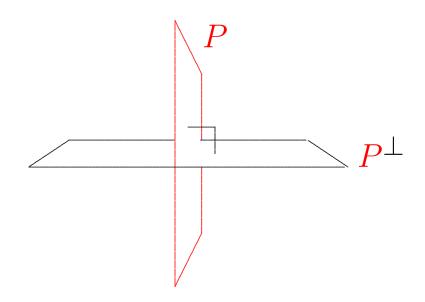
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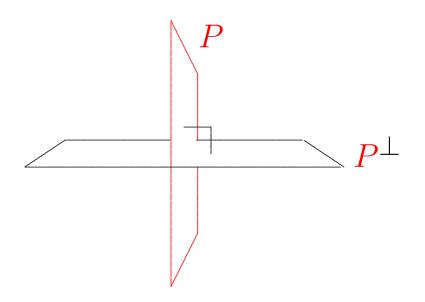
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$$K(P) = K(P^{\perp})$$

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for Euler-characteristic 
$$\chi(\mathbf{M}) = \sum_{j} (-1)^{j} b_{j}(\mathbf{M}).$$

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### Diagonalize:

$$+1$$
 $\cdot \cdot \cdot \cdot \cdot +1$ 
 $-1$ 
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Here  $\tau(M) = b_{+}(M) - b_{-}(M)$  defined in terms of intersection pairing

$$H^{2}(M,\mathbb{R}) \times H^{2}(M,\mathbb{R}) \longrightarrow \mathbb{R}$$

$$( [\varphi], [\psi]) \longmapsto \int_{M} \varphi \wedge \psi$$

#### Diagonalize:

$$\begin{array}{c}
+1 \\
 & \cdots \\
 & +1 \\
\hline
 & b_{+}(M)
\end{array}$$

$$\begin{array}{c}
-1 \\
 & \cdots \\
 & -1
\end{array}$$

For  $(M^4, g)$  compact oriented Riemannian,

#### Euler characteristic

$$\chi(\mathbf{M}) = \frac{1}{8\pi^2} \int_{\mathbf{M}} \left( \frac{\mathbf{s}^2}{24} + |W_+|^2 + |W_-|^2 - \frac{|\mathring{\mathbf{r}}|^2}{2} \right) d\mu$$

#### Signature

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left( |W_+|^2 - |W_-|^2 \right) d\mu$$

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No diffeomorphism classification currently known!

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Warning: "Exotic differentiable structures!"

No diffeomorphism classification currently known!

Typically, one homeotype  $\longleftrightarrow \infty$  many diffeotypes.

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$$j\mathbb{CP}_2\#k\overline{\mathbb{CP}}_2 = \underbrace{\mathbb{CP}_2\#\cdots\#\mathbb{CP}_2}_{j}\#\underbrace{\overline{\mathbb{CP}}_2\#\cdots\#\overline{\mathbb{CP}}_2}_{k}$$

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where  $j = b_+(M)$  and  $k = b_-(M)$ .

 $\overline{\mathbb{CP}}_2$  = reverse oriented  $\mathbb{CP}_2$ .

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What about spin case?

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Need new building block!

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K3 manifold...

Simply connected complex surface with  $c_1 = 0$ .

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Only one deformation type.

Simply connected complex surface with  $c_1 = 0$ .

Only one diffeomorphism type.

Simply connected complex surface with  $c_1 = 0$ .

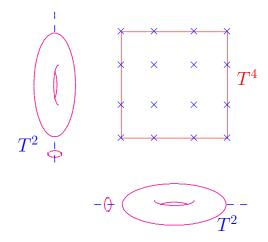
Only one diffeomorphism type.

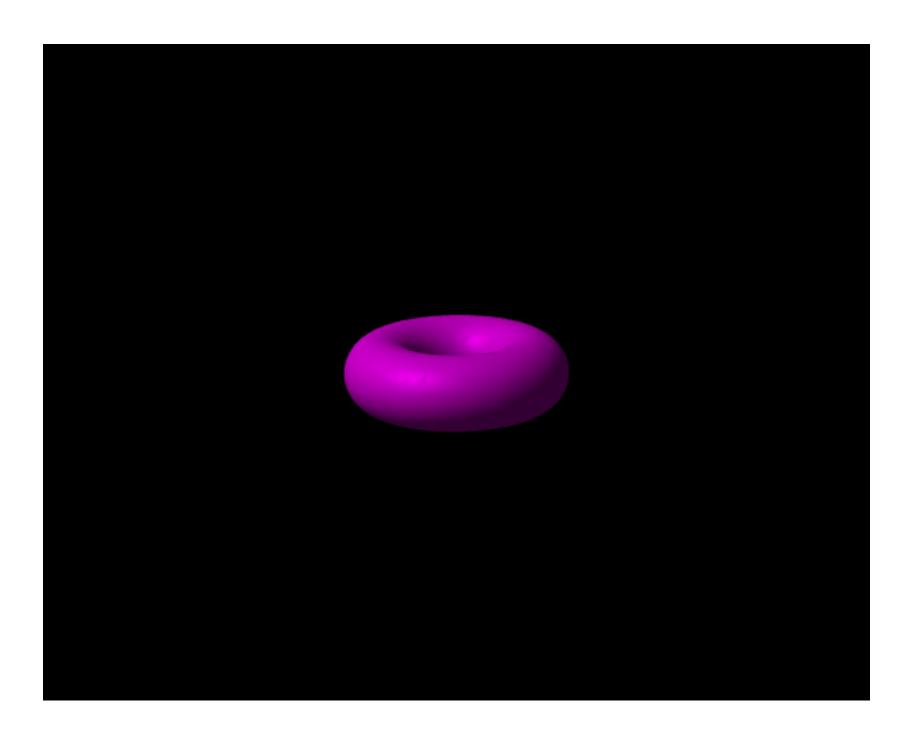
Spin,  $\chi = 24$ ,  $\tau = -16$ .

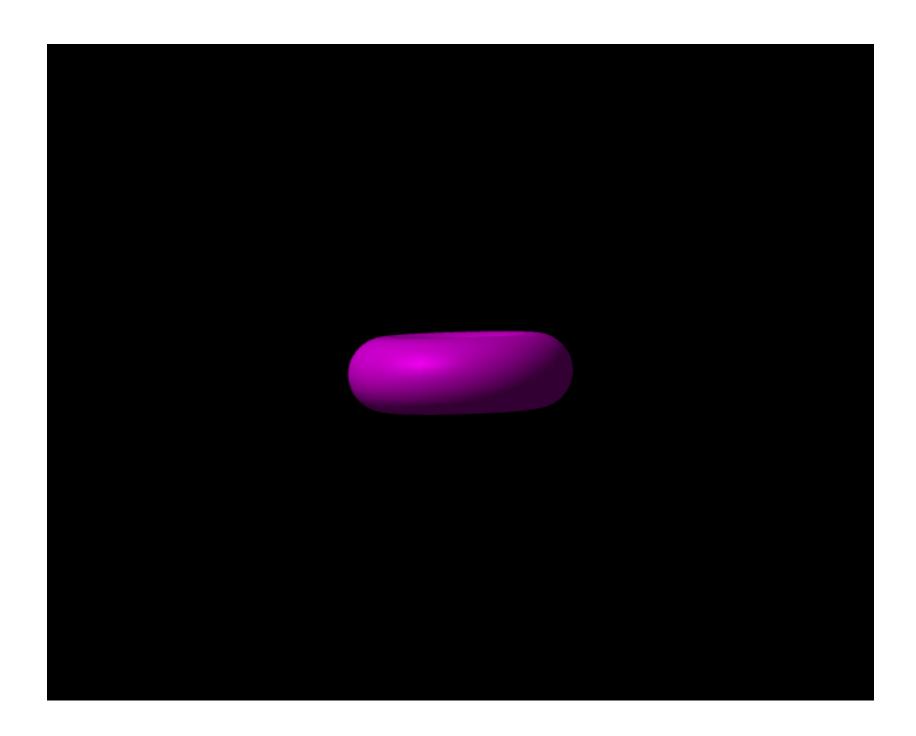
Kummer construction:

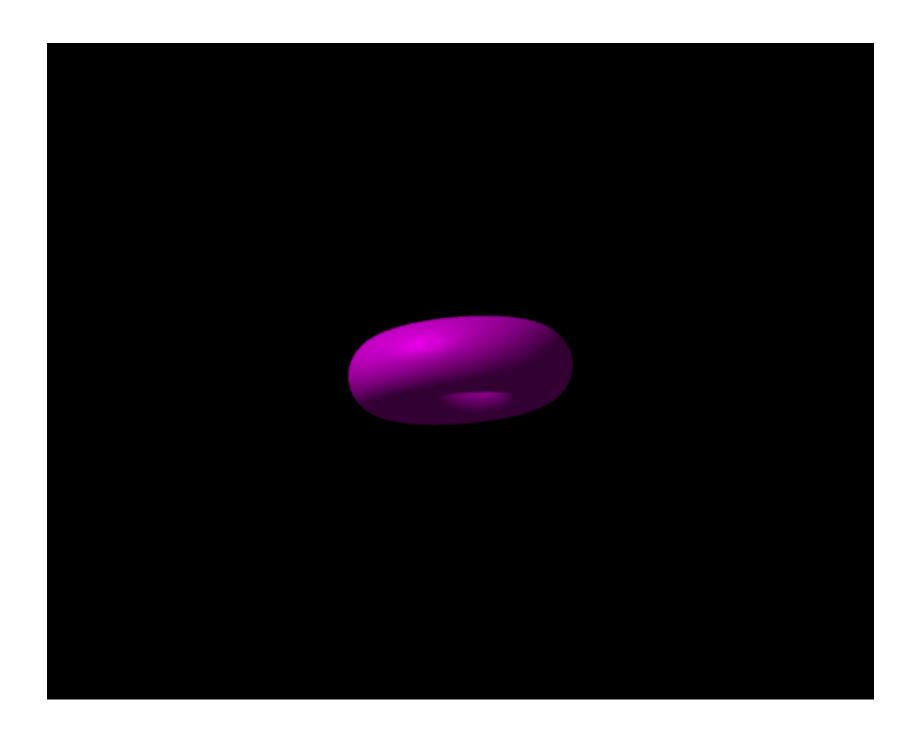
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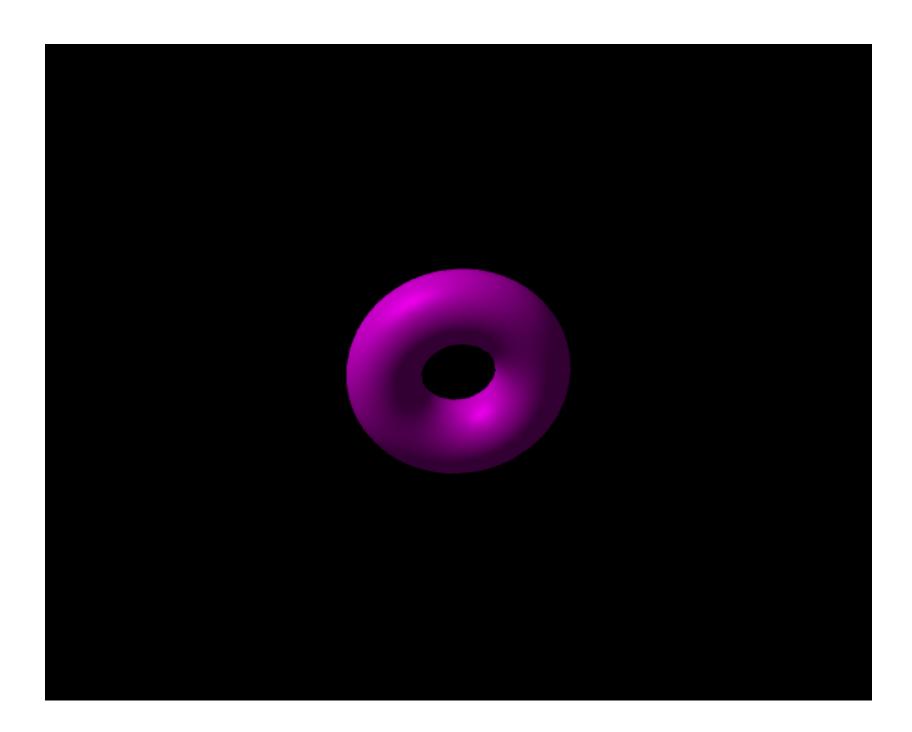
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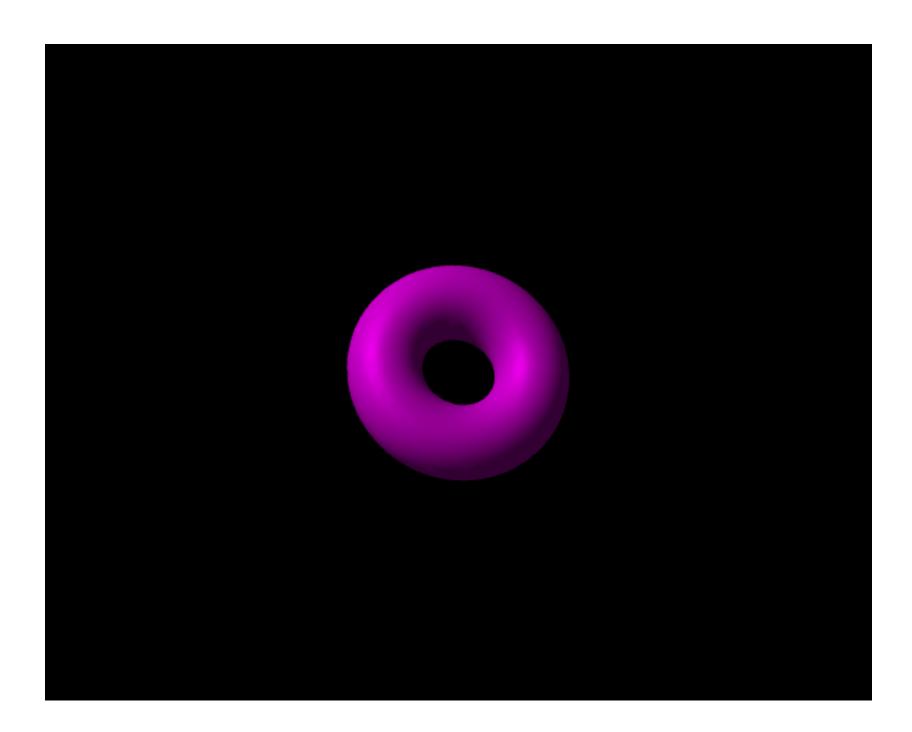


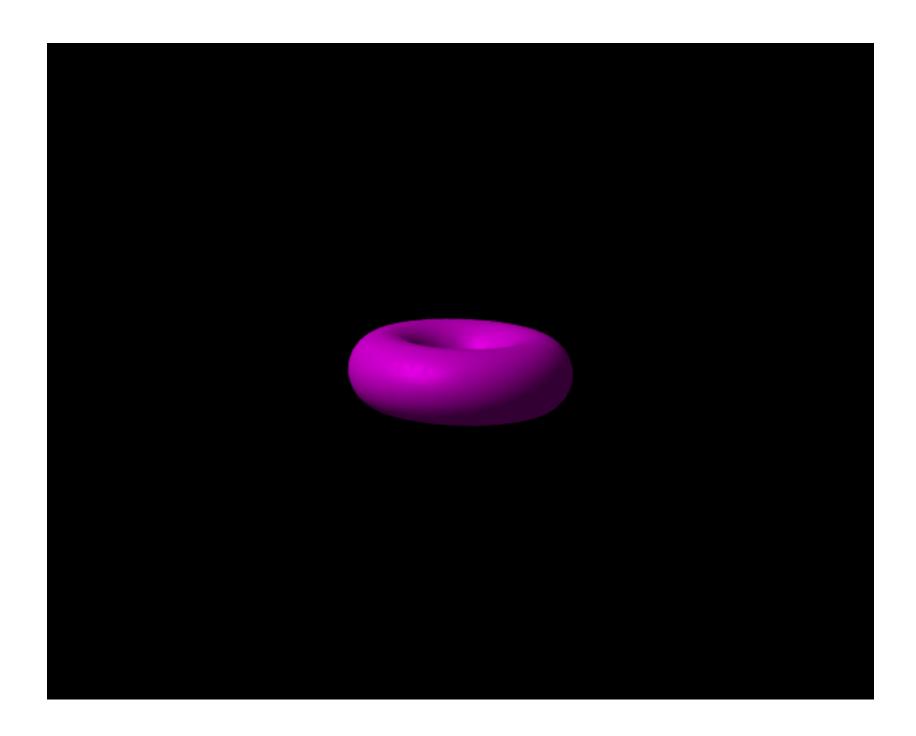




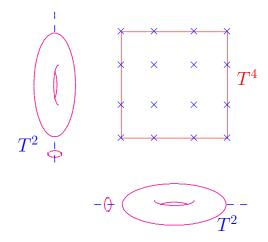




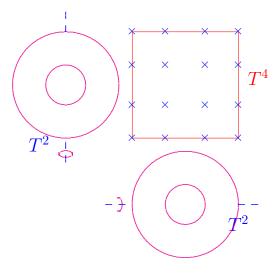




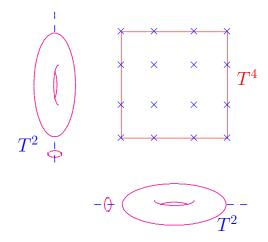
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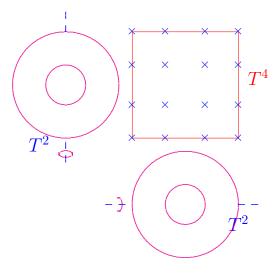
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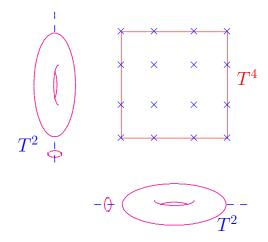
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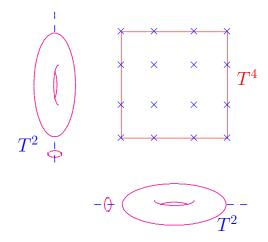
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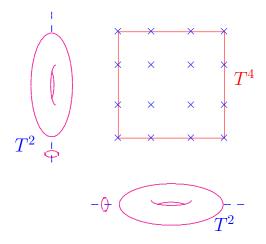


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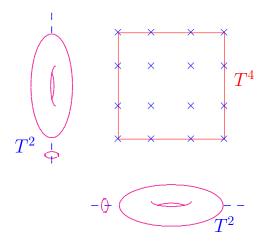
Begin with  $T^4/\mathbb{Z}_2$ :



Replace  $\mathbb{R}^4/\mathbb{Z}_2$  neighborhood of each singular point with copy of  $T^*S^2$ .

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Replace  $\mathbb{R}^4/\mathbb{Z}_2$  neighborhood of each singular point with copy of  $T^*S^2$ .

Result is a K3 surface.

Kummer construction:

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Begin with  $T^4/\mathbb{Z}_2$ : Singular quartic in  $\mathbb{CP}_3$ .

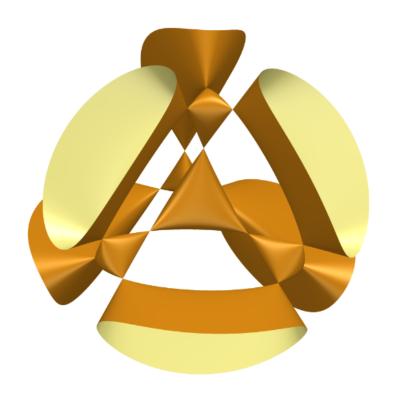
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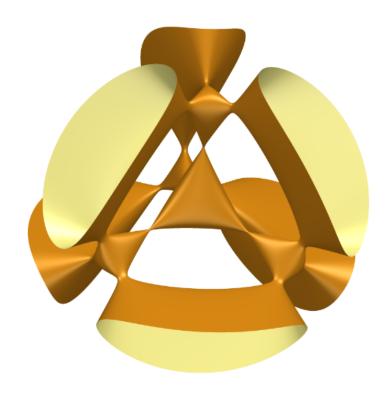
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 $T^4$  = Picard torus of curve of genus 2.

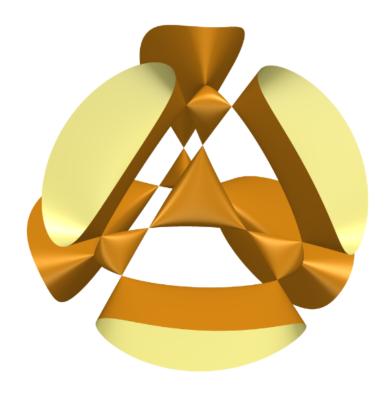
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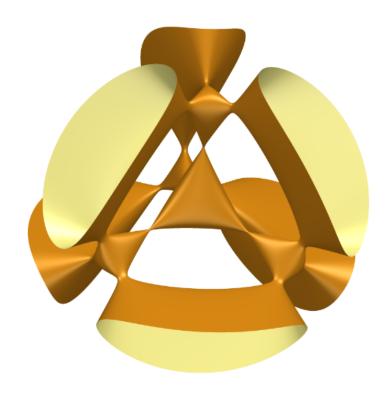
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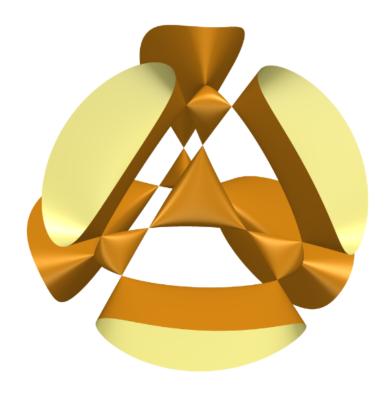
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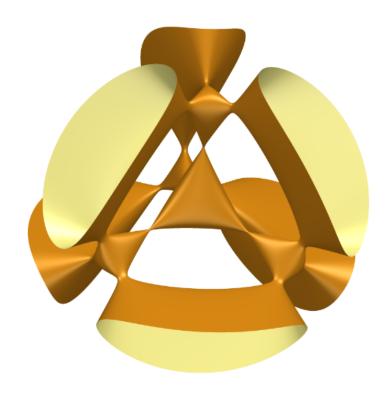
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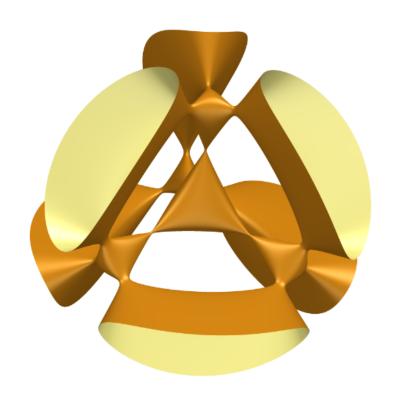
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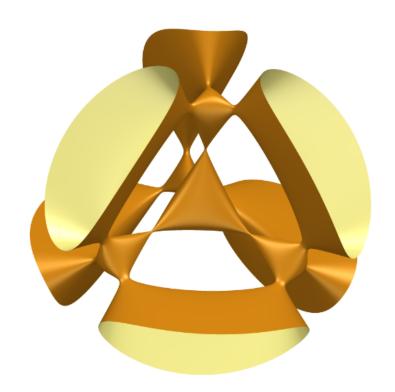
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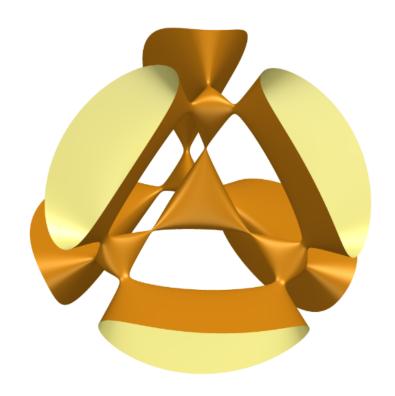


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$$0 = x^4 + y^4 + z^4 + w^4$$

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Generic quartic is then a K3 surface. Example:

$$0 = (x^2 + y^2 + z^2 - w^2)^2 - 8[(1 - z^2)^2 - 2x^2][(1 + z^2)^2 - 2y^2]$$

**Theorem** (Freedman/Donaldson). Two smooth compact simply connected oriented 4-manifolds are orientedly homeomorphic if and only if

- they have the same Euler characteristic  $\chi$ ;
- they have the same signature  $\tau$ ; and
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Corollary. Any smooth compact simply connected non-spin 4-manifold M is homeomorphic to a connect sum  $j\mathbb{CP}_2\# k\overline{\mathbb{CP}}_2$ .

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Conjecture (11/8 Conjecture). Any smooth compact simply connected spin 4-manifold M is (unorientedly) homeomorphic to either  $S^4$  or a connected sum  $jK3\#k(S^2\times S^2)$ .

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$$b_2 \ge \frac{11}{8} |\tau|.$$

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Certainly true of all examples in this lecture!

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Even Narrower Question. If  $(M^4, J)$  is a compact complex surface, when does  $M^4$  admit an Einstein metric g (unrelated to J) with Einstein constant  $\lambda \geq 0$ ?

**Theorem** (L '09). Suppose that M is a smooth compact oriented 4-manifold which admits an integrable complex structure J.

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M \stackrel{diff}{pprox} \left\{ egin{array}{c} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, \\ M \stackrel{diff}{pprox} \left\{ egin{array}{c} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, \\ \mathbb{CP}_2 \# k \overline{\mathbb{CP}}
```

```
M \stackrel{diff}{\approx} \left\{ \begin{array}{c} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, & 0 \leq k \leq 8, \\ \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, & 0 \leq k \leq 8, \end{array} \right.
```

$$integrable\ complex\ structure\ J.\ The admits\ an\ Einstein\ metric\ g\ with\ \lambda \ge only\ if$$
 
$$\left\{ egin{array}{c} \mathbb{CP}_2\#k\overline{\mathbb{CP}}_2, & 0 \le k \le 8, \\ S^2 \times S^2, & \end{array} \right.$$
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$$compact$$
 oriented 4-manifold which integrable complex structure  $J$ . The admits an Einstein metric  $g$  with  $\lambda \geq 0$  only if 
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$$M \stackrel{diff}{\approx} \begin{cases} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, & 0 \le k \le 8, \\ S^2 \times S^2, \\ K3, \\ K3/\mathbb{Z}_2, \\ T^4, \\ T^4/\mathbb{Z}_2, T^4/\mathbb{Z}_3, T^4/\mathbb{Z}_4, T^4/\mathbb{Z}_6, \end{cases}$$

only if 
$$\begin{pmatrix}
\mathbb{CP}_{2} \# k \overline{\mathbb{CP}}_{2}, & 0 \leq k \leq 8, \\
S^{2} \times S^{2}, \\
K3, \\
K3/\mathbb{Z}_{2}, \\
T^{4}, \\
T^{4}/\mathbb{Z}_{2}, T^{4}/\mathbb{Z}_{3}, T^{4}/\mathbb{Z}_{4}, T^{4}/\mathbb{Z}_{6}, \\
T^{4}/(\mathbb{Z}_{2} \oplus \mathbb{Z}_{2}), T^{4}/(\mathbb{Z}_{3} \oplus \mathbb{Z}_{3}), or T^{4}/(\mathbb{Z}_{2} \oplus \mathbb{Z}_{4}).
\end{pmatrix}$$

Del Pezzo surfaces, K3 surface, Enriques surface, Abelian surface, Hyper-elliptic surfaces.

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\mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, & 0 \leq k \leq 8, \\
S^2 \times S^2, & \\
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T^4/\mathbb{Z}_2, T^4/\mathbb{Z}_3, T^4/\mathbb{Z}_4, T^4/\mathbb{Z}_6, & \\
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Del Pezzo surfaces, K3 surface, Enriques surface, Abelian surface, Hyper-elliptic surfaces.

Similarly when M symplectic instead of complex.

only if
$$\begin{pmatrix}
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S^2 \times S^2, \\
K3, \\
K3/\mathbb{Z}_2, \\
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Existence: Yau, Tian, Page, Chen-L-Weber, et al.

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No others: Hitchin-Thorpe, Seiberg-Witten, ...

```
\mathbb{CP}_{2} \# k \overline{\mathbb{CP}}_{2}, \quad 0 \leq k \leq 8, \\
S^{2} \times S^{2}, \\
K3, \\
K3/\mathbb{Z}_{2}, \\
T^{4}, \\
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```

### Definitive list . . .

```
\mathbb{CP}_{2} \# k \mathbb{\overline{CP}}_{2}, \quad 0 \leq k \leq 8, \\
S^{2} \times S^{2}, \\
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K3/\mathbb{Z}_{2}, \\
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T^{4}/\mathbb{Z}_{2}, T^{4}/\mathbb{Z}_{3}, T^{4}/\mathbb{Z}_{4}, T^{4}/\mathbb{Z}_{6}, \\
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Below the line:

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S^{2} \times S^{2}, \\
K3, \\
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T^{4}, \\
T^{4}/\mathbb{Z}_{2}, T^{4}/\mathbb{Z}_{3}, T^{4}/\mathbb{Z}_{4}, T^{4}/\mathbb{Z}_{6}, \\
T^{4}/(\mathbb{Z}_{2} \oplus \mathbb{Z}_{2}), T^{4}/(\mathbb{Z}_{3} \oplus \mathbb{Z}_{3}), \text{ or } T^{4}/(\mathbb{Z}_{2} \oplus \mathbb{Z}_{4}).$$

#### Below the line:

Every Einstein metric is Ricci-flat Kähler.

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Ricci-flat Kähler:

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Theorem (Yau).

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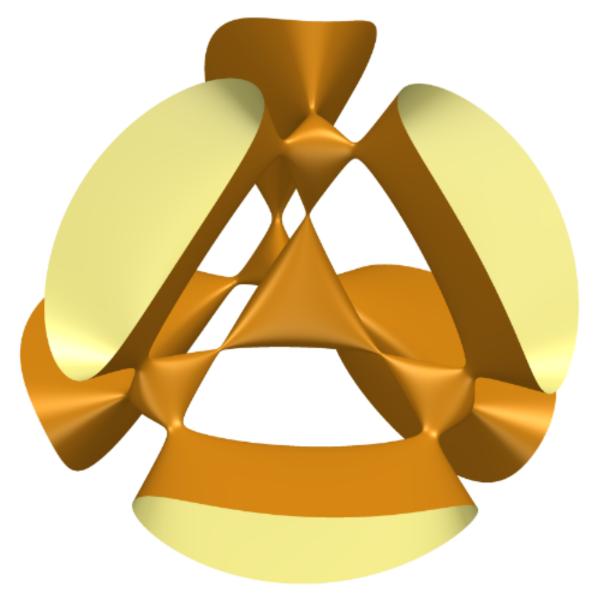
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"Calabi-Yau metrics."

Corollary.  $\exists \lambda = 0 \text{ Einstein metrics on } K3.$ 

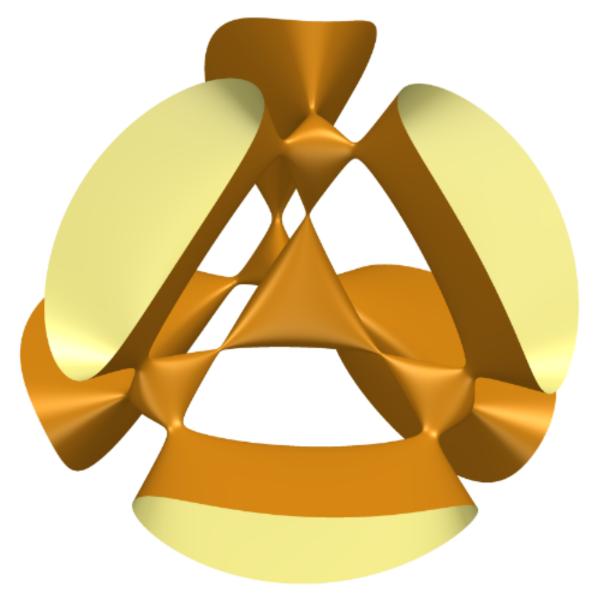
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Indeed,  $\exists$  sequences of these  $\longrightarrow$  flat orbifold  $T^4/\mathbb{Z}_2$ .

# Hitchin-Thorpe Inequality:

$$(2\chi + 3\tau)(\mathbf{M}) = \frac{1}{4\pi^2} \int_{\mathbf{M}} \left( \frac{\mathbf{s}^2}{24} + 2|W_+|^2 - \frac{|\mathring{\mathbf{r}}|^2}{2} \right) d\mu_g$$

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**Theorem** (Hitchin-Thorpe Inequality). If smooth compact oriented  $M^4$  admits Einstein g, then

$$(2\chi + 3\tau)(M) \ge 0,$$

with equality only if (M, g) finitely covered by flat  $T^4$  or Calabi-Yau K3.

**Theorem** (L '09). Suppose that M is a smooth compact oriented 4-manifold which admits an integrable complex structure J. Then M also admits an Einstein metric g with  $\lambda \geq 0$  if and only if

$$\mathbb{CP}_{2} \# k \overline{\mathbb{CP}}_{2}, \quad 0 \leq k \leq 8, \\
S^{2} \times S^{2}, \\
K3, \\
K3/\mathbb{Z}_{2}, \\
T^{4}, \\
T^{4}/\mathbb{Z}_{2}, T^{4}/\mathbb{Z}_{3}, T^{4}/\mathbb{Z}_{4}, T^{4}/\mathbb{Z}_{6}, \\
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Moduli space  $\mathscr{E}(M)$  completely understood.

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Know an Einstein metric on each manifold.

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In the remaining cases,

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Derdziński '83: breakthrough paper on this subject.

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As Riemannian metrics, they satisfy a curious curvature condition. Namely, if  $\omega$  is a non-trivial self-dual harmonic 2-form, they satisfy

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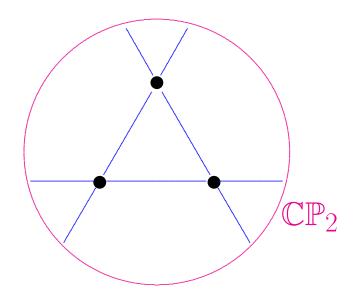
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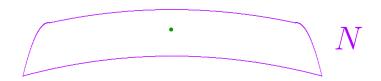
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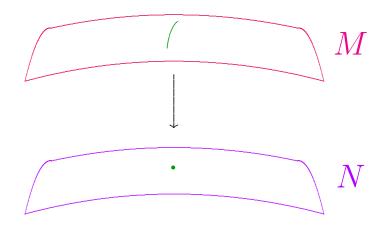
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in which added  $\mathbb{CP}_1$  has normal bundle  $\mathcal{O}(-1)$ .





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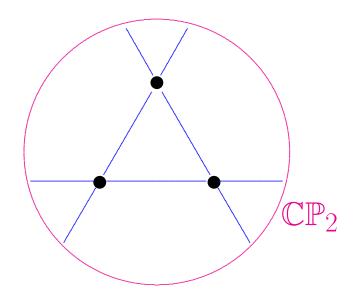
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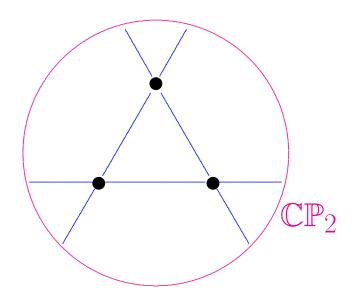
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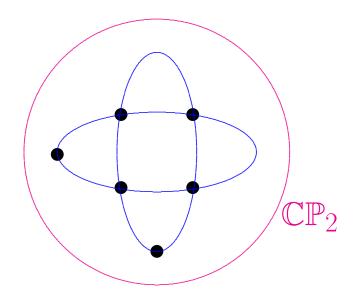
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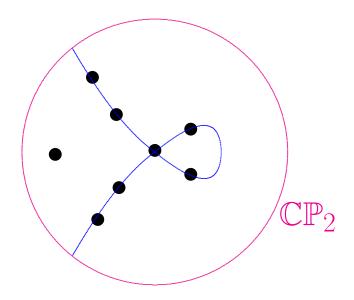
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**Theorem.** Each Del Pezzo  $(M^4, J)$  admits a compatible conformally Kähler Einstein metric, and this metric is unique up to automorphisms and rescaling.

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Existence: Tian, Odaka-Spotti-Sun, Chen-L-Weber...

Uniqueness: Bando-Mabuchi, L'12...

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Just a point if  $b_2(M) \leq 5$ .

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Theorem (L '14).  $\mathscr{E}^+_{\omega}(M)$  is connected. Moreover, if  $b_2(M) \leq 5$ ,

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Corollary.

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Corollary.  $\mathscr{E}^+_{\omega}(M)$  is exactly one connected component of  $\mathscr{E}(M)$ .

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But that would be the subject of an an **entirely different** colloquium!