Weyl Curvature,

Einstein Metrics, and

4-Dimensional Geometry

Claude LeBrun Stony Brook University

Geometric Analysis Conference, Lisboa, Portugal July 9, 2014

Weyl tensor = Riemann curvature mod Ricci.

On Riemannian *n*-manifold (M, g), $n \geq 3$,

$$\mathcal{R}^{ab}{}_{cd} = W^{ab}{}_{cd} + \frac{4}{n-2} \mathring{r}^{[a}{}_{[c} \delta^{b]}_{d]} + \frac{2}{n(n-1)} s \delta^{a}{}_{[c} \delta^{b]}_{d]}$$

$$\mathcal{R}^{ab}{}_{cd} = W^{ab}{}_{cd} + \frac{4}{n-2} \mathring{r}^{[a}{}_{[c} \delta^{b]}_{d]} + \frac{2}{n(n-1)} \mathbf{s} \delta^{a}{}_{[c} \delta^{b]}_{d]}$$

where

s = scalar curvature

 \mathring{r} = trace-free Ricci curvature

W =Weyl curvature

$$\mathcal{R}^{ab}{}_{cd} = W^{ab}{}_{cd} + \frac{4}{n-2} \mathring{r}^{[a}{}_{[c}\delta^{b]}_{d]} + \frac{2}{n(n-1)} s \delta^a_{[c}\delta^b_{d]}$$
 where

s = scalar curvature

 \mathring{r} = trace-free Ricci curvature

W = Weyl curvature (conformally invariant)

$$\mathcal{R}^{ab}{}_{cd} = W^{ab}{}_{cd} + \frac{4}{n-2} \mathring{r}^{[a}{}_{[c}\delta^{b]}_{d]} + \frac{2}{n(n-1)} s \delta^a_{[c}\delta^b_{d]}$$
 where

s = scalar curvature

 \mathring{r} = trace-free Ricci curvature

W = Weyl curvature (conformally invariant)

 W^a_{bcd} unchanged if $g \rightsquigarrow \hat{g} = u^2 g$.

$$\mathcal{R}^{ab}{}_{cd} = W^{ab}{}_{cd} + \frac{4}{n-2} \mathring{r}^{[a}{}_{[c}\delta^{b]}_{d]} + \frac{2}{n(n-1)} s \delta^a_{[c}\delta^b_{d]}$$
 where

s = scalar curvature

 \mathring{r} = trace-free Ricci curvature

W = Weyl curvature (conformally invariant)

Proposition. Assume $n \ge 4$. Then (M^n, g) locally conformally flat $\iff W \equiv 0$.

$$\mathcal{R}^{ab}{}_{cd} = W^{ab}{}_{cd} + \frac{4}{n-2} \mathring{r}^{[a}{}_{[c} \delta^{b]}_{d]} + \frac{2}{n(n-1)} s \delta^a_{[c} \delta^b_{d]}$$
 where

s = scalar curvature

 \mathring{r} = trace-free Ricci curvature

W = Weyl curvature (conformally invariant)

Proposition. Assume $n \ge 4$. Then (M^n, g) locally conformally flat $\iff W \equiv 0$.

$$\Longrightarrow$$
 Weyl

$$\mathcal{R}^{ab}{}_{cd} = W^{ab}{}_{cd} + \frac{4}{n-2} \mathring{r}^{[a}{}_{[c}\delta^{b]}_{d]} + \frac{2}{n(n-1)} s \delta^a_{[c}\delta^b_{d]}$$
 where

s = scalar curvature

 \mathring{r} = trace-free Ricci curvature

W = Weyl curvature (conformally invariant)

Proposition. Assume $n \ge 4$. Then (M^n, g) locally conformally flat $\iff W \equiv 0$.

$$\Longrightarrow \mathbf{Weyl}$$

← Cartan

For metrics on fixed M^n ,

 $\mathscr{W}:\mathcal{G}_M\longrightarrow\mathbb{R}$

$$\mathscr{W}(g) = \int_{M} |W_g|^{n/2} d\mu_g$$

$$\mathscr{W}(g) = \int_{M} |W_g|^{n/2} d\mu_g$$

only depends on the conformal class

$$W([g]) = \int_{M} |W_g|^{n/2} d\mu_g$$

only depends on the conformal class

$$[g] = \{u^2g \mid u : M \xrightarrow{C^{\infty}} \mathbb{R}^+\}.$$

$$\mathscr{W}([g]) = \int_{M} |W_g|^{n/2} d\mu_g$$

only depends on the conformal class

$$[g] = \{u^2g \mid u : M \xrightarrow{C^{\infty}} \mathbb{R}^+\}.$$

$$\mathscr{W}: \mathcal{G}_M/(C^{\infty})^+ \longrightarrow \mathbb{R}$$

$$\mathscr{W}([g]) = \int_{M} |W_{g}|^{n/2} d\mu_{g}$$

only depends on the conformal class

$$[g] = \{u^2g \mid u : M \xrightarrow{C^{\infty}} \mathbb{R}^+\}.$$

Measures deviation [g] from conformal flatness.

$$\mathscr{W}([g]) = \int_{M} |W_g|^{n/2} d\mu_g$$

only depends on the conformal class

$$[g] = \{u^2g \mid u : M \xrightarrow{C^{\infty}} \mathbb{R}^+\}.$$

Measures deviation [g] from conformal flatness.

Basic problems: For given smooth compact M,

$$\mathscr{W}([g]) = \int_{M} |W_{g}|^{n/2} d\mu_{g}$$

only depends on the conformal class

$$[g] = \{u^2g \mid u : M \xrightarrow{C^{\infty}} \mathbb{R}^+\}.$$

Measures deviation [g] from conformal flatness.

Basic problems: For given smooth compact M,

• What is $\inf \mathcal{W}$?

$$W([g]) = \int_{M} |W_g|^{n/2} d\mu_g$$

only depends on the conformal class

$$[g] = \{u^2g \mid u : M \xrightarrow{C^{\infty}} \mathbb{R}^+\}.$$

Measures deviation [g] from conformal flatness.

Basic problems: For given smooth compact M,

- What is $\inf \mathscr{W}$?
- Do there exist minimizers?

Einstein metrics are critical points of \mathcal{W} .

Einstein metrics are critical points of \mathcal{W} .

Einstein metrics are critical points of \mathcal{W} .

In certain cases, they are known to be minima:

 T^4 ,

Einstein metrics are critical points of \mathcal{W} .

$$T^4$$
, $K3$,

Einstein metrics are critical points of \mathcal{W} .

$$T^4$$
, $K3$, \mathcal{H}^4/Γ ,

Einstein metrics are critical points of \mathcal{W} .

$$T^4$$
, $K3$, \mathcal{H}^4/Γ , $\mathbb{C}\mathcal{H}_2/\Gamma$.

Einstein metrics are critical points of \mathcal{W} .

In certain cases, they are known to be minima:

$$T^4$$
, $K3$, \mathcal{H}^4/Γ , $\mathbb{C}\mathcal{H}_2/\Gamma$.

Used to show Einstein moduli space is connected.

Einstein metrics are critical points of \mathcal{W} .

In certain cases, they are known to be minima:

$$T^4$$
, $K3$, \mathcal{H}^4/Γ , $\mathbb{C}\mathcal{H}_2/\Gamma$.

Used to show Einstein moduli space is connected.

Berger, Hitchin, Besson-Courtois-Gallot, L.

Einstein metrics are critical points of \mathcal{W} .

In certain cases, they are known to be minima:

$$T^4$$
, $K3$, \mathcal{H}^4/Γ , $\mathbb{C}\mathcal{H}_2/\Gamma$.

Used to show Einstein moduli space is connected.

Berger, Hitchin, Besson-Courtois-Gallot, L.

Warning: Proofs also require control of $\int s^2 d\mu!$

For M^4 ,

For M^4 ,

$$\mathscr{W}([g]) = \int_{M} |W_g|^2 d\mu_g$$

For M^4 ,

$$\mathscr{W}([g]) = \int_{M} |W_g|^2 d\mu_g$$

Euler-Lagrange equations B = 0 elliptic mod gauge.

For M^4 ,

$$\mathcal{W}([g]) = \int_{M} |W_g|^2 d\mu_g$$

Euler-Lagrange equations B = 0 elliptic mod gauge.

Here

$$B_{ab} := (\nabla^c \nabla^d + \frac{1}{2} \mathring{\mathbf{r}}^{cd}) W_{acbd}$$

For M^4 ,

$$\mathscr{W}([g]) = \int_{M} |W_g|^2 d\mu_g$$

Euler-Lagrange equations B = 0 elliptic mod gauge.

Here

$$B_{ab} := (\nabla^c \nabla^d + \frac{1}{2} \mathring{\mathbf{r}}^{cd}) W_{acbd}$$

called Bach tensor.

Dimension Four is Exceptional

For M^4 ,

$$\mathscr{W}([g]) = \int_{M} |W_g|^2 d\mu_g$$

Euler-Lagrange equations B = 0 elliptic mod gauge.

Here

$$B_{ab} := (\nabla^c \nabla^d + \frac{1}{2} \mathring{\mathbf{r}}^{cd}) W_{acbd}$$

called Bach tensor.

Solutions called Bach-flat metrics.

Dimension Four is Exceptional

For M^4 ,

$$\mathscr{W}([g]) = \int_{M} |W_g|^2 d\mu_g$$

Euler-Lagrange equations B = 0 elliptic mod gauge.

Here

$$B_{ab} := (\nabla^c \nabla^d + \frac{1}{2} \mathring{\mathbf{r}}^{cd}) W_{acbd}$$

called Bach tensor.

Solutions called Bach-flat metrics.

Bianchi \Longrightarrow Any Einstein (M^4, g) is Bach-flat.

Dimension Four is Exceptional

For M^4 ,

$$\mathcal{W}([g]) = \int_{M} |W_g|^2 d\mu_g$$

Euler-Lagrange equations B = 0 elliptic mod gauge.

Here

$$B_{ab} := (\nabla^c \nabla^d + \frac{1}{2} \mathring{\mathbf{r}}^{cd}) W_{acbd}$$

called Bach tensor.

Solutions called Bach-flat metrics.

Bianchi \Longrightarrow Any Einstein (M^4, g) is Bach-flat.

Of course, conformally Einstein good enough!

For M^n ,

$$\mathscr{W}([g]) = \int_{M} |W_g|^{n/2} d\mu_g$$

For M^n ,

$$\mathcal{W}([g]) = \int_{M} |W_g|^{n/2} d\mu_g$$

has degenerate Euler-Lagrange equation

$$|W_g|^{(n-4)/2}(\nabla\nabla\nabla\cdot W + \cdots) = 0$$

when n > 4.

For M^n ,

$$\mathcal{W}([g]) = \int_{M} |W_g|^{n/2} d\mu_g$$

has degenerate Euler-Lagrange equation

$$|W_g|^{(n-4)/2}(\nabla\nabla\nabla\cdot W + \cdots) = 0$$

when n > 4.

Einstein metrics are usually not critical points.

For M^n ,

$$\mathscr{W}([g]) = \int_{M} |W_g|^{n/2} d\mu_g$$

has degenerate Euler-Lagrange equation

$$|W_g|^{(n-4)/2}(\nabla\nabla\nabla\cdot W + \cdots) = 0$$

when n > 4.

Einstein metrics are usually not critical points.

Ricci-flat product $K3 \times T^m$

For M^n ,

$$\mathscr{W}([g]) = \int_{M} |W_g|^{n/2} d\mu_g$$

has degenerate Euler-Lagrange equation

$$|W_g|^{(n-4)/2}(\nabla\nabla\nabla\cdot W + \cdots) = 0$$

when n > 4.

Einstein metrics are usually not critical points.

Ricci-flat product $K3 \times T^m$

$$\mathscr{W}([g]) = \int_{M} |\mathcal{R}_g|^{n/2} d\mu_g$$

For M^n ,

$$\mathcal{W}([g]) = \int_{M} |W_g|^{n/2} d\mu_g$$

has degenerate Euler-Lagrange equation

$$|W_g|^{(n-4)/2}(\nabla\nabla\nabla\cdot W + \cdots) = 0$$

when n > 4.

Einstein metrics are usually not critical points.

Ricci-flat product $K3 \times T^m$ not critical,

For M^n ,

$$\mathscr{W}([g]) = \int_{M} |W_g|^{n/2} d\mu_g$$

has degenerate Euler-Lagrange equation

$$|W_g|^{(n-4)/2}(\nabla\nabla\nabla\cdot W + \cdots) = 0$$

when n > 4.

Einstein metrics are usually not critical points.

Ricci-flat product $K3 \times T^m$ not critical,

even among Ricci-flat product metrics...

On oriented (M^4, g) ,

On oriented (M^4, g) ,

$$\Lambda^2 = \Lambda^+ \oplus \Lambda^-$$

On oriented (M^4, g) ,

$$\Lambda^{2} = \Lambda^{+} \oplus \Lambda^{-}$$
 where Λ^{\pm} are (± 1) -eigenspaces of
$$\star : \Lambda^{2} \to \Lambda^{2},$$

$$\star^{2} = 1.$$

On oriented (M^4, g) ,

$$\Lambda^2 = \Lambda^+ \oplus \Lambda^-$$
 where Λ^{\pm} are (± 1) -eigenspaces of

$$\star : \Lambda^2 \to \Lambda^2,$$

$$\star^2 = 1.$$

 Λ^+ self-dual 2-forms.

 Λ^- anti-self-dual 2-forms.

$$\mathcal{R}: \Lambda^2 \to \Lambda^2$$

$$\mathcal{R}: \Lambda^2 \to \Lambda^2$$

splits into 4 irreducible pieces:

$$\mathcal{R}:\Lambda^2\to\Lambda^2$$

splits into 4 irreducible pieces:

$$\mathcal{R} = \begin{pmatrix} W_{+} + \frac{s}{12} & \mathring{r} \\ & & \\ \mathring{r} & W_{-} + \frac{s}{12} \end{pmatrix}$$

$$\mathcal{R}: \Lambda^2 \to \Lambda^2$$

splits into 4 irreducible pieces:

$$\Lambda^{+*} \qquad \Lambda^{-*}$$

$$\Lambda^{+} \qquad W_{+} + \frac{s}{12} \qquad \mathring{r}$$

$$\Lambda^{-} \qquad \mathring{r} \qquad W_{-} + \frac{s}{12}$$

$$\mathcal{R}:\Lambda^2\to\Lambda^2$$

splits into 4 irreducible pieces:

$$\Lambda^{+*} \qquad \Lambda^{-*}$$

$$\Lambda^{+} \qquad W_{+} + \frac{s}{12} \qquad \mathring{r}$$

$$\Lambda^{-} \qquad \mathring{r} \qquad W_{-} + \frac{s}{12}$$

where

s = scalar curvature

 \mathring{r} = trace-free Ricci curvature

 $W_{+} = \text{self-dual Weyl curvature}$

 W_{-} = anti-self-dual Weyl curvature

$$\mathcal{R}: \Lambda^2 \to \Lambda^2$$

splits into 4 irreducible pieces:

$$\Lambda^{+*} \qquad \Lambda^{-*}$$

$$\Lambda^{+} \qquad W_{+} + \frac{s}{12} \qquad \mathring{r}$$

$$\Lambda^{-} \qquad \mathring{r} \qquad W_{-} + \frac{s}{12}$$

where

s = scalar curvature

 \mathring{r} = trace-free Ricci curvature

 $W_{+} = \text{self-dual Weyl curvature } (conformally invariant)$

 W_{-} = anti-self-dual Weyl curvature

Four Basic Quadratic Curvature Functionals

Four Basic Quadratic Curvature Functionals

$$\mathcal{G}_{M} \longrightarrow \mathbb{R}$$

$$\begin{cases}
\int_{M} s^{2} d\mu_{g} \\
\int_{M} |\mathring{r}|^{2} d\mu_{g} \\
\int_{M} |W_{+}|^{2} d\mu_{g} \\
\int_{M} |W_{-}|^{2} d\mu_{g}
\end{cases}$$

Four Basic Quadratic Curvature Functionals

$$g \longmapsto \begin{cases} \int_{M} s^2 d\mu_g \\ \int_{M} |\mathring{r}|^2 d\mu_g \\ \int_{M} |W_{+}|^2 d\mu_g \\ \int_{M} |W_{-}|^2 d\mu_g \end{cases}$$

However, these are not independent!

For (M^4, g) compact oriented Riemannian,

For (M^4, g) compact oriented Riemannian,

Euler characteristic

$$\chi(\mathbf{M}) = \frac{1}{8\pi^2} \int_{\mathbf{M}} \left(\frac{\mathbf{s}^2}{24} + |W_+|^2 + |W_-|^2 - \frac{|\mathring{\mathbf{r}}|^2}{2} \right) d\mu$$

For (M^4, g) compact oriented Riemannian,

Euler characteristic

$$\chi(\mathbf{M}) = \frac{1}{8\pi^2} \int_{\mathbf{M}} \left(\frac{\mathbf{s}^2}{24} + |W_+|^2 + |W_-|^2 - \frac{|\mathring{\mathbf{r}}|^2}{2} \right) d\mu$$

Signature

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |\mathring{r}|^2 d\mu_g$.

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |\mathring{r}|^2 d\mu_g$.

Einstein metrics are critical for both.

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |\mathring{r}|^2 d\mu_g$.

Einstein metrics are critical for both.

 \therefore Einstein metrics critical \forall quadratic functionals!

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |\mathring{r}|^2 d\mu_g$.

Einstein metrics are critical for both.

- \therefore Einstein metrics critical \forall quadratic functionals!
- e.g. critical for Weyl functional

$$g \longmapsto \int_{M} |W|_{g}^{2} d\mu_{g}$$

But any quadratic curvature functional also expressible in terms of

But any quadratic curvature functional also expressible in terms of

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |W_+|^2 d\mu_g$.

But any quadratic curvature functional also expressible in terms of

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |W_+|^2 d\mu_g$.

For example,

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |W_+|^2 d\mu_g$.

For example,

$$W([g]) = -12\pi^2 \tau(M) + 2\int_M |W_+|^2 d\mu_g$$

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |W_+|^2 d\mu_g$.

For example,

$$\mathscr{W}([g]) = -12\pi^2 \tau(M) + 2\int_M |W_+|^2 d\mu_g$$

So $\int |W_+|^2 d\mu$ equivalent to Weyl functional.

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |W_+|^2 d\mu_g$.

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |W_+|^2 d\mu_g$.

This is the pair of functionals we'll use henceforth.

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\mathscr{W}([g]) = \int_{M} |W_g|^2 d\mu_g$$

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\mathcal{W}([g]) = \int_{M} |W_{g}|^{2} d\mu_{g}$$

$$= \int_{M} (|W_{+}|^{2} + |W_{-}|^{2}) d\mu_{g}$$

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\mathcal{W}([g]) = \int_{M} |W_{g}|^{2} d\mu_{g}$$

$$= \int_{M} (|W_{+}|^{2} + |W_{-}|^{2}) d\mu_{g}$$

$$\geq \left| \int_{M} (|W_{+}|^{2} - |W_{-}|^{2}) d\mu_{g} \right|$$

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\mathscr{W}([g]) = \int_{M} |W_{g}|^{2} d\mu_{g}$$

$$= \int_{M} (|W_{+}|^{2} + |W_{-}|^{2}) d\mu_{g}$$

$$\ge \left| \int_{M} (|W_{+}|^{2} - |W_{-}|^{2}) d\mu_{g} \right|$$

$$= 12\pi^{2} |\tau(M)|$$

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\mathscr{W}([g]) \ge 12\pi^2 \tau(M)$$

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\mathscr{W}([g]) \ge 12\pi^2 \tau(M)$$

with $= \iff W_- \equiv 0$.

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\mathscr{W}([g]) \ge 12\pi^2 \tau(M)$$

with $= \iff W_- \equiv 0$. "self-dual"

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\mathscr{W}([g]) \ge 12\pi^2 \tau(M)$$

with $= \iff W_- \equiv 0$. "self-dual"
 $\star W = W$

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\mathscr{W}([g]) \ge -12\pi^2 \tau(M)$$

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\mathscr{W}([g]) \ge -12\pi^2 \tau(M)$$

with $= \iff W_+ \equiv 0$.

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\mathscr{W}([g]) \ge -12\pi^2 \tau(M)$$

with $= \iff W_+ \equiv 0$. "anti-self-dual"

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\mathscr{W}([g]) \ge -12\pi^2 \tau(M)$$

with $= \iff W_+ \equiv 0$. "anti-self-dual"
 $\star W = -W$

Signature

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\mathcal{W}([g]) \ge -12\pi^2 \tau(M)$$

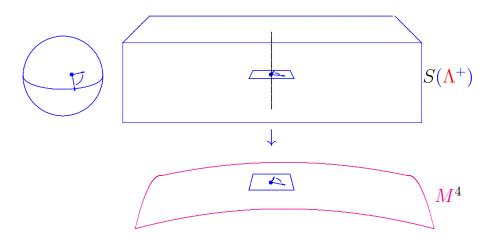
with $= \iff W_+ \equiv 0$. "anti-self-dual"

Reversing orientation \simples

 $self-duality \longleftrightarrow anti-self-duality$

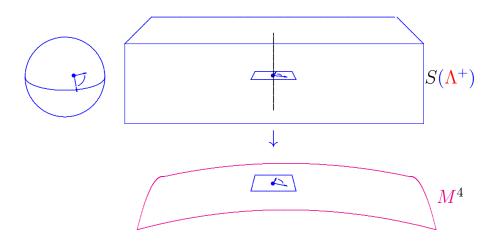
Oriented $(M^4, g) \iff (Z, J)$.

Oriented $(M^4, g) \iff (Z, J)$.



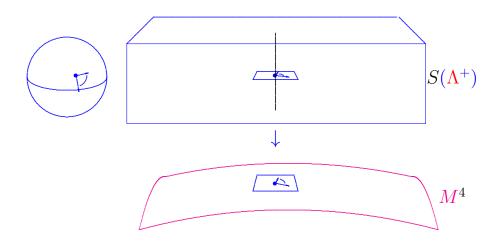
Oriented $(M^4, g) \iff (Z, J)$.

$$Z = S(\Lambda^+), J: TZ \to TZ, J^2 = -1$$
:



Oriented $(M^4, g) \iff (Z, J)$.

$$Z = S(\Lambda^+), J: TZ \to TZ, J^2 = -1$$
:



Theorem (Atiyah-Hitchin-Singer). (Z, J) is a complex 3-manifold iff $W_{+} = 0$.

$$\tau(M) \le 0.$$

$$\tau(M) \le 0.$$

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$\tau(M) \le 0.$$

$$\tau(M) = \frac{1}{12\pi^2} \int_{M} \left(-|W_{-}|^2 \right) d\mu$$

$$\tau(M) \le 0.$$

• Can only exist if

$$\tau(M) \leq 0.$$

• If *M* simply connected and

$$\tau(M) = 0,$$

• Can only exist if

$$\tau(M) \leq 0.$$

• If $\pi_1(M)$ finite and

$$\tau(M) = 0,$$

obstructed if $M \neq S^4$.

• Can only exist if

$$\tau(M) \leq 0.$$

• If $\pi_1(M)$ finite and

$$\tau(M) = 0,$$

obstructed if $M \neq S^4$.

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(-|W_-|^2 \right) d\mu$$

• Can only exist if

$$\tau(M) \le 0.$$

• If $\pi_1(M)$ finite and

$$\tau(M) = 0,$$

obstructed if $M \neq S^4$.

Conformally flat!

• Can only exist if

$$\tau(M) \le 0.$$

• If $\pi_1(M)$ finite and

$$\tau(M) = 0,$$

obstructed if $M \neq S^4$.

Conformally flat! Developing map $\tilde{M} \to S^4$.

• Can only exist if

$$\tau(M) \le 0.$$

• If $\pi_1(M)$ finite and

$$\tau(M) = 0,$$

obstructed if $M \neq S^4$.

• Can only exist if

$$\tau(M) \le 0.$$

• If $\pi_1(M)$ finite and

$$\tau(M) = 0,$$

obstructed if $M \neq S^4$.

Example. $\not\equiv$ ASD metric on \mathbb{CP}_2 .

• Can only exist if

$$\tau(M) \le 0.$$

• If $\pi_1(M)$ finite and

$$\tau(M) = 0,$$

obstructed if $M \neq S^4$.

Example. $\not\equiv$ ASD metric on \mathbb{CP}_2 .

Example. \nexists ASD metric on $S^2 \times S^2$.

Obstructions to Anti-Self-Dual Metrics?

• Can only exist if

$$\tau(M) \leq 0.$$

• If $\pi_1(M)$ finite and

$$\tau(M) = 0,$$

obstructed if $M \neq S^4$.

Example. $\not\equiv$ ASD metric on \mathbb{CP}_2 .

Example. \nexists ASD metric on $S^2 \times S^2$.

Example. \nexists ASD metric on $\mathbb{CP}_2 \# \overline{\mathbb{CP}}_2$.

 $\overline{\mathbb{CP}}_2$ = reverse oriented \mathbb{CP}_2 .

 $\overline{\mathbb{CP}}_2$ = reverse oriented \mathbb{CP}_2 .



 $\overline{\mathbb{CP}}_2$ = reverse oriented \mathbb{CP}_2 .



 $\overline{\mathbb{CP}}_2$ = reverse oriented \mathbb{CP}_2 .



 $\overline{\mathbb{CP}}_2$ = reverse oriented \mathbb{CP}_2 .



 $\overline{\mathbb{CP}}_2$ = reverse oriented \mathbb{CP}_2 .



 $\overline{\mathbb{CP}}_2$ = reverse oriented \mathbb{CP}_2 .



 $\overline{\mathbb{CP}}_2$ = reverse oriented \mathbb{CP}_2 .



Obstructions to Anti-Self-Dual Metrics?

• Can only exist if

$$\tau(M) \leq 0.$$

• If $\pi_1(M)$ finite and

$$\tau(M) = 0,$$

obstructed if $M \neq S^4$.

Example. $\not\equiv$ ASD metric on \mathbb{CP}_2 .

Example. \nexists ASD metric on $S^2 \times S^2$.

Example. \nexists ASD metric on $\mathbb{CP}_2 \# \overline{\mathbb{CP}}_2$.

• $m\overline{\mathbb{CP}}_2$, $m \geq 0$.

• $m\overline{\mathbb{CP}_2}$, $m \geq 0$. L, Donaldson-Friedman, et al

- $m\overline{\mathbb{CP}_2}$, $m \geq 0$. L, Donaldson-Friedman, et al
- $\mathbb{CP}_2 \# m \overline{\mathbb{CP}}_2$, $m \geq 10$.

- $m\overline{\mathbb{CP}_2}$, $m \geq 0$. L, Donaldson-Friedman, et al
- $\mathbb{CP}_2 \# m \overline{\mathbb{CP}_2}$, $m \geq 10$. L-Singer, Rollin-Singer

- $m\overline{\mathbb{CP}_2}$, $m \geq 0$. L, Donaldson-Friedman, et al
- $\mathbb{CP}_2 \# m \overline{\mathbb{CP}_2}$, $m \geq 10$. L-Singer, Rollin-Singer
- $\ell \mathbb{CP}_2 \# m \overline{\mathbb{CP}}_2$, $m \ge 10\ell$.

- $m\overline{\mathbb{CP}_2}$, $m \geq 0$. L, Donaldson-Friedman, et al
- $\mathbb{CP}_2 \# m \overline{\mathbb{CP}_2}$, $m \geq 10$. L-Singer, Rollin-Singer
- $\ell \mathbb{CP}_2 \# m \overline{\mathbb{CP}}_2$, $m \ge 10\ell$.
- $M \# \ell \mathbb{CP}_2 \# m \overline{\mathbb{CP}_2}, m \gg \ell \gg 0, \pi_1(M) = 0.$

- $m\overline{\mathbb{CP}_2}$, $m \geq 0$. L, Donaldson-Friedman, et al
- $\mathbb{CP}_2 \# m \overline{\mathbb{CP}_2}$, $m \geq 10$. L-Singer, Rollin-Singer
- $\ell \mathbb{CP}_2 \# m \overline{\mathbb{CP}}_2$, $m \ge 10\ell$.
- $M \# \ell \mathbb{CP}_2 \# m \overline{\mathbb{CP}_2}, m \gg \ell \gg 0, \pi_1(M) = 0.$
- $M \# m \overline{\mathbb{CP}}_2$, $m \gg 0$, any M.

- $m\overline{\mathbb{CP}_2}$, $m \geq 0$. L, Donaldson-Friedman, et al
- $\mathbb{CP}_2 \# m \overline{\mathbb{CP}_2}$, $m \geq 10$. L-Singer, Rollin-Singer
- $\ell \mathbb{CP}_2 \# m \overline{\mathbb{CP}}_2$, $m \ge 10\ell$.
- $M \# \ell \mathbb{CP}_2 \# m \overline{\mathbb{CP}_2}, m \gg \ell \gg 0, \pi_1(M) = 0.$
- $M \# m \overline{\mathbb{CP}}_2$, $m \gg 0$, any M. Taubes

- $m\overline{\mathbb{CP}_2}$, $m \geq 0$. L, Donaldson-Friedman, et al
- $\mathbb{CP}_2 \# m \overline{\mathbb{CP}_2}$, $m \geq 10$. L-Singer, Rollin-Singer
- $\ell \mathbb{CP}_2 \# m \overline{\mathbb{CP}}_2$, $m \ge 10\ell$.
- $M \# \ell \mathbb{CP}_2 \# m \overline{\mathbb{CP}_2}, m \gg \ell \gg 0, \pi_1(M) = 0.$
- $M \# m \overline{\mathbb{CP}}_2$, $m \gg 0$, any M. Taubes

By contrast:

- $m\overline{\mathbb{CP}_2}$, $m \geq 0$. L, Donaldson-Friedman, et al
- $\mathbb{CP}_2 \# m \overline{\mathbb{CP}_2}$, $m \geq 10$. L-Singer, Rollin-Singer
- $\ell \mathbb{CP}_2 \# m \overline{\mathbb{CP}}_2$, $m \geq 10\ell$.
- $M \# \ell \mathbb{CP}_2 \# m \overline{\mathbb{CP}_2}, m \gg \ell \gg 0, \pi_1(M) = 0.$
- $M \# m \overline{\mathbb{CP}}_2$, $m \gg 0$, any M. Taubes

By contrast:

 $M \# m \mathbb{CP}_2$ never Einstein for $m \gg 0!$

- $m\overline{\mathbb{CP}_2}$, $m \geq 0$. L, Donaldson-Friedman, et al
- $\mathbb{CP}_2 \# m \overline{\mathbb{CP}}_2$, $m \geq 10$. L-Singer, Rollin-Singer
- $\ell \mathbb{CP}_2 \# m \overline{\mathbb{CP}}_2$, $m \ge 10\ell$.
- $M\#\ell\mathbb{CP}_2\#m\overline{\mathbb{CP}}_2$, $m\gg\ell\gg0$, $\pi_1(M)=0$.
- $M \# m \overline{\mathbb{CP}}_2$, $m \gg 0$, any M. Taubes

By contrast:

 $M \# m\mathbb{CP}_2$ never Einstein for $m \gg 0!$

Violate Hitchin-Thorpe inequality $2\chi + 3\tau \ge 0$.

Test case: $\mathbb{CP}_2 \# m \overline{\mathbb{CP}}_2$

• Existence if $m \ge 10$.

- Existence if $m \ge 10$.
- Obstruction if $m \leq 1$.

- Existence if $m \ge 10$.
- Obstruction if $m \leq 1$.
- What about $2 \le m \le 9$?

- Existence if $m \ge 10$.
- Obstruction if $m \leq 1$.
- What about $2 \le m \le 9$?
- What is $\inf \mathcal{W}$ when $m \leq 9$?

- Existence if $m \ge 10$.
- Obstruction if $m \leq 1$.
- What about $2 \le m \le 9$?
- What is $\inf \mathcal{W}$ when $m \leq 9$?
- Do minimizers exist?

Conjecture. $\mathbb{CP}_2 \# m \mathbb{CP}_2$ does not admit an ASD metric if $m \leq 9$.

Conjecture. $\mathbb{CP}_2 \# m \mathbb{CP}_2$ does not admit an ASD metric if $m \leq 9$. Moreover,

$$\inf \int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (9 - m)$$

for these manifolds.

Conjecture. $\mathbb{CP}_2 \# m \mathbb{CP}_2$ does not admit an ASD metric if $m \leq 9$. Moreover, for $m \neq 1, 2$,

$$\inf \int_{M} |W_{+}|^{2} d\mu = \frac{4\pi^{2}}{3} (9 - m)$$

for these manifolds.

Conjecture. $\mathbb{CP}_2 \# m \mathbb{CP}_2$ does not admit an ASD metric if $m \leq 9$. Moreover, for $m \neq 1, 2$,

$$\inf \int_{M} |W_{+}|^{2} d\mu = \frac{4\pi^{2}}{3} (9 - m)$$

for these manifolds. For $m \leq 8$, minimizers exist, and are exactly the conformal classes of conformally Kähler, Einstein metrics.

Conjecture. $\mathbb{CP}_2 \# m\mathbb{CP}_2$ does not admit an ASD metric if $m \leq 9$. Moreover, for $m \neq 1, 2$,

$$\inf \int_{M} |W_{+}|^{2} d\mu = \frac{4\pi^{2}}{3} (9 - m)$$

for these manifolds. For $m \leq 8$, (non-ASD) minimizers exist, and are exactly the conformal classes of conformally Kähler, Einstein metrics.

Conjecture. $\mathbb{CP}_2 \# m\mathbb{CP}_2$ does not admit an ASD metric if $m \leq 9$. Moreover, for $m \neq 1, 2$,

$$\inf \int_{M} |W_{+}|^{2} d\mu = \frac{4\pi^{2}}{3} (9 - m)$$

for these manifolds. For $m \leq 8$, (non-ASD) minimizers exist, and are exactly the conformal classes of conformally Kähler, Einstein metrics. When m = 9, there is no minimizer.

Conjecture. $\mathbb{CP}_2 \# m \mathbb{CP}_2$ does not admit an ASD metric if $m \leq 9$. Moreover, for $m \neq 1, 2$,

$$\inf \int_{M} |W_{+}|^{2} d\mu = \frac{4\pi^{2}}{3} (9 - m)$$

for these manifolds. For $m \leq 8$, (non-ASD) minimizers exist, and are exactly the conformal classes of conformally Kähler, Einstein metrics. When m = 9, there is no minimizer.

To rephrase:

$$\inf \int_{M} |W_{+}|^{2} d\mu = \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M)$$

Conjecture. $\mathbb{CP}_2 \# m \mathbb{CP}_2$ does not admit an ASD metric if $m \leq 9$. Moreover, for $m \neq 1, 2$,

$$\inf \int_{M} |W_{+}|^{2} d\mu = \frac{4\pi^{2}}{3} (9 - m)$$

for these manifolds. For $m \leq 8$, (non-ASD) minimizers exist, and are exactly the conformal classes of conformally Kähler, Einstein metrics. When m = 9, there is no minimizer.

To rephrase:

$$\inf \mathcal{W} = \frac{4\pi^2}{3} (4\chi - 3\tau)(M)$$

Conjecture. $\mathbb{CP}_2 \# m\mathbb{CP}_2$ does not admit an ASD metric if $m \leq 9$. Moreover, for $m \neq 1, 2$,

$$\inf \int_{M} |W_{+}|^{2} d\mu = \frac{4\pi^{2}}{3} (9 - m)$$

for these manifolds. For $m \leq 8$, (non-ASD) minimizers exist, and are exactly the conformal classes of conformally Kähler, Einstein metrics. When m = 9, there is no minimizer.

Proposition (L '99, '04). When
$$m = 9$$
, $\inf \int_{M} |W_{+}|^{2} d\mu = 0$.

Conjecture. $\mathbb{CP}_2 \# m \mathbb{CP}_2$ does not admit an ASD metric if $m \leq 9$. Moreover, for $m \neq 1, 2$,

$$\inf \int_{M} |W_{+}|^{2} d\mu = \frac{4\pi^{2}}{3} (9 - m)$$

for these manifolds. For $m \leq 8$, (non-ASD) minimizers exist, and are exactly the conformal classes of conformally Kähler, Einstein metrics. When m = 9, there is no minimizer.

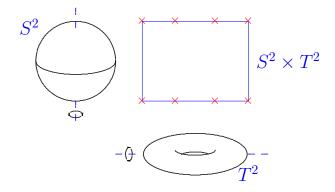
Proposition (L '99, '04). When m = 9, $\inf \int_{M} |W_{+}|^{2} d\mu = 0$.

"Anorexic Sequences": $\int |W_+|^2 d\mu \to 0$, etc.

Conjecture. $\mathbb{CP}_2 \# m \mathbb{CP}_2$ does not admit an ASD metric if $m \leq 9$. Moreover, for $m \neq 1, 2$,

$$\inf \int_{M} |W_{+}|^{2} d\mu = \frac{4\pi^{2}}{3} (9 - m)$$

for these manifolds. For $m \leq 8$, (non-ASD) minimizers exist, and are exactly the conformal classes of conformally Kähler, Einstein metrics. When m = 9, there is no minimizer.



More precisely, \exists such g with Einstein constant $\lambda \iff$ there is a Kähler form ω such that $c_1(M^4, J) = \lambda[\omega].$

More precisely, \exists such g with Einstein constant $\lambda \iff$ there is a Kähler form ω such that

$$c_1(M^4, J) = \lambda[\omega].$$

Moreover, this metric is unique, up to isometry, if $\lambda \neq 0$.

More precisely, \exists such g with Einstein constant $\lambda \iff$ there is a Kähler form ω such that

$$c_1(M^4, J) = \lambda[\omega].$$

Moreover, this metric is unique, up to isometry, if $\lambda \neq 0$.

Aubin, Yau, Siu, Tian ... Kähler case.

More precisely, \exists such g with Einstein constant $\lambda \iff$ there is a Kähler form ω such that

$$c_1(M^4, J) = \lambda[\omega].$$

Moreover, this metric is unique, up to isometry, if $\lambda \neq 0$.

Aubin, Yau, Siu, Tian ... Kähler case.

Chen-L-Weber (2008), L (2013): non-Kähler case.

More precisely, \exists such g with Einstein constant $\lambda \iff$ there is a Kähler form ω such that

$$c_1(M^4, J) = \lambda[\omega].$$

Moreover, this metric is unique, up to isometry, if $\lambda \neq 0$.

Aubin, Yau, Siu, Tian ... Kähler case.

Chen-L-Weber (2008), L (2013): non-Kähler case.

Only two metrics arise in non-Kähler case!

Proposition. Let (M^4, J) be a compact complex surface, and suppose that g is an Einstein metric on M which is Hermitian with respect to J:

$$g(J\cdot,J\cdot)=g.$$

Proposition. Let (M^4, J) be a compact complex surface, and suppose that g is an Einstein metric on M which is Hermitian with respect to J:

$$g(J\cdot, J\cdot) = g.$$

Then (M^4, g, J) is conformally Kähler!

Proposition. Let (M^4, J) be a compact complex surface, and suppose that g is an Einstein metric on M which is Hermitian with respect to J:

$$g(J\cdot, J\cdot) = g.$$

Then (M^4, g, J) is conformally Kähler!

Strictly four-dimensional phenomenon.

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |W_+|^2 d\mu_g$.

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |W_+|^2 d\mu_g$.

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |W_+|^2 d\mu_g$.

In Kähler case:

$$\int_{M} \frac{s^2}{24} d\mu_g = \int_{M} |W_{+}|^2 d\mu_g .$$

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |W_+|^2 d\mu_g$.

In Kähler case:

$$\int_{M} \frac{s^2}{24} d\mu_g = \int_{M} |W_{+}|^2 d\mu_g .$$

But independent for general Riemannian metrics.

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |W_+|^2 d\mu_g$.

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |W_+|^2 d\mu_g$.

Einstein metrics are critical for both.

$$\int_{M} s^2 d\mu_g$$
 and $\int_{M} |W_+|^2 d\mu_g$.

Einstein metrics are critical for both.

Natural Question. When does Einstein metric g on 4-manifold M minimize one or both of these functionals?

Theorem (L '95).

Theorem (L '95). If smooth compact M^4 admits Kähler-Einstein metric g

Theorem (L '95). If smooth compact M^4 admits Kähler-Einstein metric g with $\lambda \leq 0$,

Theorem (L '95). If smooth compact M^4 admits Kähler-Einstein metric g with $\lambda \leq 0$, then g is absolute minimizer of $\int_M s^2 d\mu$ among all Riemannian metrics on M.

$$\int_{M} s^{2} d\mu \ge 32\pi^{2} (2\chi + 3\tau)(M),$$

$$\int_{M} s^{2} d\mu \ge 32\pi^{2} (2\chi + 3\tau)(M),$$

with equality iff \tilde{g} is Kähler-Einstein, with $\lambda \leq 0$.

$$\int_{M} s^{2} d\mu \ge 32\pi^{2} (2\chi + 3\tau)(M),$$

with equality iff \tilde{g} is Kähler-Einstein, with $\lambda \leq 0$.

Key idea due to Witten '94.

$$\int_{M} s^{2} d\mu \ge 32\pi^{2} (2\chi + 3\tau)(M),$$

with equality iff \tilde{g} is Kähler-Einstein, with $\lambda \leq 0$.

Proof depends on Seiberg-Witten equations

$$\int_{M} s^{2} d\mu \ge 32\pi^{2} (2\chi + 3\tau)(M),$$

with equality iff \tilde{g} is Kähler-Einstein, with $\lambda \leq 0$.

Proof depends on Seiberg-Witten equations

$$\mathcal{D}_{\mathcal{A}}\Phi = 0$$

$$F_{\mathcal{A}}^{+} = -\frac{1}{2}\Phi \otimes \bar{\Phi}.$$

$$\int_{M} s^{2} d\mu \ge 32\pi^{2} (2\chi + 3\tau)(M),$$

with equality iff \tilde{g} is Kähler-Einstein, with $\lambda \leq 0$.

Proof depends on Seiberg-Witten equations

$$\mathcal{D}_{\mathcal{A}}\Phi = 0$$

$$F_{\mathcal{A}}^{+} = -\frac{1}{2}\Phi \otimes \bar{\Phi}.$$

Non-linear version of Dirac equation,

$$\int_{M} s^{2} d\mu \ge 32\pi^{2} (2\chi + 3\tau)(M),$$

with equality iff \tilde{g} is Kähler-Einstein, with $\lambda \leq 0$.

Proof depends on Seiberg-Witten equations

$$\mathcal{D}_{\mathcal{A}}\Phi = 0$$

$$F_{\mathcal{A}}^{+} = -\frac{1}{2}\Phi \otimes \bar{\Phi}.$$

Non-linear version of Dirac equation, only defined in dimension 4.

Theorem (Gursky '98).

Theorem (Gursky '98). If smooth compact M^4 admits Kähler-Einstein metric g with $\lambda > 0$,

Theorem (Gursky '98). If smooth compact M^4 admits Kähler-Einstein metric g with $\lambda > 0$, then [g] is absolute minimizer of $\int_{M} |W_{+}|^{2} d\mu$

Theorem (Gursky '98). If smooth compact M^4 admits Kähler-Einstein metric g with $\lambda > 0$, then [g] is absolute minimizer of $\int_{M} |W_{+}|^{2} d\mu$ among all conformal classes $[\tilde{g}]$ with $Y([\tilde{g}]) > 0$.

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

with equality iff $[\tilde{g}]$ contains Kähler-Einstein \tilde{g} , with $\lambda > 0$.

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

with equality iff $[\tilde{g}]$ contains Kähler-Einstein \tilde{g} , with $\lambda > 0$.

Einstein metrics with $\lambda > 0$ never minimize $\int_M s^2 d\mu!$

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

with equality iff $[\tilde{g}]$ contains Kähler-Einstein \tilde{g} , with $\lambda > 0$.

$$Y([\tilde{g}]) > 0 \iff \exists s > 0 \text{ metrics in } [\tilde{g}].$$

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

with equality iff $[\tilde{g}]$ contains Kähler-Einstein \tilde{g} , with $\lambda > 0$.

Proof depends on modified Yamabe problem and Weitzenböck formula for harmonic 2-forms.

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

with equality iff $[\tilde{g}]$ contains Kähler-Einstein \tilde{g} , with $\lambda > 0$.

Proof depends on modified Yamabe problem and Weitzenböck formula for harmonic 2-forms.

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

with equality iff $[\tilde{g}]$ contains Kähler-Einstein \tilde{g} , with $\lambda > 0$.

Proof depends on modified Yamabe problem and Weitzenböck formula for harmonic 2-forms.

Doesn't answer our question, but suggestive!

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

with equality iff $[\tilde{g}]$ contains Kähler-Einstein \tilde{g} , with $\lambda > 0$.

Natural Questions.

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

with equality iff $[\tilde{g}]$ contains Kähler-Einstein \tilde{g} , with $\lambda > 0$.

Natural Questions.

• What about $[\tilde{g}]$ with $Y([\tilde{g}]) \leq 0$?

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

with equality iff $[\tilde{g}]$ contains Kähler-Einstein \tilde{g} , with $\lambda > 0$.

Natural Questions.

- What about $[\tilde{g}]$ with $Y([\tilde{g}]) \leq 0$?
- What about Hermitian Einstein metrics?

Which complex surfaces admit

Einstein metrics with $\lambda > 0$?

Which complex surfaces admit

Einstein Hermitian metrics with $\lambda > 0$?

Del Pezzo surfaces:

 (M^4, J) for which c_1 is a Kähler class $[\omega]$.

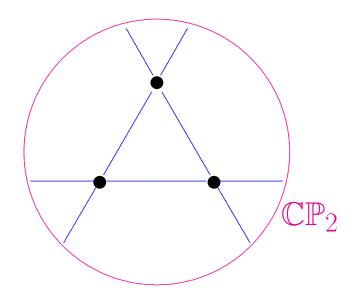
Del Pezzo surfaces:

 (M^4, J) for which c_1 is a Kähler class $[\omega]$. Shorthand: " $c_1 > 0$."

Del Pezzo surfaces:

 (M^4, J) for which c_1 is a Kähler class $[\omega]$. Shorthand: " $c_1 > 0$."

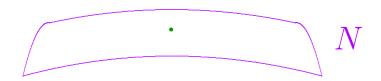
Blow-up of \mathbb{CP}_2 at k distinct points, $0 \le k \le 8$, in general position, or $\mathbb{CP}_1 \times \mathbb{CP}_1$.



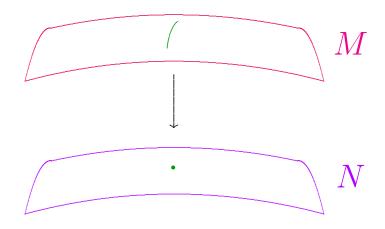
If N is a complex surface,



If N is a complex surface, may replace $p \in N$



If N is a complex surface, may replace $p \in N$ with \mathbb{CP}_1



If N is a complex surface, may replace $p \in N$ with \mathbb{CP}_1 to obtain blow-up

$$M \approx N \# \overline{\mathbb{CP}}_2$$





If N is a complex surface, may replace $p \in N$ with \mathbb{CP}_1 to obtain blow-up

$$M \approx N \# \overline{\mathbb{CP}}_2$$





If N is a complex surface, may replace $p \in N$ with \mathbb{CP}_1 to obtain blow-up

$$M \approx N \# \overline{\mathbb{CP}}_2$$





If N is a complex surface, may replace $p \in N$ with \mathbb{CP}_1 to obtain blow-up

$$M \approx N \# \overline{\mathbb{CP}}_2$$



 \Leftrightarrow $\forall [g] \exists ! \text{ self-dual harmonic 2-form } \omega$:

 \Leftrightarrow Up to scale, $\forall [g] \exists ! \text{ self-dual harmonic 2-form } \omega$:

 \Leftrightarrow Up to scale, $\forall [g] \exists ! \text{ self-dual harmonic 2-form } \omega$:

$$d\omega = 0, \qquad \star \omega = \omega.$$

 \Leftrightarrow Up to scale, $\forall [g] \exists ! \text{ self-dual harmonic 2-form } \omega$:

$$d\omega = 0, \qquad \star \omega = \omega.$$

Such a form defines a symplectic structure except at points where $\omega = 0$.

 \Leftrightarrow Up to scale, $\forall [g] \exists ! \text{ self-dual harmonic 2-form } \omega$:

$$d\omega = 0, \quad \star \omega = \omega.$$

Such a form defines a symplectic structure except at points where $\omega = 0$.

Definition. Let M be smooth 4-manifold with $b_{+}(M) = 1$,

 \Leftrightarrow Up to scale, $\forall [g] \exists ! \text{ self-dual harmonic 2-form } \omega$:

$$d\omega = 0, \quad \star \omega = \omega.$$

Such a form defines a symplectic structure except at points where $\omega = 0$.

Definition. Let M be smooth 4-manifold with $b_{+}(M) = 1$, and let [g] be conformal class.

 \Leftrightarrow Up to scale, $\forall [g] \exists ! \text{ self-dual harmonic 2-form } \omega$:

$$d\omega = 0, \quad \star\omega = \omega.$$

Such a form defines a symplectic structure except at points where $\omega = 0$.

Definition. Let M be smooth 4-manifold with $b_{+}(M) = 1$, and let [g] be conformal class. We will say that [g] is of symplectic type

 \Leftrightarrow Up to scale, $\forall [g] \exists !$ self-dual harmonic 2-form ω :

$$d\omega = 0, \qquad \star \omega = \omega.$$

Such a form defines a symplectic structure except at points where $\omega = 0$.

Definition. Let M be smooth 4-manifold with $b_{+}(M) = 1$, and let [g] be conformal class. We will say that [g] is of symplectic type if associated SD harmonic ω

 \Leftrightarrow Up to scale, $\forall [g] \exists ! \text{ self-dual harmonic 2-form } \omega$:

$$d\omega = 0, \qquad \star \omega = \omega.$$

Such a form defines a symplectic structure except at points where $\omega = 0$.

Definition. Let M be smooth 4-manifold with $b_{+}(M) = 1$, and let [g] be conformal class. We will say that [g] is of symplectic type if associated SD harmonic ω is nowhere zero.

 \Leftrightarrow Up to scale, $\forall [g] \exists ! \text{ self-dual harmonic 2-form } \omega$:

$$d\omega = 0, \qquad \star \omega = \omega.$$

Such a form defines a symplectic structure except at points where $\omega = 0$.

Definition. Let M be smooth 4-manifold with $b_{+}(M) = 1$, and let [g] be conformal class. We will say that [g] is of symplectic type if associated SD harmonic ω is nowhere zero.

• open condition;

 \Leftrightarrow Up to scale, $\forall [g] \exists ! \text{ self-dual harmonic 2-form } \omega$:

$$d\omega = 0, \qquad \star \omega = \omega.$$

Such a form defines a symplectic structure except at points where $\omega = 0$.

Definition. Let M be smooth 4-manifold with $b_{+}(M) = 1$, and let [g] be conformal class. We will say that [g] is of symplectic type if associated SD harmonic ω is nowhere zero.

- open condition;
- holds in Kähler case;

 \Leftrightarrow Up to scale, $\forall [g] \exists ! \text{ self-dual harmonic 2-form } \omega$:

$$d\omega = 0, \quad \star \omega = \omega.$$

Such a form defines a symplectic structure except at points where $\omega = 0$.

Definition. Let M be smooth 4-manifold with $b_{+}(M) = 1$, and let [g] be conformal class. We will say that [g] is of symplectic type if associated SD harmonic ω is nowhere zero.

- open condition;
- holds in Kähler case;
- most such classes have Y([g]) < 0.

Theorem A. Let M be the underlying smooth oriented 4-manifold of a del Pezzo surface.

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

with equality iff [g] contains a Kähler-Einstein metric g.

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

with equality iff [g] contains a Kähler-Einstein metric g.

This recovers Gursky's inequality

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

with equality iff [g] contains a Kähler-Einstein metric g.

This recovers Gursky's inequality — but for a different open set of conformal classes!

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

with equality iff [g] contains a Kähler-Einstein metric g.

This recovers Gursky's inequality — but for a different open set of conformal classes!

This follows from a stronger inequality...

Theorem B. Let *M* be the underlying 4-manifold of a del Pezzo surface.

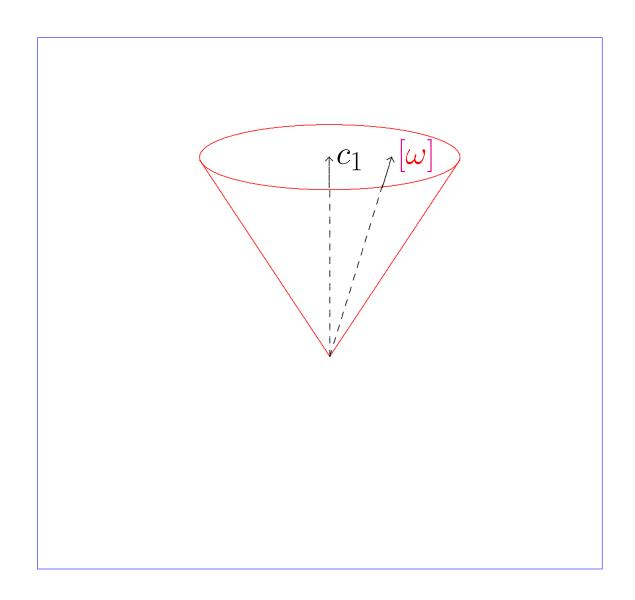
$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} \frac{(c_{1} \cdot [\omega])^{2}}{[\omega]^{2}},$$

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} \frac{(c_{1} \cdot [\omega])^{2}}{[\omega]^{2}},$$

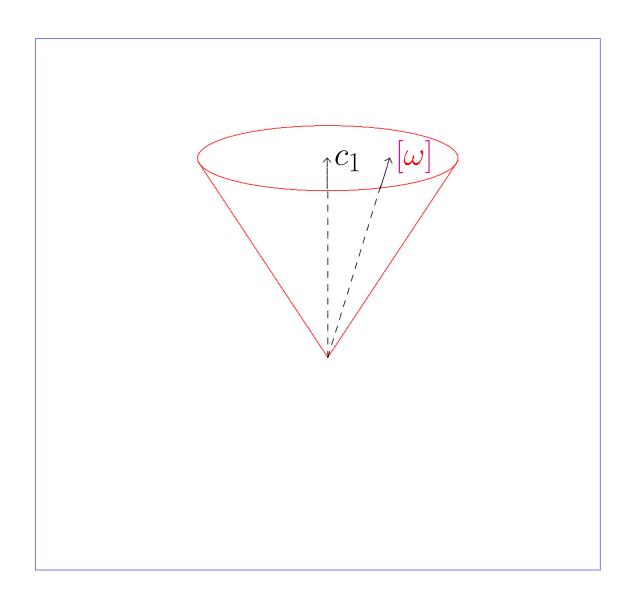
with equality iff [g] contains a Kähler metric g

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2} (c_{1} \cdot [\omega])^{2}}{3 [\omega]^{2}},$$

with equality iff [g] contains a Kähler metric g of constant scalar curvature.



 $H^2(M,\mathbb{R})$ M Del Pezzo



$$c_1 \cdot [\omega] \ge \sqrt{c_1^2 \ [\omega]^2}$$

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2} (c_{1} \cdot [\omega])^{2}}{3 [\omega]^{2}},$$

with equality iff [g] contains a Kähler metric g of constant scalar curvature.

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} \frac{(c_{1} \cdot [\omega])^{2}}{[\omega]^{2}},$$

with equality iff [g] contains a Kähler metric g of constant scalar curvature.

Same conclusion for $\mathbb{CP}_2\#9\mathbb{CP}_2$.

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} \frac{(c_{1} \cdot [\omega])^{2}}{[\omega]^{2}},$$

with equality iff [g] contains a Kähler metric g of constant scalar curvature.

Same conclusion for $\mathbb{CP}_2 \# 9\overline{\mathbb{CP}_2}$.

Key point:

$$c_1 \cdot [\omega] > 0$$

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} \frac{(c_{1} \cdot [\omega])^{2}}{[\omega]^{2}},$$

with equality iff [g] contains a Kähler metric g of constant scalar curvature.

Same conclusion for $\mathbb{CP}_2\#9\mathbb{CP}_2$.

Key point:

$$c_1 \cdot [\omega] > 0$$

for every [g] of symplectic type.

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2} (c_{1} \cdot [\omega])^{2}}{3 [\omega]^{2}},$$

with equality iff [g] contains a Kähler metric g of constant scalar curvature.

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2} (c_{1} \cdot [\omega])^{2}}{3},$$

with equality iff [g] contains a Kähler metric g of constant scalar curvature.

Idea:

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2} (c_{1} \cdot [\omega])^{2}}{3},$$

with equality iff [g] contains a Kähler metric g of constant scalar curvature.

Idea:

$$\left(\frac{3W_{+}(\omega,\omega)}{|\omega|} - |N_{J}|^{2}|\omega|\right) d\mu = i\sqrt{2}F \wedge \omega$$

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2} (c_{1} \cdot [\omega])^{2}}{3},$$

with equality iff [g] contains a Kähler metric g of constant scalar curvature.

Idea:

$$|\omega||W_+| d\mu \ge \frac{i}{\sqrt{3}}F \wedge \omega$$

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2} (c_{1} \cdot [\omega])^{2}}{3},$$

with equality iff [g] contains a Kähler metric g of constant scalar curvature.

Idea:

$$\int |\omega||W_+| \ d\mu \ge \frac{2\pi}{\sqrt{3}}c_1 \cdot [\omega]$$

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2} (c_{1} \cdot [\omega])^{2}}{3},$$

with equality iff [g] contains a Kähler metric g of constant scalar curvature.

Idea:

$$\left(\int |\omega|^2 \, d\mu\right)^{1/2} \left(\int |W_+|^2 \, d\mu\right)^{1/2} \ge \frac{2\pi}{\sqrt{3}} c_1 \cdot [\omega]$$

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2} (c_{1} \cdot [\omega])^{2}}{3},$$

with equality iff [g] contains a Kähler metric g of constant scalar curvature.

Idea:

$$c_1 \cdot [\omega] > 0$$
 and

$$\left(\int |\omega|^2 \, d\mu\right)^{1/2} \left(\int |W_+|^2 \, d\mu\right)^{1/2} \ge \frac{2\pi}{\sqrt{3}} c_1 \cdot [\omega]$$

Two cases where equality forbidden in Theorem A:

Two cases where equality forbidden in Theorem A:

 $\mathbb{CP}_2 \# \overline{\mathbb{CP}}_2$, $\mathbb{CP}_2 \# 2 \overline{\mathbb{CP}}_2$ not Kähler-Einstein.

Two cases where equality forbidden in Theorem A:

 $\mathbb{CP}_2 \# \overline{\mathbb{CP}}_2$, $\mathbb{CP}_2 \# 2 \overline{\mathbb{CP}}_2$ not Kähler-Einstein.

But carry conformally Kähler, Einstein metrics.

Two cases where equality forbidden in Theorem A:

 $\mathbb{CP}_2 \# \overline{\mathbb{CP}}_2$, $\mathbb{CP}_2 \# 2 \overline{\mathbb{CP}}_2$ not Kähler-Einstein.

But carry conformally Kähler, Einstein metrics.

These metrics are toric:

Two cases where equality forbidden in Theorem A:

 $\mathbb{CP}_2 \# \overline{\mathbb{CP}}_2$, $\mathbb{CP}_2 \# 2 \overline{\mathbb{CP}}_2$ not Kähler-Einstein.

But carry conformally Kähler, Einstein metrics.

These metrics are toric: invariant under T^2 action.

Two cases where equality forbidden in Theorem A:

 $\mathbb{CP}_2 \# \overline{\mathbb{CP}}_2$, $\mathbb{CP}_2 \# 2 \overline{\mathbb{CP}}_2$ not Kähler-Einstein.

But carry conformally Kähler, Einstein metrics.

These metrics are toric: invariant under T^2 action.

Can improve if we restrict to T^2 -invariant metrics.

Two cases where equality forbidden in Theorem A:

 $\mathbb{CP}_2 \# \overline{\mathbb{CP}}_2$, $\mathbb{CP}_2 \# 2 \overline{\mathbb{CP}}_2$ not Kähler-Einstein.

But carry conformally Kähler, Einstein metrics.

These metrics are toric: invariant under T^2 action.

Can improve if we restrict to T^2 -invariant metrics.

Proof uses ideas of Abreu, Donaldson, Lejmi.

Two cases where equality forbidden in Theorem A:

 $\mathbb{CP}_2 \# \overline{\mathbb{CP}}_2$, $\mathbb{CP}_2 \# 2 \overline{\mathbb{CP}}_2$ not Kähler-Einstein.

But carry conformally Kähler, Einstein metrics.

These metrics are toric: invariant under T^2 action.

Can improve if we restrict to T^2 -invariant metrics.

Proof uses ideas of Abreu, Donaldson, Lejmi.

Objective: replace $\frac{(c_1 \cdot [\omega])^2}{[\omega]^2}$ with "virtual action"

Two cases where equality forbidden in Theorem A:

 $\mathbb{CP}_2 \# \overline{\mathbb{CP}}_2$, $\mathbb{CP}_2 \# 2 \overline{\mathbb{CP}}_2$ not Kähler-Einstein.

But carry conformally Kähler, Einstein metrics.

These metrics are toric: invariant under T^2 action.

Can improve if we restrict to T^2 -invariant metrics.

Proof uses ideas of Abreu, Donaldson, Lejmi.

Objective: replace $\frac{(c_1 \cdot [\omega])^2}{[\omega]^2}$ with "virtual action"

$$\mathcal{A}([\omega]) = \frac{(c_1 \cdot [\omega])^2}{[\omega]^2} + \frac{1}{32\pi^2} \|\mathcal{F}_{[\omega]}\|^2$$

Two cases where equality forbidden in Theorem A:

 $\mathbb{CP}_2 \# \overline{\mathbb{CP}}_2$, $\mathbb{CP}_2 \# 2 \overline{\mathbb{CP}}_2$ not Kähler-Einstein.

But carry conformally Kähler, Einstein metrics.

These metrics are toric: invariant under T^2 action.

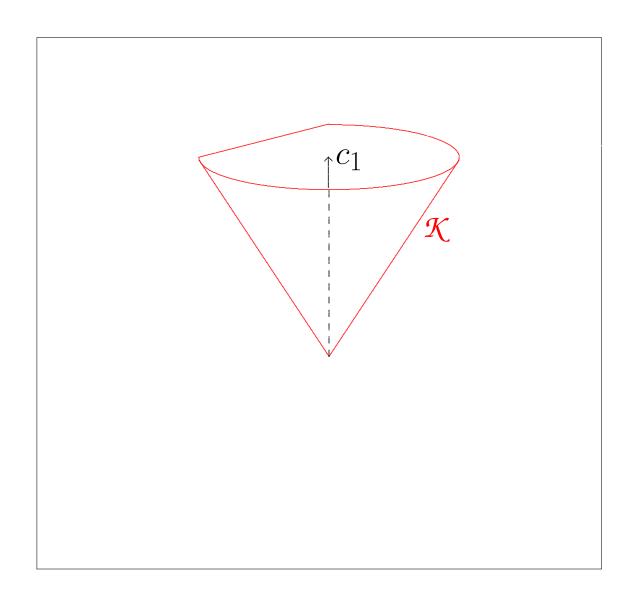
Can improve if we restrict to T^2 -invariant metrics.

Proof uses ideas of Abreu, Donaldson, Lejmi.

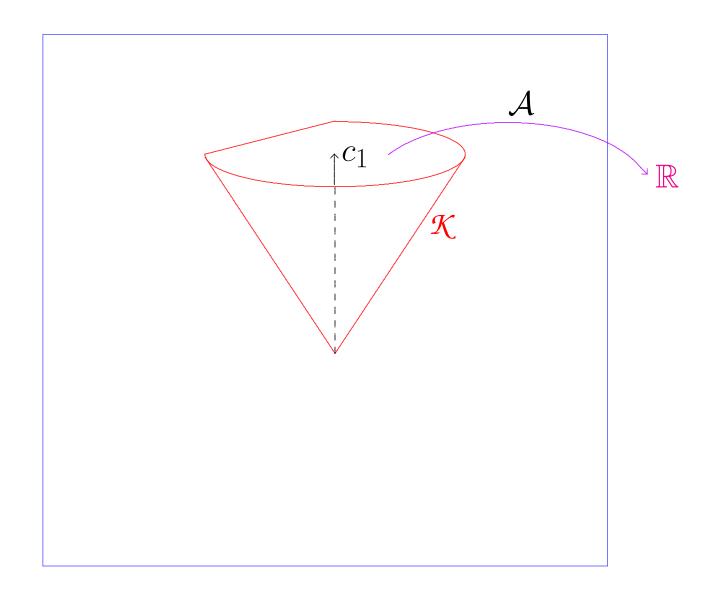
Objective: replace $\frac{(c_1 \cdot [\omega])^2}{[\omega]^2}$ with "virtual action"

$$\mathcal{A}([\omega]) = \frac{(c_1 \cdot [\omega])^2}{[\omega]^2} + \frac{1}{32\pi^2} \|\mathcal{F}_{[\omega]}\|^2$$

where \mathcal{F} is Futaki invariant.

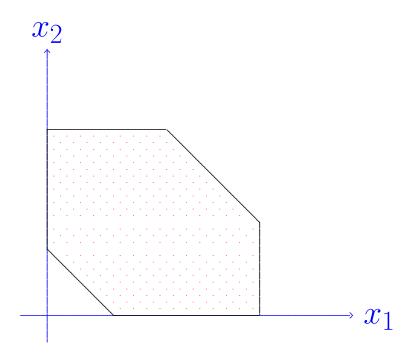


$$\mathcal{K} \subset H^{1,1}(M,\mathbb{R}) = H^2(M,\mathbb{R})$$
(M Del Pezzo)

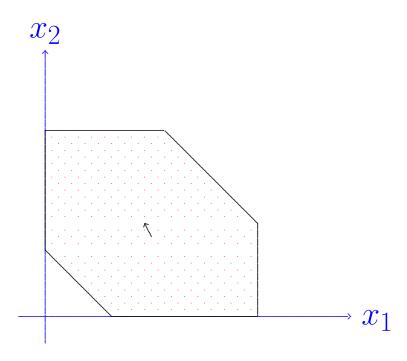


$$\mathcal{K} \subset H^{1,1}(M,\mathbb{R}) = H^2(M,\mathbb{R})$$
(M Del Pezzo)

The interesting cases are toric, and the action \mathcal{A} can be directly computed from moment polygon.



The interesting cases are toric, and the action \mathcal{A} can be directly computed from moment polygon. Formula involves barycenters, moments of inertia.



$$\mathcal{A}([\boldsymbol{\omega}]) = \frac{|\partial P|^2}{2} \left(\frac{1}{|P|} + \vec{\mathfrak{D}} \cdot \Pi^{-1} \vec{\mathfrak{D}} \right)$$

Theorem C. Let M be the underlying 4-manifold of a toric del Pezzo surface, and let g be Einstein, Hermitian metric on M

Theorem C. Let M be the underlying 4-manifold of a toric del Pezzo surface, and let g be Einstein, Hermitian metric on M which is invariant under fixed torus action.

Theorem C. Let M be the underlying 4-manifold of a toric del Pezzo surface, and let g be Einstein, Hermitian metric on M which is invariant under fixed torus action. Then the conformal class [g] minimizes $\int_{M} |W_{+}|^{2} d\mu$ among symplectic conformal classes

Key inequality:

Key inequality:

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} \mathcal{A}([\omega]),$$

Key inequality:

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} \mathcal{A}([\omega]),$$

with equality only if $[\tilde{g}]$ contains extremal Kähler metric.

Conjecture.

Conjecture. If M^4 admits an Einstein, Hermitian metric g

Conjecture. If M^4 admits an Einstein, Hermitian metric g with $\lambda > 0$,

Conjecture. If M^4 admits an Einstein, Hermitian metric g with $\lambda > 0$, then [g] minimizes $\int_M |W_+|^2 d\mu$

Even Kähler-Einstein cases would require new ideas.

Even Kähler-Einstein cases would require new ideas.

Nearly symplectic structures?

Even Kähler-Einstein cases would require new ideas.

Nearly symplectic structures?

Non-Kähler cases:

Even Kähler-Einstein cases would require new ideas.

Nearly symplectic structures?

Non-Kähler cases: eliminate toric condition?

Theorem. Suppose that M is a smooth compact oriented 4-manifold which admits a symplectic structure.

Theorem. Suppose that M is a smooth compact oriented 4-manifold which admits a symplectic structure. Then M also admits an Einstein metric g with $\lambda \geq 0$ if and only if

Theorem. Suppose that M is a smooth compact oriented 4-manifold which admits a symplectic structure. Then M also admits an Einstein metric g with $\lambda \geq 0$ if and only if

```
\begin{cases}
\mathbb{CP}_{2} \# k \overline{\mathbb{CP}}_{2}, & 0 \leq k \leq 8, \\
S^{2} \times S^{2}, \\
K3, \\
K3/\mathbb{Z}_{2}, \\
T^{4}, \\
T^{4}/\mathbb{Z}_{2}, T^{4}/\mathbb{Z}_{3}, T^{4}/\mathbb{Z}_{4}, T^{4}/\mathbb{Z}_{6}, \\
T^{4}/(\mathbb{Z}_{2} \oplus \mathbb{Z}_{2}), T^{4}/(\mathbb{Z}_{3} \oplus \mathbb{Z}_{3}), or T^{4}/(\mathbb{Z}_{2} \oplus \mathbb{Z}_{4}).
\end{cases}
```