

Last time: began studying  $\mathbb{C}$ -surfaces  $S$

Some examples:  $\Sigma_{g_1} \times \Sigma_{g_2}$  with  $\Sigma_g \equiv$  Riemann surf. of genus  $g$   
 $P^2 \rightarrow \Sigma_g$  with  $E \rightarrow \Sigma_g$  holom. vector bundle of rank 2

$P(E \otimes L) \rightarrow \Sigma_g$  holom. l.b.

$P^2$ ,  $X_a \subset P^3$  smooth hypersurface of degree  $a$

$X_{a_1, \dots, a_k} \subset P^{2+k}$  sm. complete intersection of multi-degree  $(a_1, \dots, a_k)$   
 $= X_{a_1} \cap X_{a_2} \cap \dots \cap X_{a_k} \subset P^{2+k}$

$X_{a_1, \dots, a_k, 1} = X_{a_1, \dots, a_k}$ ,  $X_1 = P^2 \subset P^3$

GH, Sect 4.1

$X_2 \approx P^1 \times P^1$ , blowup of  $X_2$  at 1pt  $\approx$  blowup of  $P^2$  at 2pts  
 $\subset P^3$  HW4:  $P^1 \times P^1 \not\approx$  blowup of  $P^2$  at 1pt

$X_3 \approx$  blowup of  $P^2$  at 6 general pts  
 $\subset P^3$  "general" = (i) no 3 on a line (ii) not all on a conic

Easy:  $a \geq 4 \Rightarrow X_a \subset P^3$  not a blowup/blowdown of  $P^2$

Reason:  $h^{2,0}(X_a) \geq 1 \Rightarrow \neq h^{2,0}(P^2)$

Proved Castelnuovo-Enriques Criterion  $S =$  projective surface

irred. curve  $C \subset S$  can be blown down to a (smooth) point

iff  $C \approx P^1$  and  $C \cdot C = -1$  (1)

Stronger version: irred. curve  $C \subset S$  can be blown down to a point

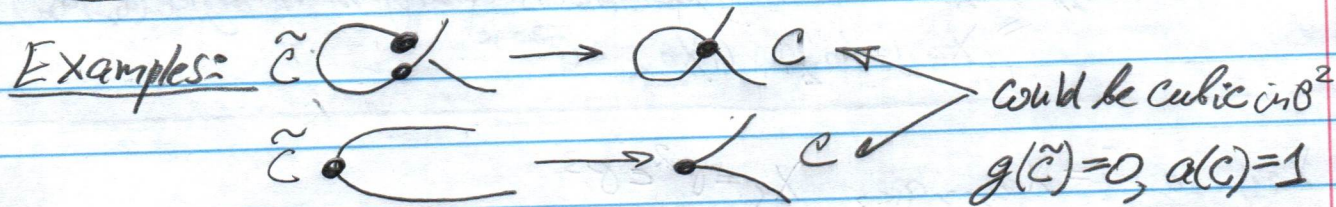
iff  $C \cdot K_S \leq 0$  and  $C \cdot C < 0$  (2)

(1)  $\Rightarrow$  (2)  $C \cdot K_S \equiv -\langle C_1(TS), C \rangle = -\langle C_1(TC) + C_1(N_S C), C \rangle$   
 $= -\left( \underbrace{\chi(C)}_2 + \underbrace{C \cdot C}_{-1} \right) < 0 \quad \checkmark \quad \frac{|C|}{|e|}$

② ⇒ ①  $C \subset S$  any curve, define

(i)  $a(C) \equiv 1 + \frac{1}{2}(C^2 + C \cdot K_S)$  arithmetic genus of  $C$   
 = genus of any smooth curve  $C'$  homologous to  $C$  (by adjunction)

(ii) normalization of  $C$  is holomorphic  $\gamma: \tilde{C} \rightarrow C$  s.t.  
 $\tilde{C}$  is smooth curve,  $\gamma$  is finite: 1 everywhere and 1:1 over  $C^* \equiv$  smooth pts of  $C$

Examples: 

Prop: (a)  $a(C) \in \mathbb{Z} \geq 0$        $a(C) \equiv 1 + \frac{1}{2}(C^2 + C \cdot K_S)$   
 (b)  $g(\tilde{C}) \leq a(C) = \text{and} =$  holds iff  $C$  is smooth, conn.

$C \subset S$  irred.,  $C^2 < 0$ ,  $C \cdot K_S < 0$

$\Rightarrow \tilde{C}$  conn.  $\Rightarrow 0 \leq g(\tilde{C}) \leq a(C) = 1 + \frac{1}{2}(C^2 + C \cdot K_S) \leq 0$

$\Rightarrow C^2, C \cdot K_S = -1$ ,  $C$  smooth, conn.,  $g(C) = 0 \Rightarrow C \approx \mathbb{P}^1$

$\Rightarrow$  can blow down  $C$  to a (smooth) pt by Castelnuovo-Enriques  $\square$

tip to be proved; also need existence and uniqueness of normalization

Normalization is unique:

$\tilde{C}_1 \xrightarrow[\cong]{\phi} \tilde{C}_2$        $\gamma_1^{-1}(C^*) \equiv \tilde{C}_1^* \xrightarrow{\phi} \tilde{C}_2^* \equiv \gamma_2^{-1}(C^*)$   
 $\gamma_1 \downarrow \quad \downarrow \gamma_2$        $\gamma_1 \downarrow \quad \downarrow \gamma_2$   
 $C \quad \supset \quad C^* = \text{smooth pts of } C$

$\gamma_i: \tilde{C}_i^* \rightarrow C^*$  be holom.  $\Rightarrow$  so is  $\phi \equiv \gamma_2^{-1} \circ \gamma_1: \tilde{C}_1^* \rightarrow \tilde{C}_2^*$   
 $\phi$  is bounded around each  $\tilde{p} \in \tilde{C}_1 - \tilde{C}_1^*$   
 $\Rightarrow$  extends to  $\tilde{C}_1 \rightarrow \tilde{C}_2$

## Construction of Normalization $\gamma: \tilde{C} \rightarrow C \subset S$

Take  $p \in C_{\text{sing}}$ ,

$B = \text{branch of } C \text{ at } p$

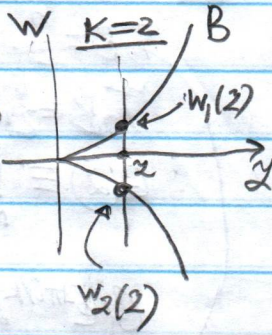
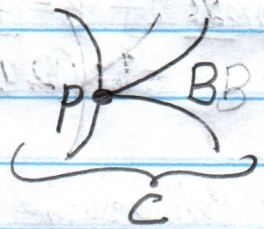
$B = (f)$ ,  $f = f(z, w)$  Weierstrass polyn.

$$= w^k + a_1(z)w^{k-1} + \dots + a_k(z)$$

$a_j = \text{holom.}, a_j(0) = 0$

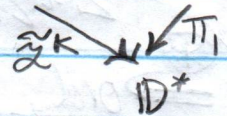
$w \rightarrow f(z, w)$  has only simple roots  $\{w_r(z)\}_{r=1, \dots, k}$  if  $z \neq 0$

( $0/w$  get a non-smooth pt  $\in B - \{p\}$ )



$\Rightarrow \pi_1: B - \{p\} \rightarrow D^*, (z, w) \rightarrow z$ , is  $k:1$  covering map, con  
connected b/c  $B$  is irred.

$\Rightarrow \exists$  biholom.  $h: D^* \rightarrow B - \{p\} \subset \mathbb{C}^2$  s.t.  $\pi_1(h(\tilde{z})) = \tilde{z}^k$   $D^* \xrightarrow{h} B - \{p\}$



$\Rightarrow h(\tilde{z}) = (\tilde{z}^k, \tilde{w}_1(\tilde{z}^k))$ ,  $\tilde{w}_1: D^* \rightarrow \mathbb{C}$

(1)  $\underbrace{\{\tilde{w}_1(e^{2\pi i \cdot r/k} \tilde{z})\}_{r=0, \dots, k-1}}_{\equiv \tilde{w}_{r+1}(\tilde{z})} = \underbrace{\{w_r(\tilde{z}^k)\}_{r=1, \dots, k}}_{\equiv \text{roots of } w \rightarrow f(\tilde{z}^k, w)} \equiv \{ \text{roots of } w \rightarrow f(\tilde{z}^k, w) \}$   
(b/c  $B = (f)$ )

(2)  $\tilde{w}_{r+1}: D^* \rightarrow \mathbb{C}$  bounded  $\Rightarrow$  extends holom. to  $D \rightarrow \mathbb{C}$

$\therefore$  get  $h: D \rightarrow B \subset S$ ,  $\tilde{z} \rightarrow (\tilde{z}^k, \tilde{w}_1(\tilde{z}))$  biholom. except at  $0 \in D$

Define

$$\tilde{C} \equiv \left( C^* \amalg \coprod_{p \in C_{\text{sing}}} \amalg_{B=\text{branch at } p} D \right) / \sim$$

$\downarrow \cong \quad \text{id} \downarrow \quad \downarrow h$  via each  $h: D^* \rightarrow B - \{p\} \subset C^*$

$$C \equiv \left( C^* \amalg \coprod_{p \in C_{\text{sing}}} \amalg_{B=\text{branch at } p} B \right) / \sim$$

$\downarrow \cong \quad \downarrow \cong$  via  $B - \{p\} \subset C^*$

Cor: each branch  $B$  of  $C$  at  $p$  has a well-defined tangent line

$T_p B = \mathbb{C} \cdot (\text{first nonzero Taylor coefficient of } h: D \rightarrow B \subset \mathbb{C}^2 \text{ at } \tilde{z} = 0)$   
 $h(\tilde{z}) = A\tilde{z}^m + o(\tilde{z}^{m+1}) \in B \subset \mathbb{C}^2, A \neq 0 \Rightarrow T_p B = \mathbb{C}A \subset T_p S = \mathbb{C}^2$

Lemma 1 If  $B = (f)$  is a branch of  $C$  at  $O \in C_{\text{sing}}$  with  $f = w^k + a_1(z)w^{k-1} + \dots + a_k(z)$  and  $T_0 B = \{w=0\} \subset T_0 C^2 = \mathbb{C}^2$ , then  $k = \text{ord}_0 B$  and  $\text{ord}_{z=0} a_l \geq l+1$  for all  $l$ .

Pf: Let  $f(z, w) = \underbrace{f_m(z, w)}_{\text{homogeneous of degree } m} + r(z, w)$  ↑ higher order terms  
 $\equiv \prod_{i=1}^m (a_i w - b_i z)$  with  $(a_i, b_i) \neq 0$

$T_0 B = \{w=0\} \Rightarrow h(\tilde{z}) = \tilde{z}^n (1, g(\tilde{z}))$  with  $g(0) = 0$   
↑ normalization of  $B$  as before

$\Rightarrow 0 = \tilde{z}^{mn} f(\tilde{z}^n, \tilde{z}^n g(\tilde{z})) = \prod_{i=1}^m (a_i g(\tilde{z}) - b_i) + \underbrace{\tilde{z}^{-mn} r(\tilde{z}^n, \tilde{z}^n g(\tilde{z}))}_{\text{vanishes to order } \geq n}$

$\Rightarrow$  at least one  $b_i = 0$

Birred. at  $O$  (only 1 tangent line)  $\Rightarrow$  all  $\{a_i, b_i\} \in \mathbb{C}^2$  are the same  $\Rightarrow \checkmark$

Lemma: If  $B=(f)$  is a branch of  $\mathcal{C}$  at  $0 \in \mathbb{C}^2$ ,  $T_0 B = \{w=0\} \subset T_0 \mathbb{C}^2 = \mathbb{C}^2 =$

$h: (\mathbb{D}, 0) \rightarrow (B, 0)$  is normalization, then

$$\text{ord}_{\tilde{z}=0} \left( \frac{\partial f}{\partial w} \circ h \right) \geq (\text{ord}_0 B)^2 - 1$$

Pf: Can assume  $f =$  Weierstrass polynomial  $w$  (if  $f|_{w=0} \neq 0$ )

$$T_0 B = \{w=0\} \rightarrow w^k + a_1(z)w^{k-1} + \dots + a_k(z)$$

$$T_0 B = \{w=0\} \xrightarrow{\text{Lemma 1}} \text{ord}_{z=0} a_l \geq l+1 \quad \forall l=1, \dots, k \quad (*)$$

$$G(\tilde{z}) \equiv \prod_{r=0}^{k-1} \frac{\partial f}{\partial w} \left( h(e^{2\pi i r/k} \tilde{z}) \right)$$

$$\left( \tilde{z}^k, \tilde{w}_{r+1}(\tilde{z}^k) \right)$$

$$G(e^{2\pi i r/k} \tilde{z}) = G(\tilde{z}) \Rightarrow G(\tilde{z}) = g(\tilde{z}^k) = g(z)$$

$\rightarrow g(z) =$  symmetric polynomial in  $\{w_r(z)\}_{r=1, \dots, k}$  of deg.  $(k-1) \cdot k$

$$= \sum C_z a_1(z)^{z_1} \dots a_k(z)^{z_k}$$

$$\sum z_l \cdot z_l = k(k-1)$$

$$(*) \Rightarrow \text{ord}_{z=0} g(z) \geq \sum (l+1) z_l \geq k(k-1) + k-1 = k^2 - 1$$

$$\Rightarrow \text{ord}_{\tilde{z}=0} G(\tilde{z}) \geq k \cdot (k^2 - 1)$$

$$k \cdot \text{ord}_{\tilde{z}=0} \frac{\partial f}{\partial w} (h(\tilde{z})) \Rightarrow \checkmark$$

Cor: if  $\mathcal{C}=(f)$  near  $0$ ,  $f: \mathbb{C}^2 \rightarrow \mathbb{C}$ ,  $\{B_i\}$  = branches of  $\mathcal{C}$  at  $0$ ,

$h_i: (\mathbb{D}, 0) \rightarrow (B_i, 0)$  is normalization,  $T_0 B_i = \{w_i=0\}$ ,

$$\text{then } \sum_{\substack{\text{branches } B_i \\ \text{at } 0}} \text{ord}_{\tilde{z}=0} \left( \frac{\partial f}{\partial w_i} \circ h_i \right) \geq (\text{ord}_0 \mathcal{C}) (\text{ord}_0 \mathcal{C} - 1) + \sum_{B_i} (\text{ord}_0 B_i - 1) \text{ord}_0 B_i$$

Pf: Write  $f = f_1 \dots f_m$ , product of irred.,  $B_i = (f_i) \Rightarrow \text{ord}_0 \mathcal{C} = \sum_{B_i} \text{ord}_0 B_i$   
Apply Lemma 2 to each  $B_i$