# On a Quartic Polynomial Family

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We study the dynamical plane and the parameter space of complex quartic polynomials  $P_{ab}(z) = P_a(P_b(z))$ , where  $P_a(z) = az + z^2$ .

In the real case, this family was introduced by Kot-Schaffer (1984). They were motivated by the problem of getting some insight about the growth of a population with two differentiated seasons of reproduction. They supposed that each season the population grew according to a logistic model. In this way, the annual population is given by the composition of two quadratic maps.

They found conditions guaranteeing the existence of an attracting cycle of period one or two, and how the bifurcation process is generated in this quartic polynomial family.

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Radulescu (2007), using bones and skeletons in the parameter space (introduced by MacKay and Tresser for bimodal maps), shows that the entropy is monotone through bones, and the entropy level-sets in the parameter space are connected.

B-Castellanos-Falconi (2011) give conditions to have renormalization, and prove that is possible to have coexistence of regular dynamics (n, m) for all  $n, m \in \mathbb{N}$ .

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## Bones in a Quartic Family



G. Blé On a Quartic Polynomial Family

# Renormalization in a Quartic Family



Let  $Pol_d(\mathbb{C})$  be the set of polynomial maps of degree  $d \ge 2$ . The group  $\mathcal{G}(\mathbb{C})$  of affine transformation acts on  $Pol_d(\mathbb{C})$  by conjugation:  $g \in \mathcal{G}(\mathbb{C})$  and  $P \in Pol_d(\mathbb{C})$  yield  $g \circ P \circ g^{-1} \in Pol_d(\mathbb{C})$ .

Two polynomials maps of  $Pol_d(\mathbb{C})$  are said to be holomorphically conjugate if they belong to the same orbit. The quotient space of  $Pol_d(\mathbb{C})$  under this action is denoted by

 $M_d(\mathbb{C}) = Pol_d(\mathbb{C})/\mathcal{G}.$ 

This is called the **moduli space** of holomorphic conjugacy classes.

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### Definition

An automorphism of a polynomial  $P \in Pol_d(\mathbb{C})$  is an affine map  $\psi \in \mathcal{G}(\mathbb{C})$  such that  $\psi \circ P \circ \psi^{-1} = P$ .

The collection Aut(P) of all automorphisms of P forms a finite group which measures how much the action of  $\mathcal{G}$  on  $\mathcal{P}_d$  fails to be free at P.

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The set

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## Monic and Centered Polynomials

Any polynomial map  $P \in Pol_d(\mathbb{C})$  is affinely conjugate to one which is monic and centered,

$$P(z) = z^d + a_{d-2}z^{d-2} + \cdots + a_1z + a_0.$$

This normal form is unique up to conjugation by a (d-1)-th root of unity.

We denote by  $\mathcal{P}_d$ , the set of all monic centered polynomials. It forms a complex (d-1) dimensional affine space  $\mathcal{A}_{d-1}$  with coordinates  $(c_0, c_1, \cdots, c_{d-2})$ .

We can use  $\mathcal{A}_d$ , as coordinate space for  $M_d(\mathbb{C})$ , although there remains the ambiguity up to (d-1)-th root of unity. The map from  $\mathcal{A}_{d-1}$  to  $\mathcal{P}_d$  is a (d-1)-fold covering of  $\mathcal{P}_d$  ramified along the symmetry locus.

In  $\mathcal{P}_4$ , there are three polynomials in the same class under conjugacy, and they are conjugate under the affine map  $\phi(z) = \omega z$ , where  $\omega$  is a cubic root of unity.



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The polynomial

$$P(z) = z^4 + a_2 z^2 + a_1 z + a_0$$

is conjugate by  $\phi(z)$  to

$$Q(z) = z^4 + a_2 \omega^2 z^2 + a_1 z + a_0 \omega.$$

Each  $P \in Pol_4(\mathbb{C})$  has four fixed point  $z_1, z_2, z_3, z_4 \in \mathbb{C}$ . We denoted by  $\mu_1, \mu_2, \mu_3$  and  $\mu_4$  their respective multipliers. The **holomorphic index** of a rational map R at a fixed point  $z_0 \in \mathbb{C}$  is defined as

$$\iota(R,z_0)=\frac{1}{2\pi i}\oint \frac{dz}{z-f(z)},$$

where we integrate in a small loop in the positive direction around  $z_0$ .

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Milnor shows that the index has the following properties:

**1** If  $z_0$  is a fixed point with multiplier  $\mu \neq 1$ , then

$$\iota(R,z_0)=\frac{1}{1-\mu}.$$

Is For any polynomial P which is not the identity map,

 $\sum_{\zeta\in\mathbb{C}}\iota(P,\zeta)=0,$ 

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Let  $\sigma_1, \sigma_2, \sigma_3$  and  $\sigma_4$  be the elementary symmetric functions of these multipliers.

$$\begin{aligned} \sigma_1 &= \mu_1 + \mu_2 + \mu_3 + \mu_4, \\ \sigma_2 &= \mu_1 \mu_2 + \mu_1 \mu_3 + \mu_1 \mu_4 + \mu_2 \mu_3 + \mu_2 \mu_4 + \mu_3 \mu_4, \\ \sigma_3 &= \mu_1 \mu_2 \mu_3 + \mu_1 \mu_2 \mu_4 + \mu_1 \mu_3 \mu_4 + \mu_2 \mu_3 \mu_4, \\ \sigma_4 &= \mu_1 \mu_2 \mu_3 \mu_4. \end{aligned}$$

$$4-3\sigma_1+2\sigma_2-\sigma_3=0.$$

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$$4 - 3\sigma_1 + 2\sigma_2 - \sigma_3 = 0.$$

# **Elementary Symmetric Functions and Parameters**

#### Let

$$P(z) = z^4 + a_2 z^2 + a_1 z + a_0,$$

be a monic centered polynomial in  $\mathcal{P}_d$ .

$$\begin{aligned} \sigma_1 &= 12 - 8a_1 \\ \sigma_2 &= 4a_2^3 - 16a_0a_2 + 18a_1^2 - 60a_1 + 48 \\ \sigma_4 &= 16a_0a_2^4 + 4a_1a_2^3(2 - a_1) + 16a_0a_2(9a_1^2 - 18a_1 + 8) + \\ &- 27a_1^4 + 108a_1^3 - 144a_1^2 + 64a_1 + 256a_0^3. \end{aligned}$$

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## Parameters and Elementary Symmetric Functions

Solving the parameters  $a_i$ 's in term of  $\sigma_i$ s

$$a_{2} = r,$$
  

$$a_{1} = \frac{3}{2} - \frac{\sigma_{1}}{8},$$
  

$$a_{0} = \frac{128r^{3} + 24\sigma_{1} + 9\sigma_{1}^{2} - 32\sigma_{2} - 48}{512r}$$

,

where r is a root of the quadratic polynomial (Fujimura-Nishizawa-2005):

$$P_2(z) = A_2(\sigma_1, \sigma_2, \sigma_4)z^2 + A_1(\sigma_1, \sigma_2, \sigma_4)z + A_0(\sigma_1, \sigma_2, \sigma_4),$$
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and

$$\begin{array}{rcl} \mathcal{A}_2 &=& 262144(\sigma_1-4)^2, \\ \mathcal{A}_1 &=& 1024\sigma_1 \left(1280-576\sigma_1+27\sigma_1^3-144\sigma_1\sigma_2+384\sigma_2\right) \\ &\quad +1024 \left(128\sigma_2^2-256\sigma_2-512\sigma_4-768\right), \\ \mathcal{A}_0 &=& \left(24\sigma_1+9\sigma_1^2-32\sigma_2-48\right)^3. \end{array}$$

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There is a natural projection  $\Psi_4: M_4(\mathbb{C}) \to \mathbb{C}^3$ , defined by

 $\Psi_4\left(p_{a_2,a_1,a_0}(z)\right) = (\sigma_1,\sigma_2,\sigma_4)$ 

From the quadratic poynomial  $P_2$ , we have that  $\bigcirc A_2 = 0$  if and only if  $\sigma_1 = 4$ .  $\bigcirc A_2 = A_1 = 0$  if and only if  $\sigma_1 = 4$  and  $\sigma_1 = (\sigma_2 = 4)^2$ .

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The set 
$$\mathcal{E}_4 = \{(4, z, \frac{(z-4)^2}{4}) \in \mathbb{C}^3 : z \in \mathbb{C}\}$$
 is called the exceptional set for the quartic polynomial family. It is a complex curve in  $\mathbb{C}^3$ .

#### Proposition

 $\Psi_4(M_4(\mathbb{C})) = \mathbb{C}^3 \setminus \mathcal{E}_4.$ 

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A  $P \in Pol_4(\mathbb{C})$  is in the symmetry locus  $S_4$  in  $\mathbb{C}^3$  if and only if it is conjugate to a polynomial map in the normal form

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Moreover,

$${\it Aut}( ilde{P}_{a_1})=\{\psi(z)=\omega z\,:\,\omega\,\, ext{is a cubic root of unity}\}$$

#### Proposition

The symmetry locus  $S_4$  in the parameter space  $\mathbb{C}^3$  is given by the complex curve  $\gamma : \mathbb{C} \to \mathbb{C}^3$ , defined as

$$\gamma(s) = \left(c, \frac{3(3s-4)(s+4)}{32}, \frac{-(3s-4)^3(s-12)}{4096}\right)$$

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In the quadratic polynomials  $Pol_2(\mathbb{C})$ , we have the family of monic centered polynomials  $P_c(z) = z^2 + c$ ,  $c \in \mathbb{C}$ , which fixes the critical point, and the family  $P_{\lambda}(z) = \lambda z + z^2$ ,  $\lambda \in \mathbb{C}$ , which has a fixed point in zero. For any parameter  $c \in \mathbb{C}$ , there are two parameters  $\lambda_1$  and  $\lambda_2$ , such that  $P_{\lambda_1}$ ,  $P_{\lambda_2}$  and  $P_c$  are affinely conjugated. We denote by  $P_{c_2c_1} = P_{c_2} \circ P_{c_1}$ 

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The quartic polynomial

$$P_{\lambda_2\lambda_1} = \lambda_1\lambda_2z + \lambda_2z^2 + \lambda_1^2z^2 + 2\lambda_1z^3 + z^4$$

is conjugated to the monic centered polynomial

$$Q(z) = z^{4} + (\lambda_{2} - \frac{\lambda_{1}^{2}}{2})z^{2} + \frac{\lambda_{1}(\lambda_{1}^{3} - 4\lambda_{1}\lambda_{2} + 8)}{16},$$
  
by the affine map  $\psi(z) = z - \frac{\lambda_{1}}{2}.$   
If  $c_{1} = \frac{\lambda_{2}}{2} - \frac{\lambda_{1}^{2}}{4}$  and  $c_{2} = \frac{\lambda_{1}}{2} - \frac{\lambda_{2}^{2}}{4}$ , then  $P_{c_{2}c_{1}}(z) = Q(z).$ 

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 $P_{c_2c_1}(z)$  and  $P_{\lambda_2\lambda_1}(z)$  are conjugated to

$$P(z) = z^4 + A_2 z^2 + A_0.$$

We denote this family by  $\mathcal{P}$ .

 $\Psi_4$  sends this family to the subspace  $\{(12, \sigma_2, \sigma_4) \in \mathbb{C}^3\}$ .

We denote by  $Per_k(\mu)$  the set of  $P \in \mathcal{P}$  such that P has a periodic orbit of period k and multiplier  $\mu$ .

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If  $P \in \mathcal{P}$ , then it has three critical points,  $\tilde{c}_0 = 0$ ,  $\tilde{c}_1 = \sqrt{-\frac{A_2}{2}}$ and  $\tilde{c}_2 = -\sqrt{-\frac{A_2}{2}}$ . But, P has at most two different dynamics, since  $P(\tilde{c}_1) = P(\tilde{c}_2)$  and these two critical points define a same

dynamic for P.

The  $Per_1(0)$  is defined in three cases, each one of them is determined by the polynomials fixing  $\tilde{c}_j$ , for j = 0, 1, 2. If  $\tilde{c}_0 = 0$  is fixed, we have the following quartic family

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# Connectedness Locus for $P_a(z) = z^4 + az^2$



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Connectedness Locus for 
$$P_a(z) = z^4 + az^2 + \left(\frac{a}{2}\right)^2 + \sqrt{\frac{-a}{2}}$$



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Connectedness Locus for 
$$P_a(z) = z^4 + az^2 + \left(\frac{a}{2}\right)^2 - \sqrt{\frac{-a}{2}}$$



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We denote by  $c_a = \sqrt{\frac{-a}{2}}$  the free critical point and  $v_a = P_a(c_a) = \frac{-a^2}{4}$ . The *filled Julia set K<sub>a</sub>* consists of the non escaping points, that is,

 $K_a = \{z \in \mathbb{C} : \{P_a^n(z)\} \text{ is bounded } \}.$ 

And the Julia set  $J_a$  is its boundary. Let C be the **connectedness locus** of this family, i.e.

 $\mathcal{C} = \{ a \in \mathbb{C} : K_a \text{ is connected} \}$ 

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We can partition the plane in two loci: C and its complement  $C_{\infty}$  which consist of the parameters *a* such that the critical point  $c_a$  is attracted by infinity. Moreover, the connectedness locus C can be partitioned in hyperbolic components which are given by the hyperbolic parameters.

$$\mathcal{W} = \left\{ \mathbf{a} \in \mathbb{C} : \mathbf{c}_{\mathbf{a}} \in \tilde{B}_{\mathbf{a}} 
ight\},$$

where  $\tilde{B}_a$  is the basin of attraction of zero.

## Remark

 $Int(K_a) \neq \emptyset$ , for all  $a \in \mathbb{C}$  because  $\tilde{B}_a \subset K_a$ .

We denote by  $B_a$  the immediate basin of 0. In particular, if  $\tilde{c}_1 \notin B_a$  then the map  $P_a|_{B_a}$  is conjugated to  $z^2$  on  $\mathbb{D}$ , else  $B_a = \tilde{B}_a$ .

By Böttcher's Theorem, there are conformal isomorphisms  $\phi_a^\infty$ :  $U_a^\infty \to V_a^\infty$ ,  $\phi_a^0$ :  $U_a^0 \to V_a^0$ , such that,

$$\phi_a^{\infty} \circ P_a = (\phi_a^{\infty})^4$$
 on  $U_a^{\infty}$  and  $\phi_a^0 \circ P_a = (\phi_a^0)^2$ , on  $U_a^0$ ,

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Let  $\tau(z) = e^{\frac{2\pi i}{3}}z$  and  $\sigma(z) = \overline{z}$ . In the family  $P_a$ , the rotation  $\tau$  is a conformal conjugation between two polynomials  $P_a$  and  $P_{a'}$ . Explicitly we have that  $P_a(\tau z) = \tau P_{\tau a}(z)$ . Moreover,  $P_a$  is conjugated to  $P_{\overline{a}}$  by  $\sigma$ . Hence, we have that a "fundamental domain" for the family  $P_a$  is

$$\mathcal{D} = \left\{ \mathsf{a} \in \mathbb{C} \, : \, \mathsf{0} \leq \mathsf{arg}(\mathsf{a}) \leq rac{1}{6} 
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Remark

The sets  $\mathcal{C}, \mathcal{W}$  and  $\mathcal{H}_{\infty}$ , admit the maps  $\sigma$  and au as symmetries.

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$$\mathcal{W}_0 = \{ a \in \mathbb{C} : c_a \in B_a \}.$$

$$\mathcal{W}_k = \{a \in \mathbb{C} : P_a^k(v_a) \in B_a \text{ and } P_a^{k-1}(v_a) \notin B_a\}.$$

#### Remark

$$\mathcal{W} = \cup_{k \ge 0} \mathcal{W}_k.$$

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We denote by  $\Psi_0 : \mathcal{W}_0 \to \mathbb{D}$  and  $\Psi_\infty : \mathcal{W}_\infty \to \mathbb{C} \setminus \overline{\mathbb{D}}$ , the conformal representation tangent to the identity at 0 and  $\infty$ , respectively.

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The set  $\mathcal{W}_k$  is invariant by the complex involution  $\sigma$  and the rotation  $\tau$ .

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 $\Psi_w(\sigma a) = \sigma \Psi_w(a)$  and  $\Psi_w(\tau a) = \tau \Psi_w(a)$ , for w = 0 or  $\infty$ .

If  $\rho = e^{\frac{\pi i}{3}}$ , then the line  $\mathbb{R}^+ \rho$  cut  $\mathcal{C}$  in a connected set. Consequently,  $\tau^k(\mathbb{R}^+ \rho) \cap \mathcal{C}$ , is connected for k = 1, 2.

 $\mathcal{W}_k \cap \mathbb{R}^+$  is connected.

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If a and  $\tau a$  are in  $\mathbb{C} \setminus \mathbb{R}^-$ , then the Böttcher maps satisfy the following relation:

$$\sigma\left(\phi_{\sigma(a)}^{w}(\sigma(z))\right) = \phi_{a}^{w}(z) = \kappa_{w}(a)\phi_{\tau a}^{w}(\frac{z}{\tau}),$$

with  $w \in \{0, \infty\}$ ,  $\kappa_{\infty}(a) = \tau$  and  $\kappa_0(a) = \frac{\lambda(a)}{\tau \lambda(\tau a)}$ . Moreover the rays at parameter  $a, \tau a$  and  $\sigma(a)$  satisfy the relations:

$$R^w_{\sigma(a)}(t) = \sigma(R^w_a(-t)) \text{ and } R^w_{\tau a}(t) = \tau R^w_a(t + t_w(a)),$$
  
here  $t_w(a) = \arg(\kappa_w(a)).$ 

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### Theorem

The map  $\Phi_{\infty}$  :  $\mathcal{W}_{\infty} \to \mathbb{C} \setminus \overline{D}$  defined as

$$\Phi_{\infty}(a) = \phi_{a}^{\infty}(v_{a})$$

is a holomorphic covering map.

#### Proposition

The map

$$\Phi_0(a) = \phi_a^0(v_a)$$

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#### Theorem

Let  $\mathcal{U}$  be a connected component of  $\mathcal{W}_k$  with k > 0 included in  $\mathbb{C} \setminus \mathbb{R}^-$ . The map  $\Phi_{\mathcal{U}} : \mathcal{U} \to \mathbb{D}$  defined as

$$\Phi_{\mathcal{U}}(a) = \phi_a^0\left(P_a^k\left(v_a\right)\right)$$

is a conformal isomorphism.

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The *Green function* is defined on  $U_a^{\infty}$  as

 $G_a^{\infty}(z) = \log |\phi_a^{\infty}(z)|.$ 

The equipotential of level r > 0,  $E_a^w$ , for  $w = 0, \infty$  is the curve  $(G_a^w)^{-1}(r)$ . A ray  $R_a^w(t)$ , of angle  $t \in \mathbb{R}/\mathbb{Z}$  is  $(\phi_a^w)^{-1}(\mathbb{R}^+e^{2\pi i t})$ .

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Let  $a_0 \in \mathbb{C}$ , w = 0, or  $\infty$ , and  $t \in \mathbb{Q}/\mathbb{Z}$ . If the ray  $R_{a_0}^w$  lands, then it lands at an eventually periodic point which is repelling or parabolic.

#### Theorem

Let  $a \in \mathbb{C}$  be a parameter such that  $J_a$  is connected. For every eventually periodic point of  $P_a$  that is repelling or parabolic, there exists a rational angle t such that  $R_a^{\infty}$  lands at this point.

#### Theorem

The boundary of every connected component of  $\ensuremath{\mathcal{W}}$  is a Jordan curve.

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### Julia Sets

The quartic polynomial

$$P_{\lambda_2\lambda_1} = \lambda_1\lambda_2z + \lambda_2z^2 + \lambda_1^2z^2 + 2\lambda_1z^3 + z^4$$

is conjugated to the monic centered polynomial

$$Q(z) = z^4 + (\lambda_2 - rac{\lambda_1^2}{2})z^2 + rac{\lambda_1(\lambda_1^3 - 4\lambda_1\lambda_2 + 8)}{16}$$

We have at least three different pairs  $(\lambda_1^j, \lambda_2^j)$ , such that  $P_{\lambda_2^j \lambda_1^j} = P_{\lambda_2^k \lambda_1^k}$ , for j, k = 1, 2, 3.

If  $\lambda_j = e^{2\pi\theta_j}$  and  $\theta_j \in \mathbb{R}/\mathbb{Z}$ , for j = 1, 2, then zero is an indifferent point for  $P_{\lambda_1}$ ,  $P_{\lambda_2}$  and  $P_{\lambda_2\lambda_1}$ .

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#### (a) $P(z) = z^4 + (\sqrt{-2} + 1)z^2 + \frac{1}{7}$





#### (d) $P(z) = z^4 + (\sqrt{-2} + 1)z^2 + \frac{1}{7}$





#### (g) $P(z) = z^4 + (\sqrt{-2} + 1)z^2 + \frac{1}{7}$



G. Blé On a Quartic Polynomial Family



(j)  $P(z) = z^4 + (\sqrt{-2} + 1)z^2 + \frac{1}{7}$ 





# (a) $P(z) = z^4 + (-2.108893535 + .3570353803i)z^2 + 0.09068800125 - .2909712453i$





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# (a) $P(z) = z^4 + (1.133545861 - 1.631833500i)z^2 + 0.7465710888e - 1 + .1052770533i$





(c) 1.08096-1.46171*i* 

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(b) 0.35423+0.48025*i* G. Blé

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4-2.86039*i* (l) -1.75529+2.80334*i* (€) G. Blé On a Quartic Polynomial Family

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(a) 
$$P(z) = z^4 + (e^{2i\pi(\frac{\sqrt{5}}{2} - \frac{1}{3})} - \frac{1}{2}(e^{2i\pi(\frac{\sqrt{5}}{2} - \frac{1}{2})})^2)z^2 + \frac{1}{16}e^{2i\pi(\frac{\sqrt{5}}{2} - \frac{1}{2})((e^{2i\pi(\frac{\sqrt{5}}{2} - \frac{1}{2})})^3 - 4e^{2i\pi(\frac{\sqrt{5}}{2} - \frac{1}{2})}e^{2i\pi(\frac{\sqrt{5}}{2} - \frac{1}{3})}+8)}$$



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(d) 
$$P(z) = z^4 + (e^{2i\pi(\frac{\sqrt{5}}{2} - \frac{1}{3})} - \frac{1}{2}(e^{2i\pi(\frac{\sqrt{5}}{2} - \frac{1}{2})})^2)z^2 + \frac{1}{16}e^{2i\pi(\frac{\sqrt{5}}{2} - \frac{1}{2})((e^{2i\pi(\frac{\sqrt{5}}{2} - \frac{1}{2})})^3 - 4e^{2i\pi(\frac{\sqrt{5}}{2} - \frac{1}{2})}e^{2i\pi(\frac{\sqrt{5}}{2} - \frac{1}{3})} + 8)$$



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# Happy Birthday Jack

G. Blé On a Quartic Polynomial Family

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