

WHY EUCLIDEAN DOMAINS ARE BOTH EASIER AND HARDER THAN YOU THINK

Pace P. Nielsen

(Joint work with Chris Conidis and Vandy Tombs)

Brigham Young University



Section 1: Generalizations Galore

Euclid's Problem

- ▶ Let n, d be two given integers.
- ▶ Find $\text{GCD}(n, d)$.
- ▶ What is the "best" way?
- ▶ Euclid's idea: Repeated subtraction.



Algorithm Example

► Take $n = 13, d = 8$.

$$13 = 1 \cdot 8 + 5$$

$$8 = 1 \cdot 5 + 3$$

$$5 = 1 \cdot 3 + 2$$

$$3 = 1 \cdot 2 + 1$$

$$2 = 2 \cdot 1 + 0$$

What is a GCD?

- ▶ The word “greatest” comes from the order on ideals.
- ▶ A *GCD domain* is:
 - ▶ A domain.
 - ▶ For any two principal ideals, there is a minimal principal ideal above them.

Problems with this?

- ▶ $\text{GCD}(a, b) \notin (a, b)$, in general.
- ▶ No method to find the GCD.
- ▶ The condition is somewhat *ad hoc*.

Better Definition

- ▶ A *Bézout domain* is:
 - ▶ A domain.
 - ▶ (a, b) is always principal.



Problems with this?

- ▶ Still no method to find the GCD.
- ▶ No back-forth procedure.
- ▶ However, much more natural.
 - ▶ Ring of algebraic integers.
 - ▶ Ring of entire functions on \mathbb{C} .

Stronger Definition

- ▶ A *quasi-Euclidean* domain is:
 - ▶ A domain.
 - ▶ For each pair of elements a, b there is a "terminating division chain."

Terminating Chain

▶ $a = q_1 b + r_1$

▶ $b = q_2 r_1 + r_2$

▶ \vdots

▶ $r_{n-1} = q_{n+1} r_n + 0$

Problems with this?

- ▶ Still no method to find the GCD.
- ▶ Still quite natural.
 - ▶ Cooke: All class number 1 rings of integers.

Another Definition

- ▶ *A unique factorization domain is:*
 - ▶ A domain.
 - ▶ Every element has a prime factorization.
 - ▶ The factorization is unique, up to order and associates.

Problems with this?

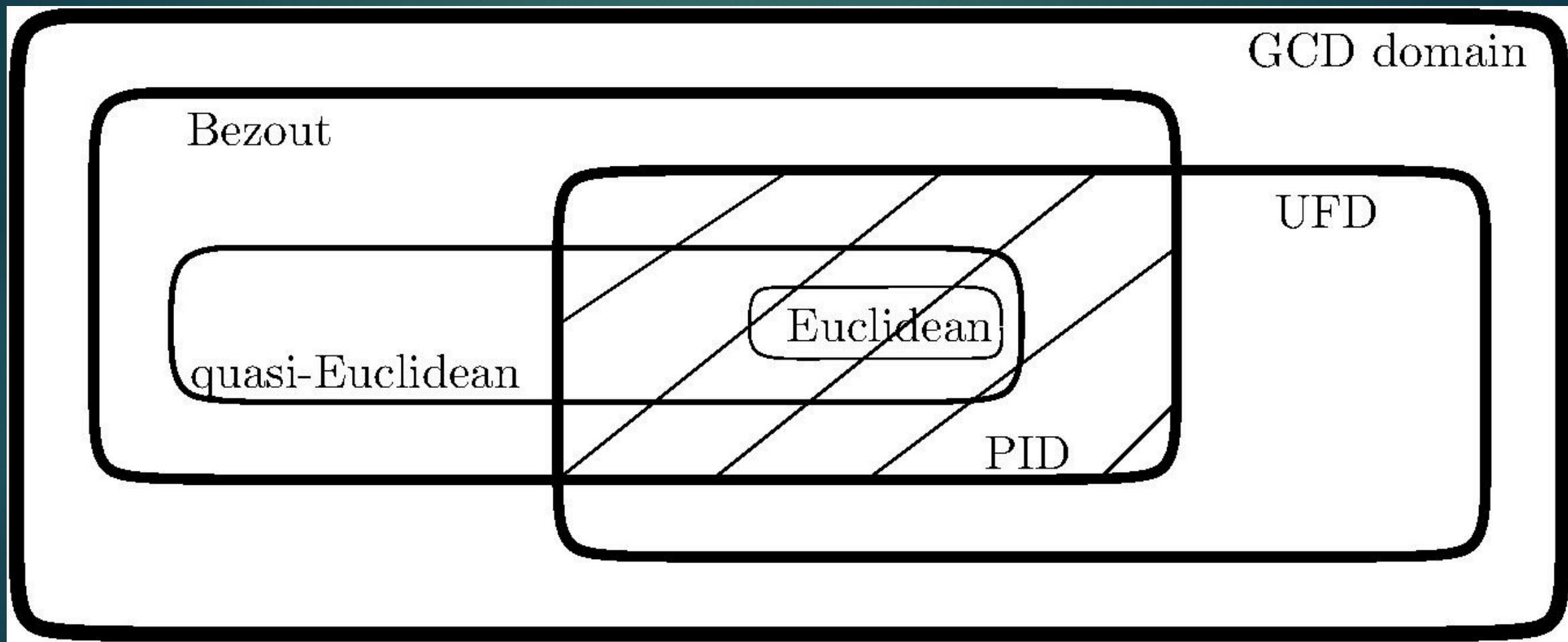
- ▶ Still no method to find the GCD.
- ▶ (Unless a factoring algorithm exists.)
- ▶ Often hard to verify this property.
- ▶ Equivalent formulation:
GCD-domain + ACCP.
- ▶ UFD+Bézout=PID

Final Definition?

- ▶ A *Euclidean domain* is:
 - ▶ A domain R .
 - ▶ Equipped with $\varphi: R \setminus \{0\} \rightarrow \mathbb{N}$.
 - ▶ For every $n, d \in R \setminus \{0\}$:
 - ▶ Either $d|n$, or
 - ▶ there exist $q \in R$, $\varphi(n - qd) < \varphi(d)$.

Problems with this?

- ▶ Still no method to find the GCD!
- ▶ But Euclid's algorithm "exists".
- ▶ Is it nice algebraically?
- ▶ Is the condition natural?
 - ▶ Answer: Motzkin's Lemma



Section 2: Euclidean Norms



Norms

- ▶ Some norms are better than others.
- ▶ Take $n = 13, d = 8$.

$$13 = 1 \cdot 8 + 5$$

$$8 = 1 \cdot 5 + 3$$

$$5 = 1 \cdot 3 + 2$$

$$3 = 1 \cdot 2 + 1$$

$$2 = 2 \cdot 1 + 0$$

Norms

- ▶ Take $n = 13, d = 8$.
 - ▶ $13 = 2 \cdot 8 + (-3)$
 - ▶ $8 = (-3) \cdot (-3) + (-1)$
 - ▶ $(-3) = (-3) \cdot (-1) + 0$

Motzkin's Idea

- ▶ Let the norm measure complexity.
- ▶ Complexity measured by how easy it is to divide.
- ▶ Complexity 0: Remainder is zero.
- ▶ Units.

Motzkin's Idea

- ▶ Complexity 1: Remainders are zero or units.
- ▶ Universal side divisors.
- ▶ Complexity 2: Remainders are zero, units, and universal side divisors.

Example

- ▶ For \mathbb{Z}
 - ▶ $S_0 = \{\pm 1\}$
 - ▶ $S_1 = \{\pm 1, \pm 2, \pm 3\}$
 - ▶ $S_2 = \{\pm 1, \pm 2, \pm 3, \pm 4, \pm 5, \pm 6, \pm 7\}$
- ▶ Complexity: $\lfloor \log_2 |x| \rfloor$.

Example

- ▶ For a field F
 - ▶ $S_0 = F \setminus \{0\}$
 - ▶ $S_1 = F$
 - ▶ $S_2 = F$
- ▶ Complexity: 0.

Motzkin's Lemma

- ▶ Let R be a domain.
- ▶ Recursively define:
- ▶ $S_n = \{x \in R : \forall y \in R, \exists r \in S_m \cup \{0\} \text{ for some } m < n, x | (y - r)\}$.
- ▶ These sets always stabilize.
- ▶ $R = \text{Euclidean}$ iff $S_\omega = R$.



Side Note

- ▶ What is the norm of 0?
- ▶ Three main options.
- ▶ Think: Order the ideals.



CHUCK NORRIS CAN
DIVIDE BY ZERO.

Norms

- ▶ Motzkin: Let R be a Euclidean domain.
- ▶ Define $\varphi: R \setminus \{0\} \rightarrow \mathbb{N}$ by
 - ▶ $\varphi(x) = \min(n : x \in S_n)$.
- ▶ Then φ is a Euclidean norm.
- ▶ It is minimal:
 - ▶ $\varphi(x) \leq \psi(x)$.

Examples

- ▶ For \mathbb{Z}
 - ▶ $\lfloor \log_2 |x| \rfloor$
- ▶ For a field
 - ▶ Constant 0 function
- ▶ Lenstra: Worked out for $\mathbb{Z}[i]$ and $\mathbb{Z}[\omega]$.
 - ▶ Too big to fit in the margins.

Obvious question

- ▶ Euclidean norms $\varphi: R \setminus \{0\} \rightarrow \mathbb{N}$.
Why \mathbb{N} ? Why not \mathbb{R} ?
- ▶ Euclid's algorithm terminates.
- ▶ **Everything** still works if we replace \mathbb{N} with the ordinals.

Everything?

- ▶ Motzkin's Lemma:
 - ▶ R is transfinitely Euclidean iff $S_\alpha = R$.
- ▶ Transfinitely Euclidean domains are PIDs.
- ▶ Euclid's algorithm terminates.

Everything?

- ▶ Motzkin:
 - ▶ Minimal norms exist.
- ▶ Lenstra:
 - ▶ Minimal norms are super-additive:
 - ▶ $\varphi(xy) \geq \varphi(x) \oplus \varphi(y)$.



Everything?

- ▶ Okay, not **everything**.
- ▶ The stabilization point is different.
- ▶ Fields stabilize at complexity 1.
- ▶ Euclidean domains stabilize at ω .
 - ▶ Unless they are fields.
- ▶ Are there any others?

Transfinite examples

- ▶ Hiblot (1975) found an example.
- ▶ Nagata (1977-78) found an error, and produced a different example.
- ▶ Hiblot (1977) fixed his example.
- ▶ Both very complicated.
- ▶ Stabilized at ω^2 .
- ▶ No other examples.

New Results

- (1) Every transfinite Euclidean domain stabilizes at ω^α .
- Proof: Easy consequence of Lenstra's super-additive result.

New Results

- (2) For every α there is a transfinite Euclidean domain which stabilizes at ω^α .
- Corollary: Complexity can be arbitrarily large.
- Proof: We'll sketch it later.

New Results

- (3) Euclidean domains without multiplicative norms exist.
- Proof: Modify the construction we sketch below.

Proof Sketch

- Fix an ordinal α .
- Let $R_0 = F[x_{\{\beta\},0} : 1 \leq \beta \leq \omega^\alpha]$.
- Idea: $x_{\{\beta\},0}$ will have complexity β .
- Define such a “norm” φ on R_0 .

Proof Sketch

- Not Euclidean yet.
- Don't always have quotients to get simpler remainders.
- When $\text{GCD}(n, d) = 1$, $\varphi(n) \geq \varphi(d) \geq 1$, then
- Adjoin a new quotient $q = q_{T,1,n,d}$.

Proof Sketch

- Let $R_1 = R_0[x_{\{\beta\},1}, q_{T,1,n,d}]$.
- Extend φ to R_1 in the obvious way, and
 - $\varphi(n - q_{T,1,n,d}d) = \max(\beta \in T : \beta < \varphi(d))$
- Don't always have quotients to get simpler remainders.
- Repeat this process.

Proof Sketch

- Let $R_\infty = \bigcup_{i=0}^{\infty} R_i$.
- Polynomial ring in many variables, not Euclidean.
- Invert all elements of norm zero.
- φ is the minimal norm.

Open Problems

- Is there a Euclidean domain with no (well-ordered) multiplicative norm in \mathbb{R} ?
- More generally, is there a Euclidean domain with no “multiplicative” norm in the ordinals?
- How does the transfinite condition apply to PID number rings?

THANK YOU FOR YOUR ATTENTION