

## 18.725 PROBLEM SET 4

**Due date:** Friday, October 15 in lecture. Late work will be accepted only with a medical note or for another Institute-approved reason. You are strongly encouraged to work with others, but the final write-up should be entirely your own and based on your own understanding.

Read through all the problems. Write solutions to the “Required Problems”, 1, 2, 3, and 4, together with 2 others of your choice to a total of 6 problems. One or two more optional problems may be added to the problem set early next week.

**Required Problem 1:** Let  $F$  be an element of  $k[X_0, \dots, X_n]_e$ . Prove the *Euler identity*,

$$e \cdot F(X_0, \dots, X_n) = X_0 \frac{\partial F}{\partial X_0} + \dots + X_n \frac{\partial F}{\partial X_n}.$$

**Remark:** This isn’t a proof, but to see where this identity comes from, differentiate with respect to  $t$  both sides of the identity,

$$t^e F(X) = F(tX).$$

**Required Problem 2:** Let  $X_0, X_1, X_2$  be homogeneous coordinates on  $\mathbb{P}_k^2$ . Let  $(\mathbb{P}_k^2)^\vee$  be a copy of  $\mathbb{P}_k^2$  with homogeneous coordinates  $Y_0, Y_1, Y_2$ . Denote by  $(\mathbb{P}_k^2 \times (\mathbb{P}_k^2)^\vee, \pi_1, \pi_2)$  a product of  $(\mathbb{P}_k^2, (\mathbb{P}_k^2)^\vee)$ . Define  $\Lambda \subset \mathbb{P}_k^2 \times (\mathbb{P}_k^2)^\vee$  to be,

$$\{([a_0, a_1, a_2], [b_0, b_1, b_2]) \mid a_0 b_0 + a_1 b_1 + a_2 b_2 = 0\}.$$

A *projective line* in  $\mathbb{P}_k^2$  is  $\mathbb{V}(s)$  for any nonzero  $s \in k[X_0, X_1, X_2]_1$ .

(a) Prove there is a bijection between  $(\mathbb{P}_k^2)^\vee$  and the set of lines in  $\mathbb{P}_k^2$  defined by  $q \in (\mathbb{P}_k^2)^\vee \mapsto \pi_1(\Lambda \cap \pi_2^{-1}(q))$ .

(b) Let  $F \in k[X_0, X_1, X_2]_e$  be an irreducible polynomial. Denote  $C = \mathbb{V}(F) \subset \mathbb{P}_k^2$ . Let  $p = [a_0, a_1, a_2]$  be an element of  $C$ . A line  $L \subset \mathbb{P}_k^2$  is *tangent to  $C$  at  $p$*  if  $p \in L$  and the restriction of  $F$  to  $L$  has a repeated root at  $p$ . Assuming  $\text{char}(k)$  does not divide  $e$ , prove the line  $L$  associated to  $[b_0, b_1, b_2] \in (\mathbb{P}_k^2)^\vee$  is tangent to  $C$  at  $[a_0, a_1, a_2]$  iff the following matrix has rank 1,

$$\begin{pmatrix} (\partial F)/(\partial X_0)(a_0, a_1, a_2) & (\partial F)/(\partial X_1)(a_0, a_1, a_2) & (\partial F)/(\partial X_2)(a_0, a_1, a_2) \\ b_0 & b_1 & b_2 \end{pmatrix}.$$

(**Hint:** After a change of coordinates, arrange that  $(a_0, a_1, a_2) = (1, 0, 0)$  and  $(b_0, b_1, b_2) = (0, 0, 1)$ . Combine this with the Euler identity from Problem 1.)

(c) A line  $L \subset \mathbb{P}_k^2$  is *tangent to  $C$*  if there exists  $p \in L$  such that  $L$  is tangent to  $C$  at  $p$ . Using (b) and the universal closedness of  $\mathbb{P}_k^2$ , prove the following subset of  $(\mathbb{P}_k^2)^\vee$  is Zariski closed,

$$\{q \mid \pi_1(\Lambda \cap \pi_2^{-1}(q)) \text{ is tangent to } C\}.$$

**Required Problem 3:** Let  $k$  be an algebraically closed field and let  $R$  be a finitely generated, reduced  $k$ -algebra. Define the *max spectrum of  $R$* ,  $\text{Spec}_{\max}(R)$ , to be the set of  $k$ -algebra homomorphisms  $\phi : R \rightarrow k$ . For every element  $r \in R$ , there

is a mapping  $\tilde{r} : \text{Spec}_{\max}(R) \rightarrow \mathbb{A}_k^1 = k$  by  $\tilde{r}(\phi) = \phi(r)$ . Define the *Zariski topology on  $\text{Spec}_{\max}(R)$*  to be the weakest topology such that  $\tilde{r}$  is continuous (with respect to the Zariski topology on  $\mathbb{A}_k^1$ ) for every  $r \in R$ . Denote by  $\mathcal{F}$  the sheaf on  $\text{Spec}_{\max}(R)$  of all continuous maps from open subsets to  $\mathbb{A}_k^1$ . Define the *structure sheaf of  $\text{Spec}_{\max}(R)$* ,  $\mathcal{O}$ , to be the smallest subsheaf of  $\mathcal{F}$  such that,

- (i) for every nonempty open subset  $U \subset \text{Spec}_{\max}(R)$ , the constant mappings are in  $\mathcal{O}(U)$ ,
- (ii) for every open subset  $U \subset \text{Spec}_{\max}(R)$  and every  $g \in \mathcal{O}(U)$  that is everywhere nonzero, also  $1/g \in \mathcal{O}(U)$ , and
- (iii) for every  $r \in R$ ,  $\tilde{r} \in \mathcal{O}(\text{Spec}_{\max}(R))$ .

(a) Prove that a basis for the topology on  $\text{Spec}_{\max}(R)$  is given by the *basic open affines*,  $D(r) := \{\phi : R \rightarrow k \mid \phi(r) \neq 0\}$ .

(b) Prove that for every open  $U$ , every continuous map  $g : U \rightarrow \mathbb{A}_k^1$  and every point  $\phi \in U$ , there exists a neighborhood  $V \subset U$  such that  $g|_V$  is in  $\mathcal{O}(V)$  iff there exist  $h, s \in R$  such that  $\phi \in D(s) \subset U$  and  $g|_{D(s)} = \tilde{h}/\tilde{r}$ . Using Theorem 4.5, prove that for every  $s \in R$ ,  $\mathcal{O}(D(s)) \cong R[1/s]$ .

(c) Prove that  $(\text{Spec}_{\max}(R), \mathcal{O})$  is an affine variety. **Not to be written up:** What is the universal property of this affine variety?

**Required Problem 4:** Let  $F : X \rightarrow Y$  be a regular morphism of affine algebraic sets.

(a) For every element  $y \in Y$ , denote by  $\mathfrak{m}_y \subset k[Y]$  the corresponding maximal ideal. Prove there is a bijection between the elements of  $F^{-1}(\{y\})$  and the maximal ideals of  $k[X]/F^*(\mathfrak{m}_y)k[X]$ .

(b) If  $F$  is a finite morphism, and if  $F^{-1}(\{y\})$  is empty, prove there exists  $g \in k[Y]$  such that  $g(y) \neq 0$  and  $F^*(g) = 0$ , i.e.,  $F^*(g) \cdot k[X] = \{0\}$ . (**Hint:** Apply Nakayama's lemma to the finitely-generated  $k[Y]$ -module  $k[X]$ .)

(c) If  $F$  is a finite morphism, conclude that  $F(X) \subset Y$  is a closed subset: if  $y \in Y - F(X)$ , then there exists  $g \in k[Y]$  such that  $y \in D(g) \subset Y - F(X)$ . **Not to be written up:** Combined with Corollary 14.19, conclude that finite morphisms of algebraic varieties are universally closed.

**Problem 5 (a):** Assume  $\text{char}(k) \neq 2$ . Prove the subset of  $(\mathbb{P}_k^2)^\vee$  parametrizing lines tangent to  $C = \mathbb{V}(X_0^2 + X_1^2 + X_2^2)$  is  $\mathbb{V}(Y_0^2 + Y_1^2 + Y_2^2)$ . For a "general" element  $p \in \mathbb{P}_k^2$ , how many tangent lines to  $C$  contain  $p$ ?

(b) Let  $F \in k[X_0, X_1, X_2]_e$  be an irreducible polynomial. Define  $U = \mathbb{V}(F) - \mathbb{V}(\partial F/\partial X_0, \partial F/\partial X_1, \partial F/\partial X_2)$ . Prove the following mapping  $U \rightarrow (\mathbb{P}_k^2)^\vee$  is a regular morphism whose image is contained in the set of lines tangent to  $\mathbb{V}(F)$  (this mapping is the *Gauss map*):

$$[p] \in U \mapsto [(\partial F/\partial X_0)(p), (\partial F/\partial X_1)(p), (\partial F/\partial X_2)(p)].$$

**Problem 6:** Let  $R$  be a finitely-generated  $k$ -algebra that is not necessarily reduced. Repeat the definition of  $\text{Spec}_{\max}(R)$  and  $\mathcal{O}^{\text{red}}$  as in Problem 3 (except that, for reasons that will become clear, the sheaf is denoted  $\mathcal{O}^{\text{red}}$  instead of  $\mathcal{O}$ ). Prove  $(\text{Spec}_{\max}(R), \mathcal{O}^{\text{red}})$  is an affine variety, and identify the  $k$ -algebra  $\mathcal{O}^{\text{red}}(\text{Spec}_{\max}(R))$ .

**Problem 7: Another proof of existence of sheafification** Let  $X$  be a topological space and let  $\mathcal{F}$  be a presheaf of sets. Define the *espace étalé* as a set in Definition 10.8,  $p : |\mathcal{F}| \rightarrow X$ .

(a) Let  $U \subset X$  be an open set,  $p \in U$  an element and  $f, g \in \mathcal{F}(U)$  elements whose images are equal in the stalk  $\mathcal{F}_p$ . Prove there exists an open neighborhood  $V \subset U$  such that  $f|_V = g|_V$ .

(b) For every open set  $U \subset X$  and every  $f \in \mathcal{F}(U)$ , define  $D(U, f) \subset |\mathcal{F}|$  to be the set of pairs  $(p, f_p)$  of an element  $p \in U$  and the image  $f_p$  of  $f$  in  $\mathcal{F}_p$ . Prove these sets form the basis for a topology on  $|\mathcal{F}|$ , called the *natural topology*.

(c) For every open set  $U \subset X$  and every  $f \in \mathcal{F}(U)$ , prove the induced set map  $\hat{f} : U \rightarrow |\mathcal{F}|$  is continuous with respect to the natural topology on  $|\mathcal{F}|$ .

(d) Denote by  $\mathcal{F}^+$  the sheaf of sections of the continuous mapping  $p : |\mathcal{F}| \rightarrow X$  as in Example 10.4(ii). By (c) there is a presheaf homomorphism  $\phi : \mathcal{F} \rightarrow \mathcal{F}^+$ . Prove this is a sheafification of  $\mathcal{F}$ .

**Problem 8** Let  $\mathcal{A}$  and  $\mathcal{B}$  be categories. An *adjoint pair of functors* is a pair of functors  $(L, R)$ ,  $L : \mathcal{A} \rightarrow \mathcal{B}$ ,  $R : \mathcal{B} \rightarrow \mathcal{A}$ , together with a rule associating to every object  $A$  of  $\mathcal{A}$  and every object  $B$  of  $\mathcal{B}$  a bijection,

$$\eta_{A,B} : \text{Hom}_{\mathcal{B}}(L(A), B) \rightarrow \text{Hom}_{\mathcal{A}}(A, R(B)),$$

which is a *natural bijection* in the sense that for every object  $A$  of  $\mathcal{A}$ , resp. every object  $B$  of  $\mathcal{B}$ , the induced transformation of functors  $\mathcal{B} \rightarrow \text{Sets}$ ,

$$\eta_{A,*} : \text{Hom}_{\mathcal{B}}(L(A), *) \Rightarrow \text{Hom}_{\mathcal{A}}(A, R(*)),$$

is a natural transformation, resp. the induced transformation of contravariant functors  $\mathcal{A} \rightarrow \text{Sets}$ ,

$$\eta(*, B) : \text{Hom}_{\mathcal{B}}(L(*), B) \Rightarrow \text{Hom}_{\mathcal{A}}(*, R(B)),$$

is a natural transformation.

(a) Let  $\mathcal{A} = \text{Sets}$  and let  $\mathcal{B} = \text{Groups, Rings, or } R\text{-modules}$ . Define  $R : \mathcal{B} \rightarrow \mathcal{A}$  to be the functor that sends each object to its underlying set of elements. Prove there is a functor  $L : \mathcal{A} \rightarrow \mathcal{B}$  and a natural bijection  $\eta$  so that  $(L, R)$  is an adjoint pair. **Hint:** For each  $\mathcal{B}$ , there is a notion of a *free object*.

(b) In each case above, prove that  $(L, R)$  has the additional property that a morphism  $f : B \rightarrow B'$  in  $\mathcal{B}$  is an isomorphism iff  $R(f)$  is an isomorphism (this is not an axiom for an adjoint pair).

**Problem 9:** Let  $\mathcal{A} = \text{Sets}$ , let  $\mathcal{B}$  be a category, and let  $(L, R, \eta)$  be an adjoint pair such that for every morphism  $f : B \rightarrow B'$  in  $\mathcal{B}$ ,  $f$  is an isomorphism iff  $R(f)$  is an isomorphism. Let  $X$  be a topological space, and let  $\mathcal{F}$  be a presheaf of objects in  $\mathcal{B}$  on  $X$ .

(a) Prove that  $\mathcal{F}$  is a sheaf iff the presheaf of sets  $R(\mathcal{F})$  on  $X$  is a sheaf.

(b) Prove that  $\mathcal{F}$  satisfies Axiom (A) from Definition 10.1 iff  $\mathcal{F}$  satisfies Axiom (A') from Remark 10.2.

**Difficult Problem 10:** Let  $F : \mathbb{P}_k^1 \rightarrow \mathbb{P}_k^3$  be the regular morphism  $[a_0, a_1] \mapsto [a_0^3, a_0^2 a_1, a_0 a_1^2, a_1^3]$ . Denote by  $C \subset \mathbb{P}_k^3$  the image of  $F$  (which is a projective subvariety by Problem 10 from PS# 2). For every element  $p = [b_0, b_1, b_2, b_3] \in \mathbb{P}_k^3 - F(\mathbb{P}_k^1)$ , define a morphism  $G_p : C \rightarrow \mathbb{P}_k^5$  by

$$[c_0, c_1, c_2, c_3] \mapsto [b_1 c_0 - b_0 c_1, b_2 c_0 - b_0 c_2, b_3 c_0 - b_0 c_3, b_2 c_1 - b_1 c_2, b_3 c_1 - b_1 c_3, b_3 c_2 - b_2 c_3].$$

(a) Prove there exists a linear embedding  $H : \mathbb{P}_k^2 \subset \mathbb{P}_k^5$  whose image contains the image of  $G_p$ .

(b) With respect to your linear embedding, find the equation of the plane curve  $C_p = H^{-1}(G_p(C))$  for  $p = [1, 0, 0, 1]$ . Write down all the elements  $q \in C_p$  where there is *not* a unique tangent line to  $C_p$  at  $q$ .

(c) A *secant line to  $C$*  is a projective line in  $\mathbb{P}^3$  that intersects  $C$  in at least 2 distinct points. How many secant lines to  $C$  contain  $p$ ? **Not to be written up:** What if  $p$  is another (general) element of  $\mathbb{P}_k^3$ ? How many secant lines to  $C$  contain  $p$ ? Pay special attention if you go to Alexei Oblomkov's PUMA-GRASS lecture.

**Problem 11:** For every integer  $n \in \mathbb{Z}$ , define  $X_n$  to be a copy of the affine variety  $\mathbb{V}(xy) \in \mathbb{A}^2$ , define  $X_{n,n+1} \subset X_n$  to be  $D(x)$  and  $X_{n,n-1} \subset X_n$  to be  $D(y)$ . Define  $\phi_n : X_{n,n+1} \rightarrow X_{n+1,n}$  to be the regular morphism  $(a, 0) \mapsto (0, 1/a)$ . If  $|m - n| > 1$ , define  $X_{m,n} = \emptyset$  and define  $\phi_{m,n}$  to be the empty mapping.

(a) Prove that the collection  $(\{X_n\}, \{X_{m,n}\}, \{\phi_{m,n}\})$  satisfy the axioms for Lemma 12.11, the Gluing Lemma for spaces with functions. Denote by  $X$  the associated space with functions.

(b) Prove that  $X$  is a connected algebraic variety that is *not* quasi-compact.