#### Kodaira Dimension

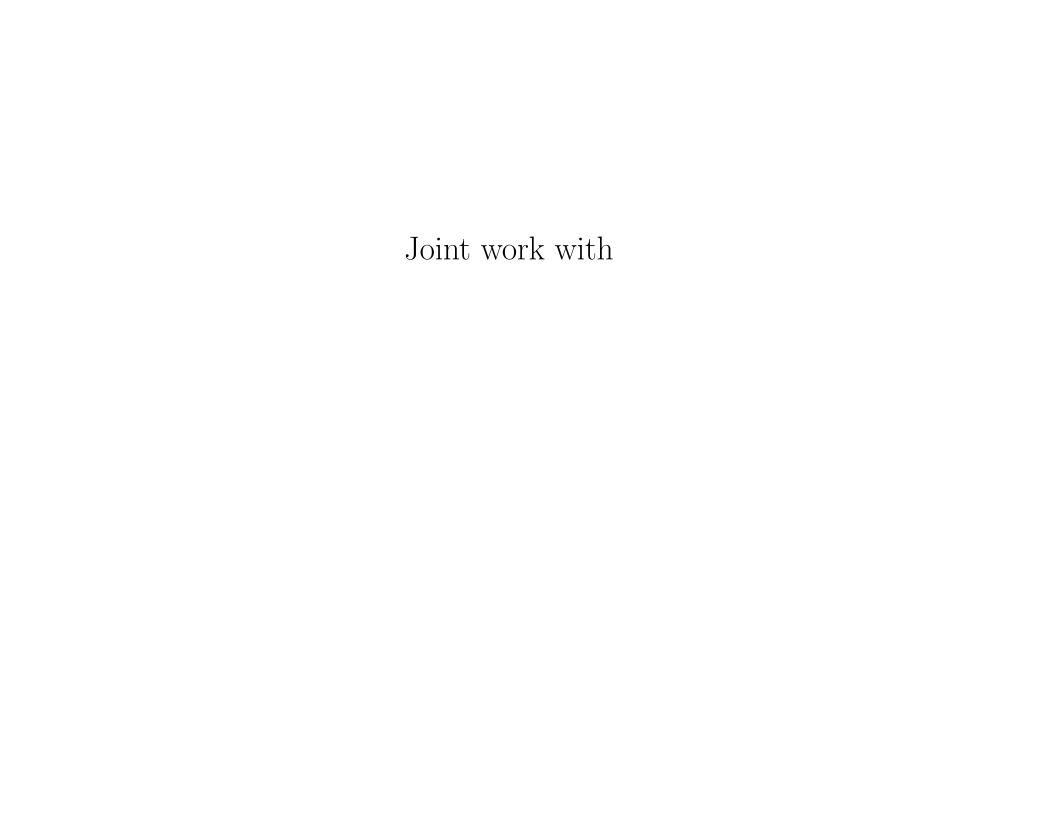
and the

Yamabe Problem,

Revisited

Claude LeBrun Stony Brook University

Conformal Geometry, Analysis, and Physics Seattle, WA, June 16, 2022



Michael Albanese

Michael Albanese Université du Québec à Montréal

Michael Albanese Université du Québec à Montréal

to appear in Communication in Analysis and Geometry

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and

Perspectives on Scalar Curvature, Gromov and Lawson, editors.

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This talk focuses on the relationship between a complexanalytic invariant called the Kodaira dimension, and a diffeomorphism invariant called the Yamabe invariant (or sigma constant), which encodes information about the scalar curvature. In the mid-1990s, Seiberg-Witten theory revealed that many of Donaldson's previous results on 4-dimensional differential topology were intimately related to the behavior of the scalar curvature.

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This talk focuses on the relationship between a complexanalytic invariant called the Kodaira dimension, and a diffeomorphism invariant called the Yamabe invariant (or sigma constant), which encodes information about the scalar curvature.

The new results concern complex surfaces which do not admit Kähler metrics, and thus are far-removed from the original context.

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$$r = \lambda g$$

for some constant  $\lambda \in \mathbb{R}$ .

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where V = Vol(M, g) inserted to make scale-invariant.

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### Yamabe:

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Unique up to scale when  $s \leq 0$ .

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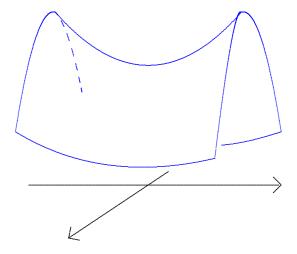
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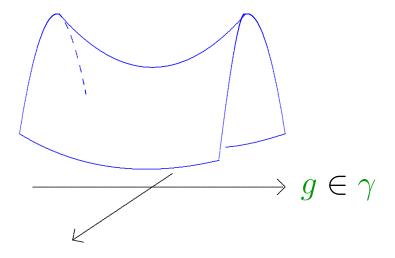
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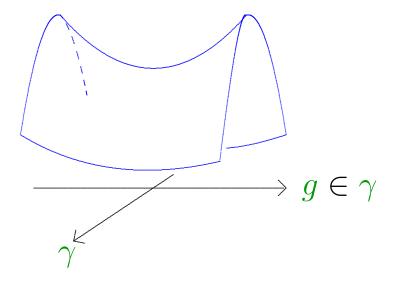
### Schoen:

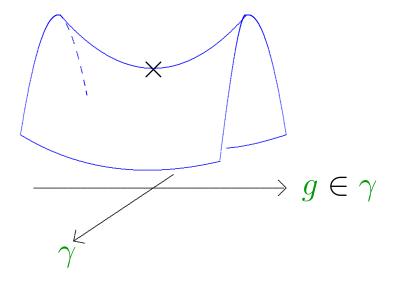
= only for round sphere.

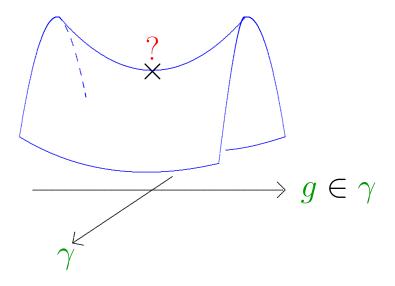
# Yamabe's Dream

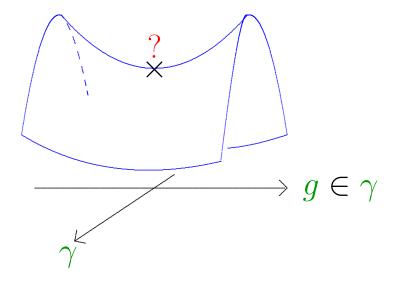




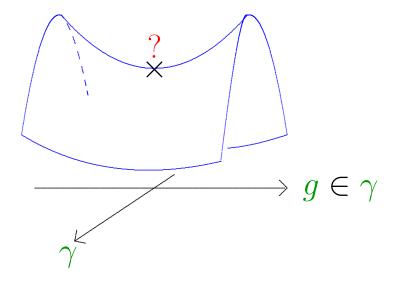








Too good to be true!



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- R. Schoen ('87): "sigma constant"
- O. Kobayashi ('87): "mu invariant"

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Problem. Compute actual value of  $\mathcal{Y}(M)$  for concrete, interesting manifolds.

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Moreover, can choose  $M_j$  such that each  $\mathcal{Y}(M_j)$  is realized by an Einstein metric  $g_j$ .

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By contrast, in complex dimension  $m \geq 3$ , Kod is not a diffeomorphism invariant, and has essentially nothing to do with  $\mathscr{Y}(M)$ .

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Today: what happens when  $b_1(M)$  is odd?

# **Kodaira Classification**

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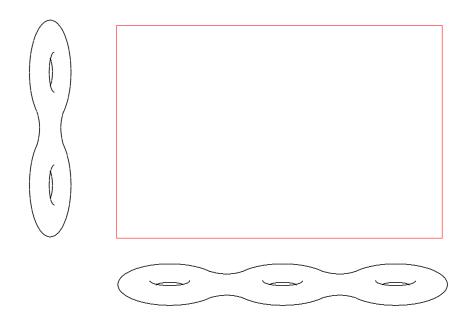
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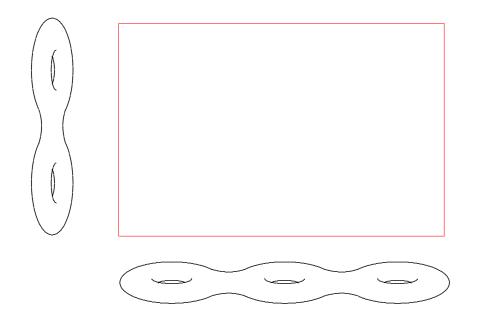
over maps defined by holomorphic sections of  $K^{\otimes \ell}$ .

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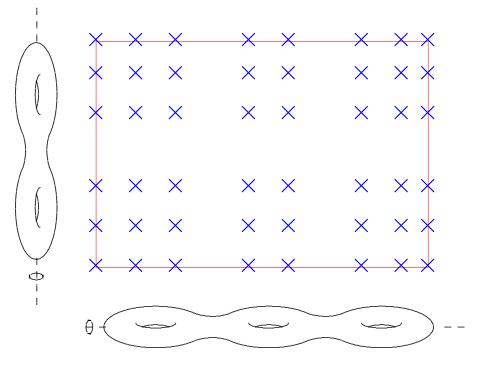


# **Examples**. Products of complex curves:



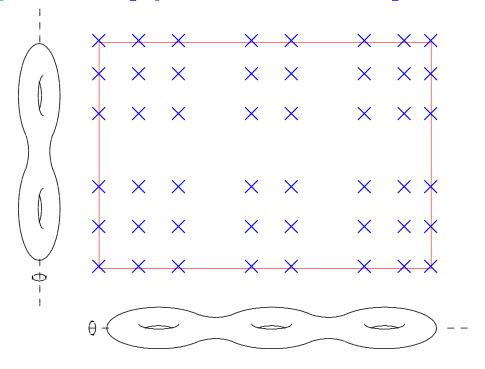
$$\operatorname{Kod}(\Sigma_1 \times \Sigma_2) = \operatorname{Kod}(\Sigma_1) + \operatorname{Kod}(\Sigma_2)$$

#### **Examples**. Simply connected examples:



$$M = (\widetilde{\Sigma_1 \times \Sigma_2})/\mathbb{Z}_2$$

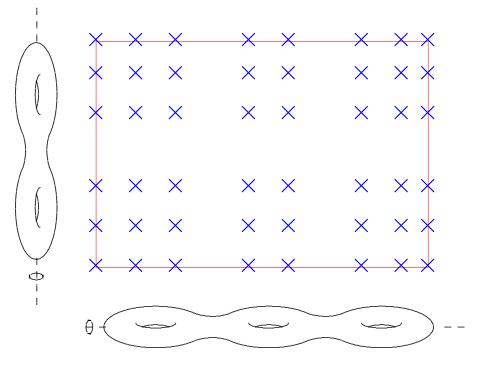
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means first blow up at fixed points of  $\mathbb{Z}_2$ -action.

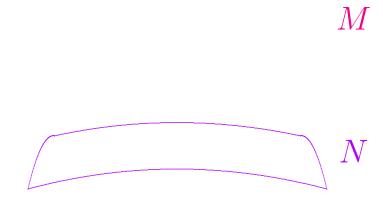
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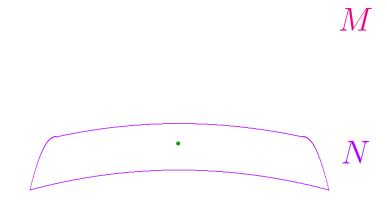
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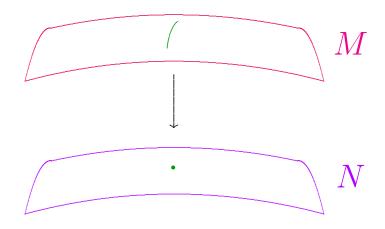
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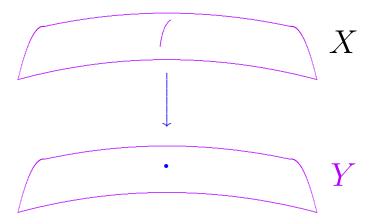
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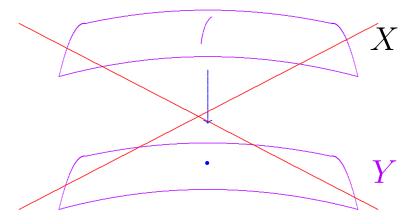


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A complex surface X is called minimal





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The minimal model X of M is unique if

$$\operatorname{Kod}(M) \neq -\infty$$
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<sup>&</sup>quot;Fibration" allows singular fibers, so not fiber-bundle.

**Theorem** (L'99). Let M be the smooth 4-manifold underlying any compact complex surface  $(M^4, J)$  of Kähler type. Then

$$\mathscr{Y}(M) > 0 \iff Kod(M, J) = -\infty,$$
  
 $\mathscr{Y}(M) = 0 \iff Kod(M, J) = 0 \text{ or } 1,$   
 $\mathscr{Y}(M) < 0 \iff Kod(M, J) = 2.$ 

Theorem (L'96). Let  $(M^4, J)$  be a compact complex surface of Kod = 2,

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We'll see that this isn't so when  $Kod = -\infty!$ 

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### Missing piece:

Prove  $\mathscr{Y}(M) \leq 0$  when Kod = 1 and  $b_1$  is odd.

**Lemma C.** Let (M, J) be a compact complex surface with  $b_1$  odd and Kod(M) = 1.

Proposition. Lemma  $C \Longrightarrow Theorems A \& B$ .

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Hidden in plain sight: Brinzănescu '94: In elliptic surface with  $b_1$  odd, no fiber is a union of rational curves. Minimal  $\implies$  at worst multiple fibers!

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I will focus on second method in this lecture.

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If M admits an almost-complex structure J, then J determines a specific spin<sup>c</sup> structure,

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where  $S_{\pm}$  are left & right-handed spinor bundles.

Every unitary connection  $\theta$  on L

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$$\langle \Phi, D_{\theta}^* D_{\theta} \Phi \rangle = \frac{1}{2} \Delta |\Phi|^2 + |\nabla_{\theta} \Phi|^2 + \frac{s}{4} |\Phi|^2$$

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where  $F_{\theta}^{+}$  = self-dual part curvature of  $\theta$ , and  $\sigma : \mathbb{V}_{+} \to \Lambda^{+}$  is a natural real-quadratic map,

$$|\sigma(\Phi)| = \frac{1}{2\sqrt{2}} |\Phi|^2.$$

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This leads to non-trivial scalar curvature estimates.

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$$s_- := \min(s, 0)$$

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$$\int_{M} (-\mathbf{s}_{-})|\Phi|^{2} d\mu_{g} \ge \int_{M} |\Phi|^{4} d\mu_{g}$$

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$$\left(\int_{M} (\mathbf{s}_{-})^{2} d\mu_{g}\right)^{1/2} \left(\int_{M} |\Phi|^{4} d\mu_{g}\right)^{1/2} \geq \int_{M} |\Phi|^{4} d\mu_{g}$$

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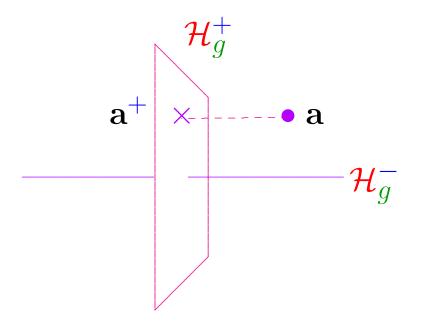
$$\int_{M} (s_{-})^{2} d\mu_{g} \ge 8 \int_{M} |F_{\theta}^{+}|^{2} d\mu_{g}$$

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Weitzenböck formula implies

$$\int_{M} (s_{-})^{2} d\mu_{g} \ge 32\pi^{2} [c_{1}(L)_{g}^{+}]^{2}$$

where  $c_1(L)_q^+$  = self-dual part of harmonic rep.



$$H^2(M,\mathbb{R})$$

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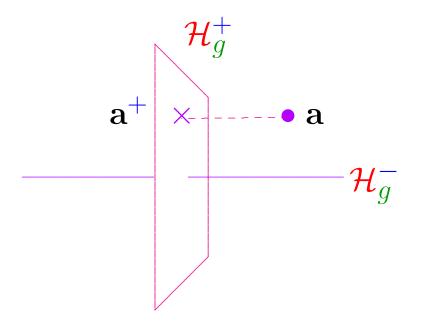
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However, with only a modicum of extra work, his method proves the existence of the following...

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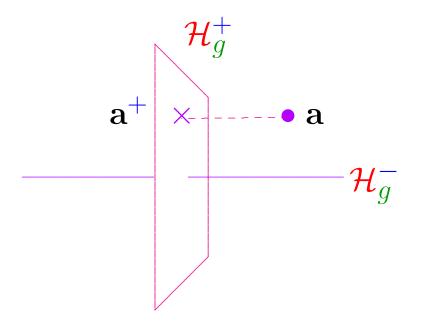
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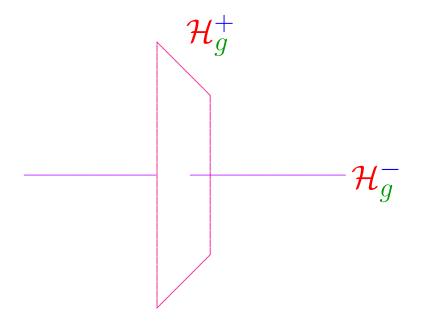
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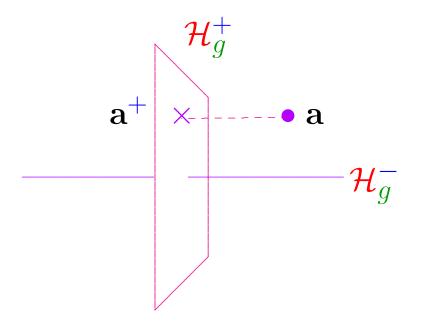
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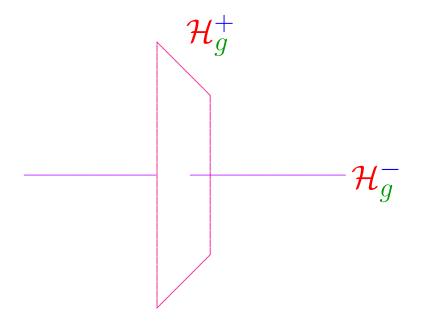
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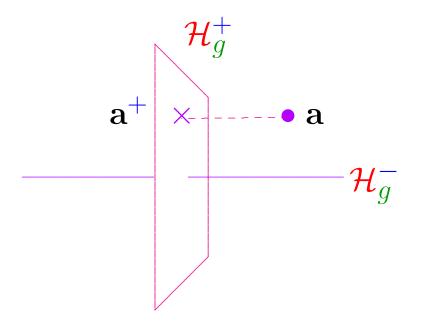
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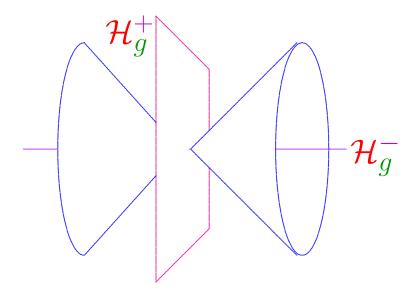
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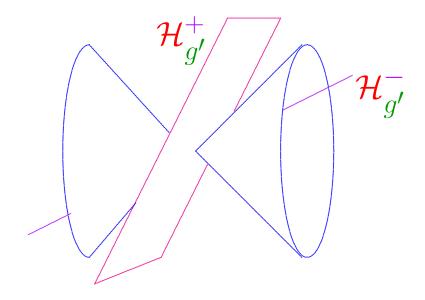


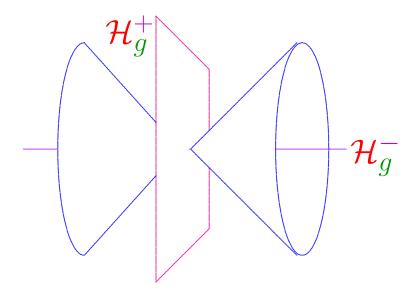
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$$\int_{M} (s_{-})^{2} d\mu_{g} \ge 32\pi^{2} [\mathbf{a}^{+}]^{2},$$

where

$$\mathbf{a}^+ = \mathbf{a}_g^+ \in H^2(M, \mathbb{R})$$

is the self-dual part of **a** with respect to **g**.

#### Characteristic:

$$\mathbf{a} \bullet \mathbf{b} \equiv \mathbf{b} \bullet \mathbf{b} \mod 2 \qquad \forall \mathbf{b} \in H^2(M, \mathbb{Z}) / \text{torsion}$$

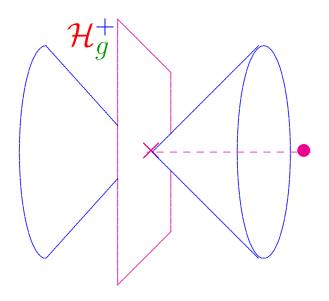
Proposition.

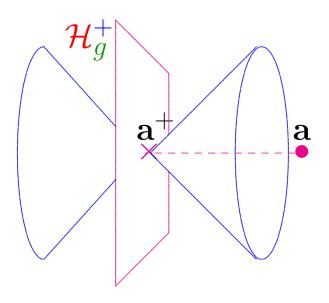
**Proposition.** Let M be a smooth compact oriented 4-manifold with  $b_{+} \geq 2$ .

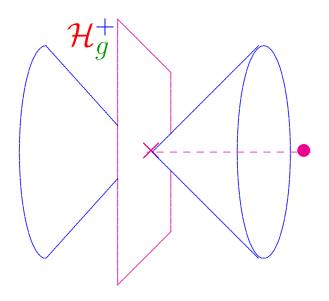
**Proposition.** Let M be a smooth compact oriented 4-manifold with  $b_{+} \geq 2$ . If M carries a non-zero mock-monopole class,

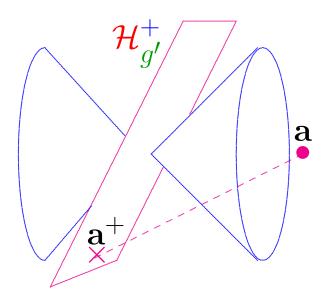
### Key point:

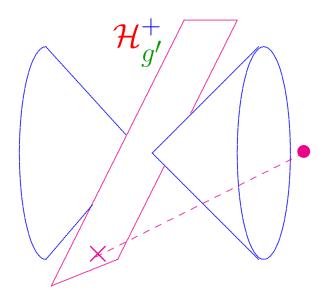
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But Y(M, [g]) is a continuous function of  $\gamma$ .

# Corollary.

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## Characteristic:

$$\mathbf{a} \bullet \mathbf{b} \equiv \mathbf{b} \bullet \mathbf{b} \mod 2 \qquad \forall \mathbf{b} \in H^2(M, \mathbb{Z}) / \text{torsion}$$

On  $M = X \# k \overline{\mathbb{CP}}_2$ , mock-monopole  $\mathbf{a} \in H^2(M, \mathbb{Z})/\text{torsion}$  must be non-zero, because pairing with Poincaré dual of the generator of  $H_2(\overline{\mathbb{CP}}_2, \mathbb{Z})$  must be odd.

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Schoen-Yau, Gromov-Lawson:

 $\mathcal{Y} > 0$  preserved under connected sums  $(n \geq 3)$ .

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$$\mathscr{Y}(M) \le 0 \Longrightarrow \mathscr{Y}(X) \le 0.$$

**Proposition.** If (M, J) is any complex surface with  $b_1$  odd and Kod = 1, there is a finite cover  $\widetilde{M} \to M$  on which  $c_1(\widetilde{M}, J)$  is a mock-monopole class.

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Key Point: Brinzănescu '94  $\Longrightarrow$  minimal model X has unbranched covers diffeomorphic to  $N \times S^1$ , where  $N \to \Sigma$  Chern-class-1 circle bundle over  $\Sigma$  of genus  $\geq 2$ .

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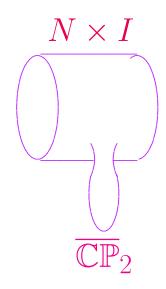
Idea of the proof hidden in **Kronheimer '99**, which did not define the concept or quite prove the needed estimate. Objective was instead to estimate

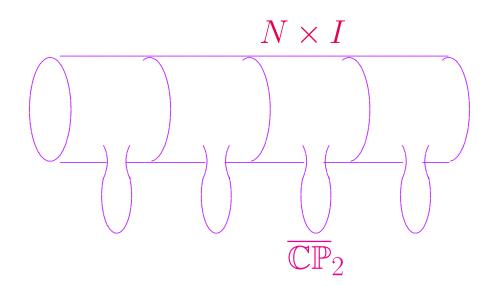
$$\int_{M} s^2 d\mu_g \ge \int_{M} (s_-)^2 d\mu_g.$$

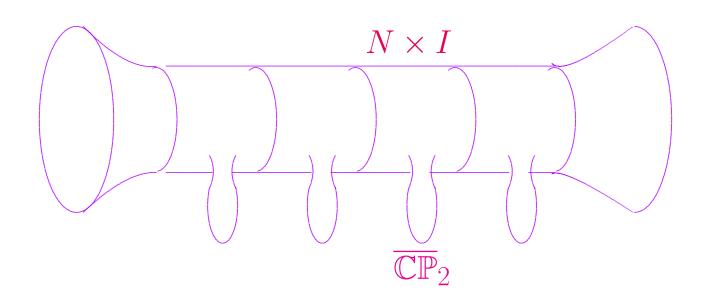
Kronheimer's method is to construct approximate solutions of the SW equations on a sequence of high-degree covers  $\widetilde{M} \to M$ , with error term uniformly bounded as the degree of the cover  $\to +\infty$ .

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Kronheimer's method is to construct approximate solutions of the SW equations on a sequence of high-degree covers  $\widetilde{M} \to M$ .

In limit, one obtains desired inequality

$$\int_{M} (s_{-})^{2} d\mu_{g} \ge 32\pi^{2} [\mathbf{a}^{+}]^{2}$$

for any Riemannian metric g on M.

**Lemma C.** Let (M, J) be a compact complex surface with  $b_1$  odd and Kod(M) = 1. Then M does not admit a Riemannian metric of positive scalar curvature.

$$\mathscr{Y}(M) = 0 \iff Kod(M, J) = 0 \text{ or } 1,$$
  
 $\mathscr{Y}(M) < 0 \iff Kod(M, J) = 2.$ 

**Theorem B.** Let (M, J) be a compact complex surface with  $Kod \neq -\infty$ , and let (X, J') be its minimal model. Then

$$\mathscr{Y}(M) = \mathscr{Y}(X).$$

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Class VII is pathological!

$$\mathscr{Y}(M) = 0 \iff Kod(M, J) = 0 \text{ or } 1,$$
  
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**Proposition.** Class VII includes both manifolds with  $\mathcal{Y}(M) > 0$ , and manifolds with  $\mathcal{Y}(M) = 0$ .

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For known classes of examples, sign of  $\mathscr{Y}(M)$  is left unchanged by blowing up.

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Global Spherical Shell Conjecture claims that all possible diffeotypes are already known. This would mean  $\mathscr{Y}(M) \geq 0$  for any class-VII surface.

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**Proposition.** Class VII includes both manifolds with  $\mathcal{Y}(M) > 0$ , and manifolds with  $\mathcal{Y}(M) = 0$ .

However, this **Conjecture** is very difficult, and has only been proved with  $b_2(M) \leq 3$ .

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**Examples**: Hopf surface  $S^3 \times S^1$ .

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Schoen-Yau methods proves...

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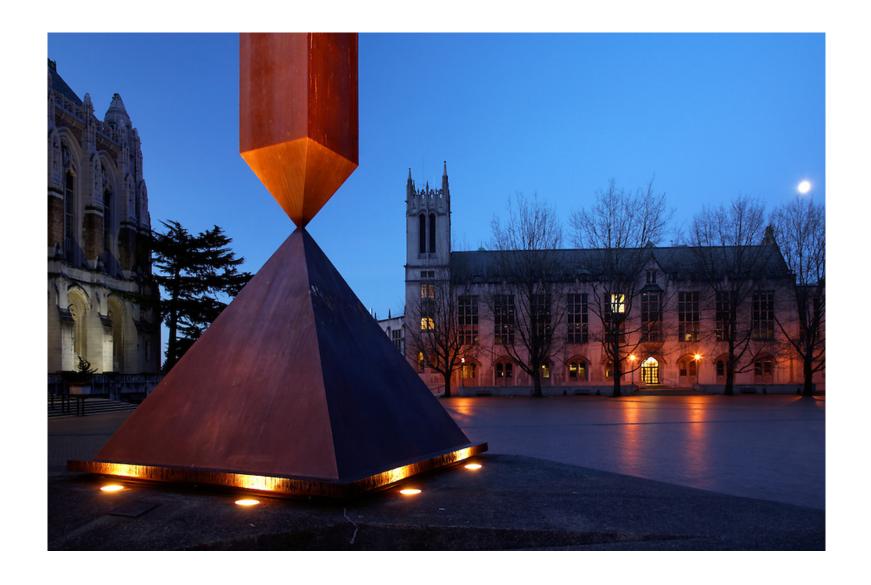
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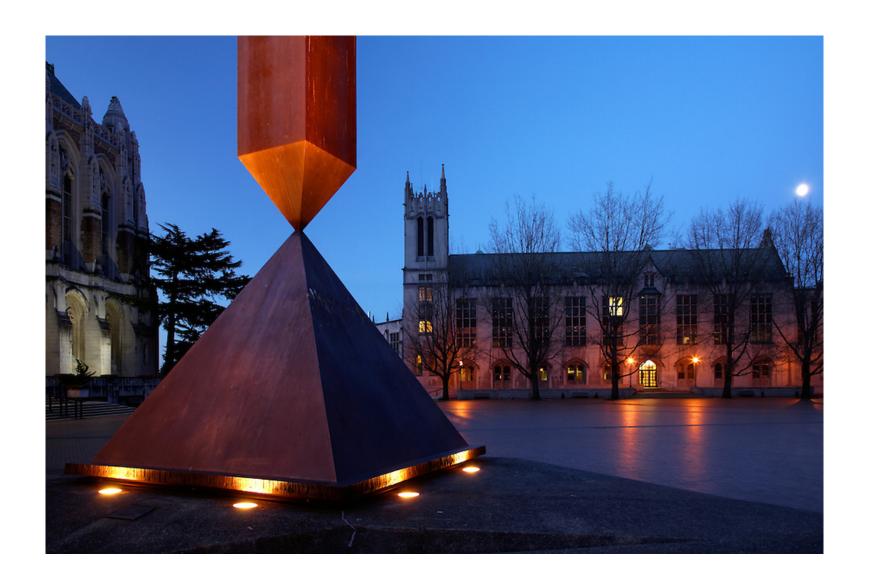
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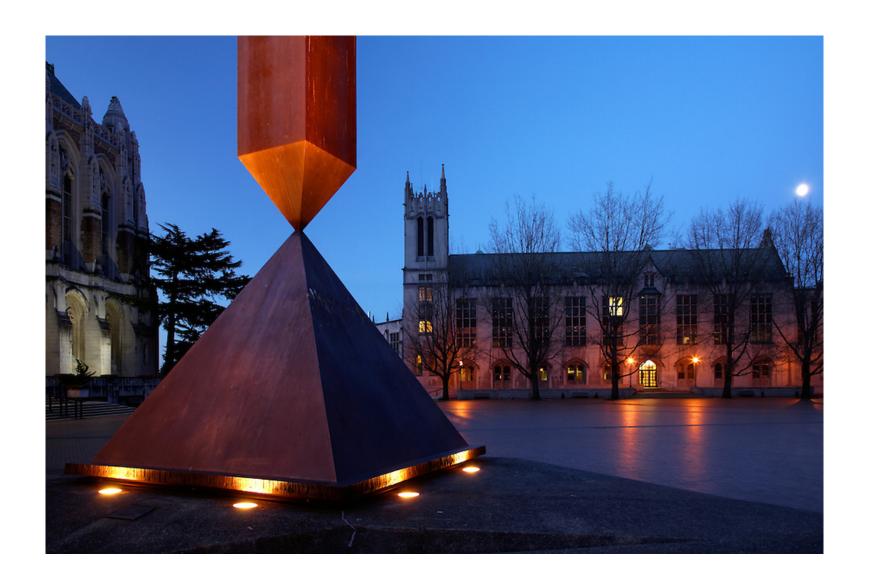
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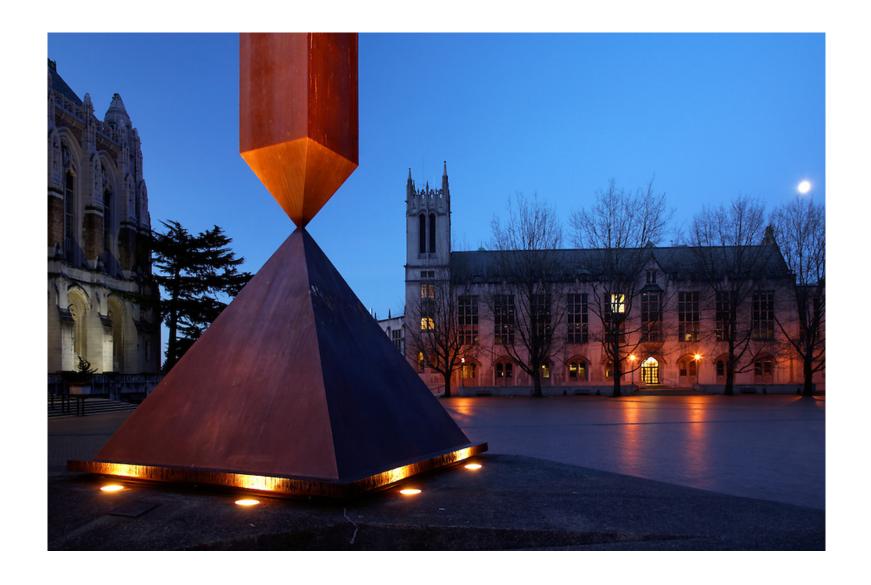




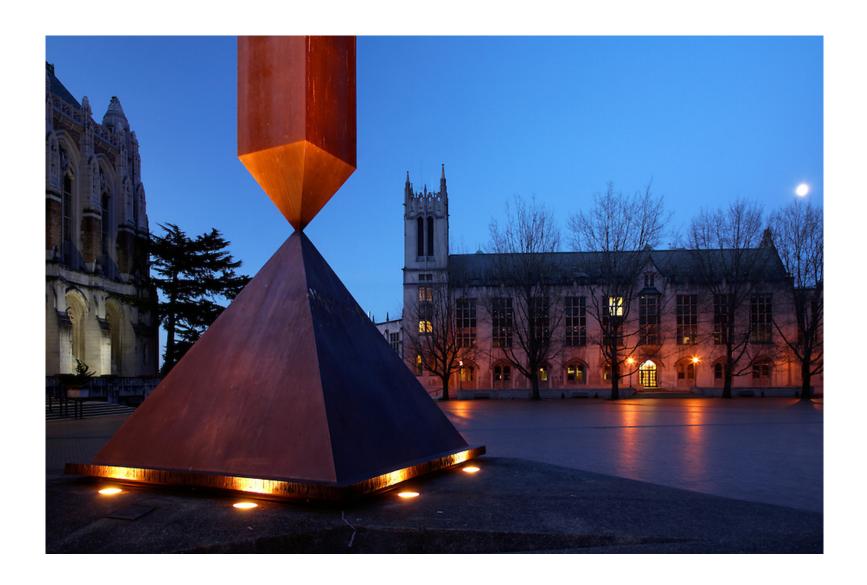
Broken Obelisk, Barnett Newman, 1970



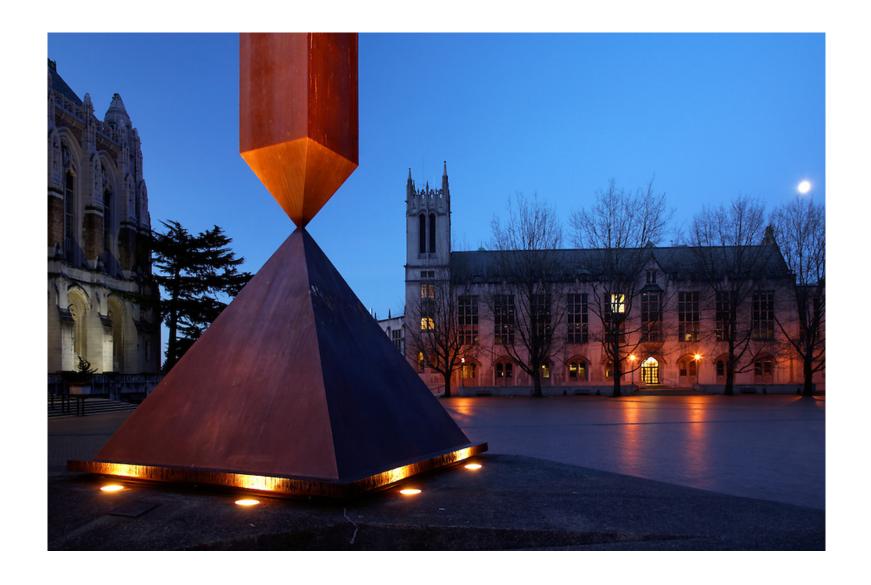
Houston, Seattle, New York



## I'm a great fan of your results,



## and it's an honor to have you as a friend!



## Happy Retirement, Robin!

