

Twistors,
Self-Duality,
and
Spin^c Structures

Claude LeBrun
Stony Brook University

RP90: Twistors from Geometry to Physics.
University of Oxford, July 23, 2021.

Revised version.

For my friend and teacher

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Sir Roger Penrose,

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In celebration of his 90th birthday

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{infinitesimal rotations} = {skew matrices} = {2-forms}.

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Λ^+ self-dual 2-forms

Λ^- anti-self-dual 2-forms

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which is almost-complex structure compatible with metric and determining given orientation.

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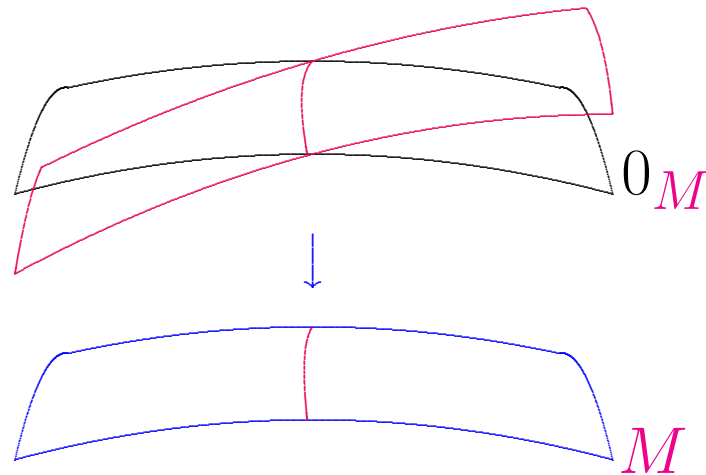
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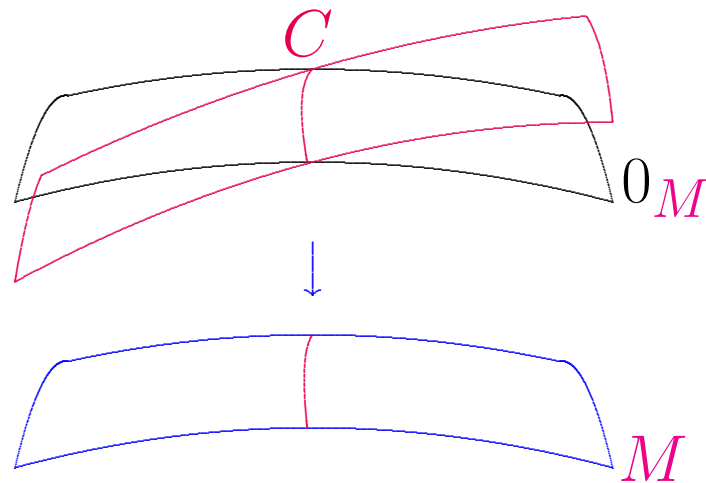
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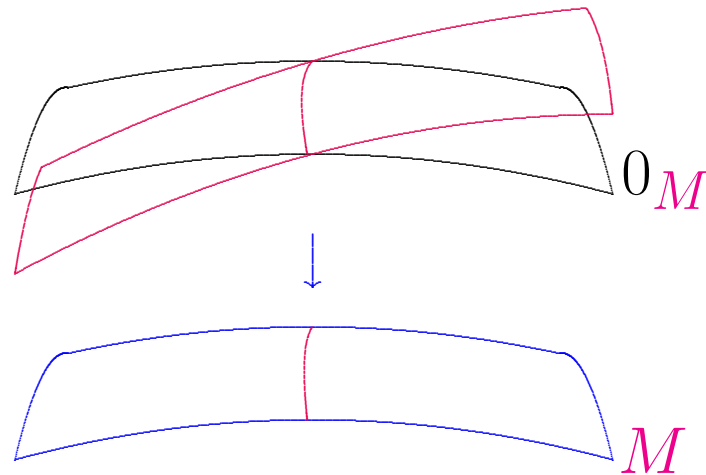
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Can't just cancel zeroes, as in case of $TM \rightarrow M$.

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For example, $Td(S^4) = \frac{(\chi + \tau)}{4}(S^4) = \frac{1}{2} \notin \mathbb{Z}$.

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(in sense of Atiyah-Hitchin-Singer)

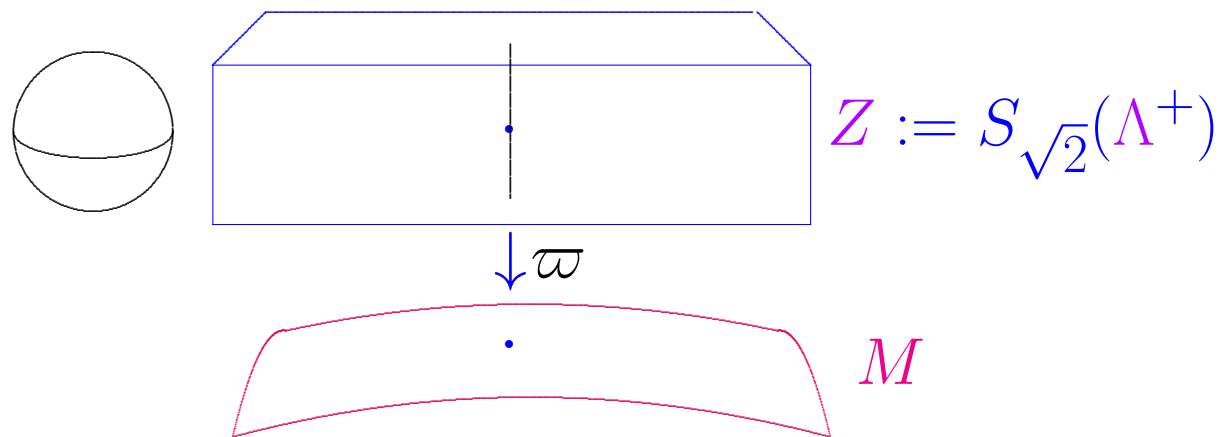
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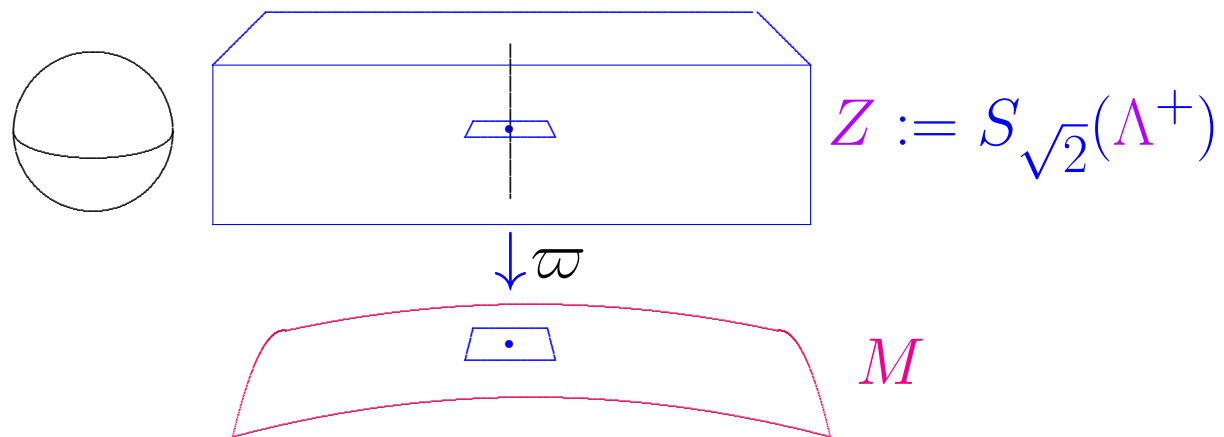
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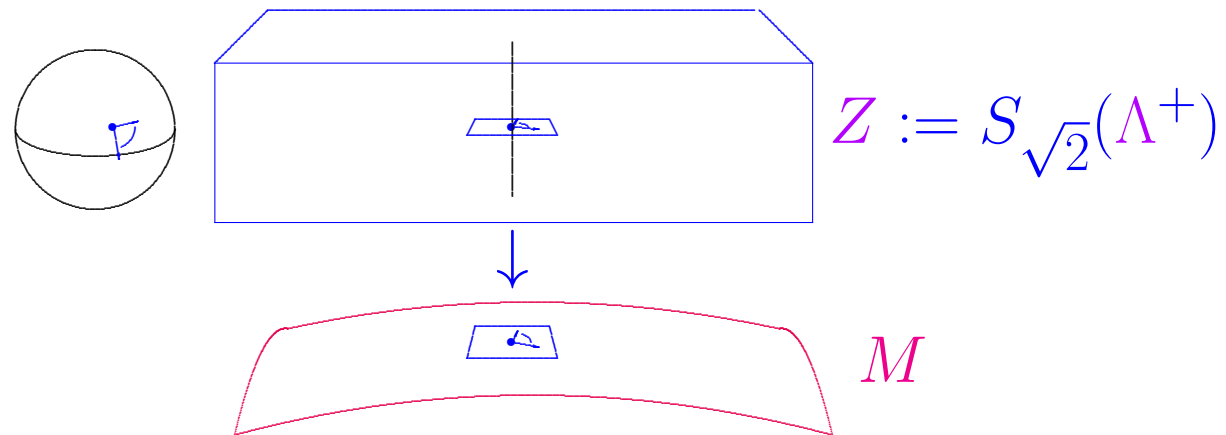


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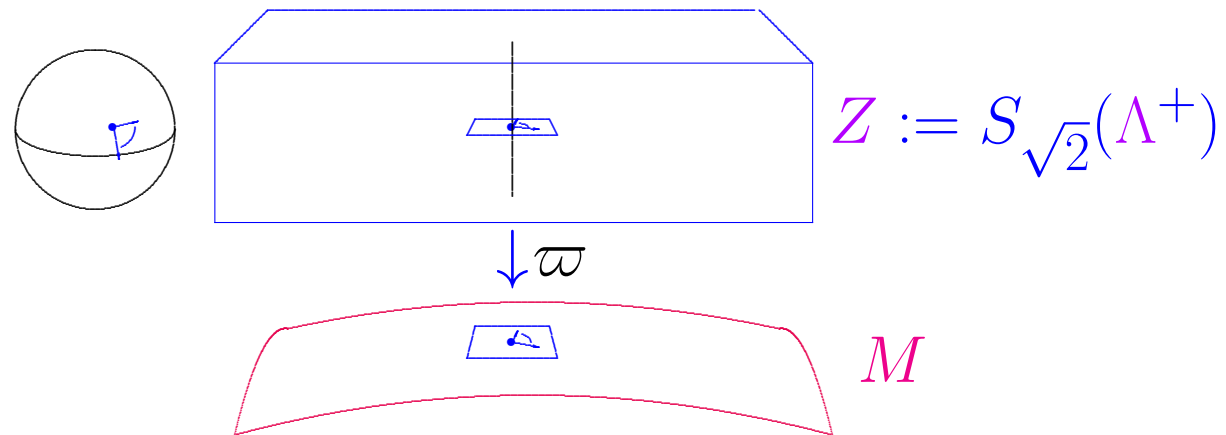
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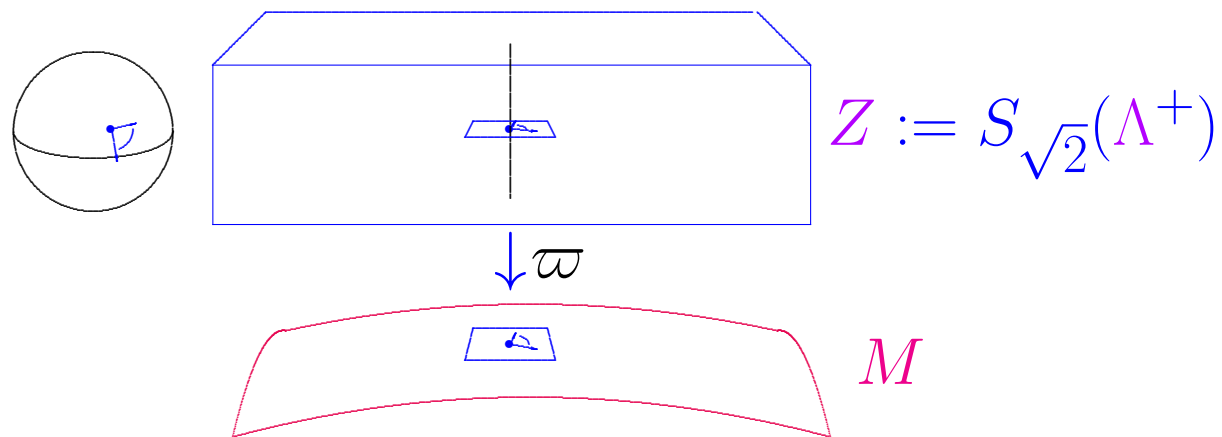
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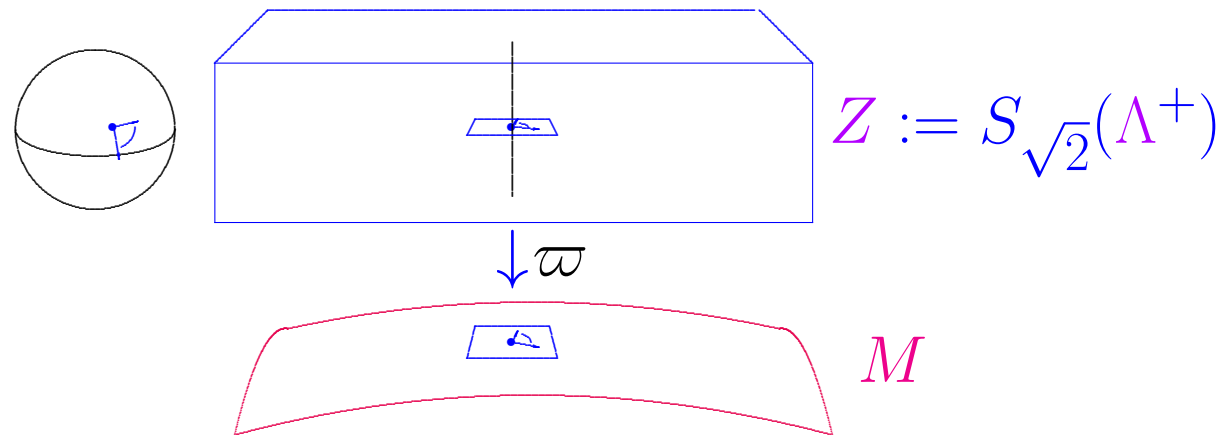


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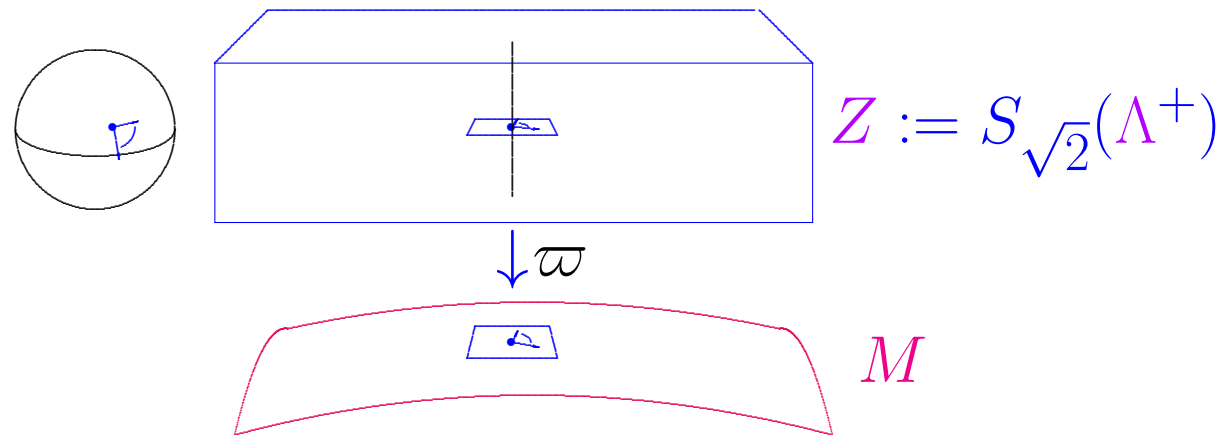
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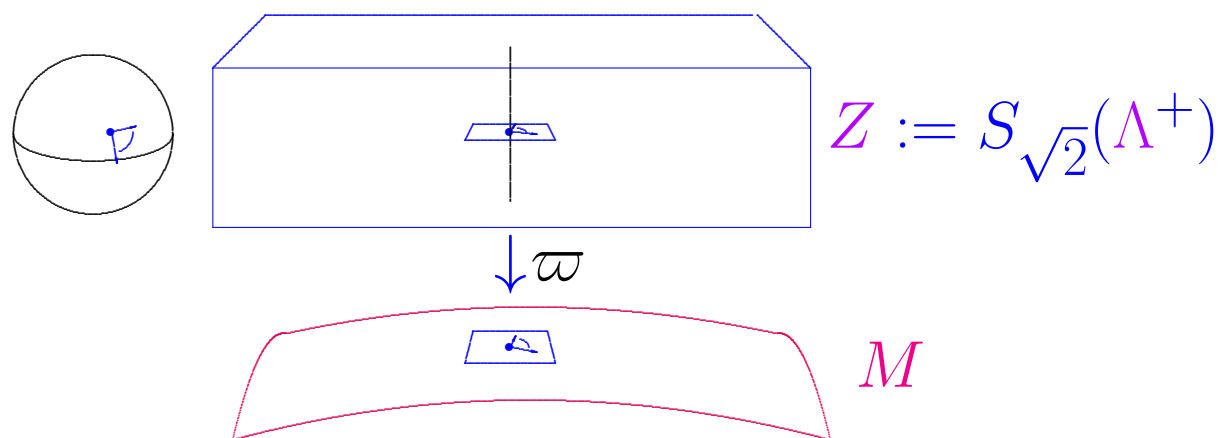
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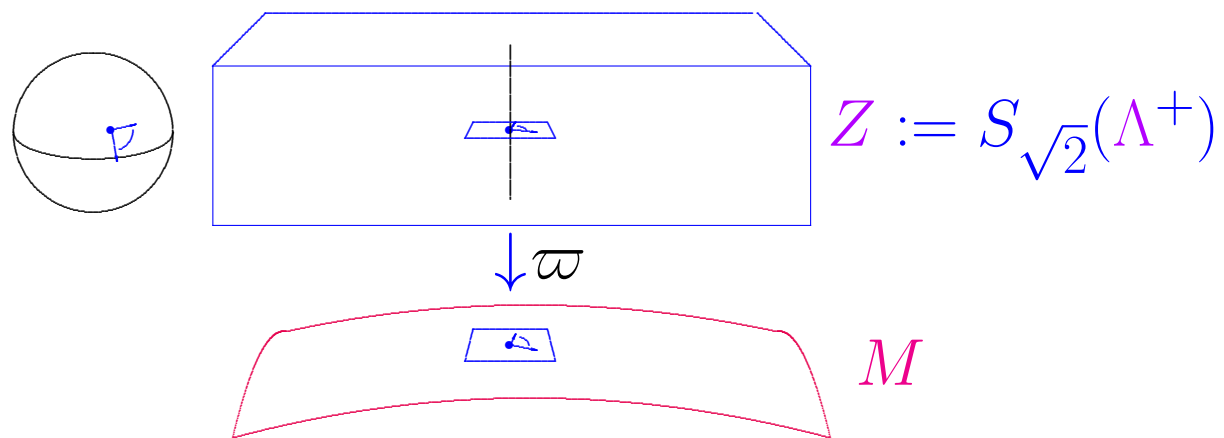
Yes!

$\iff \exists \text{ spin}^c \text{ structures!}$

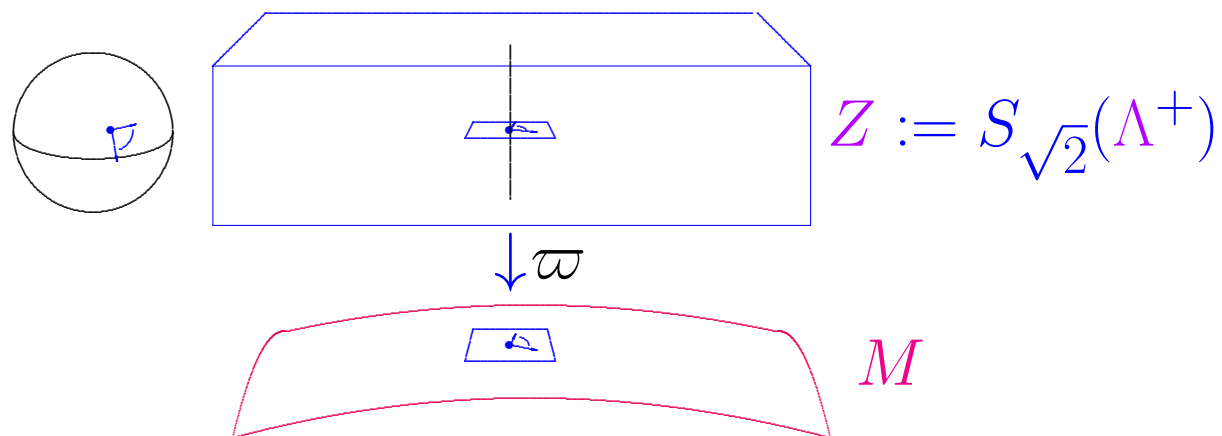
Geometric Definition.



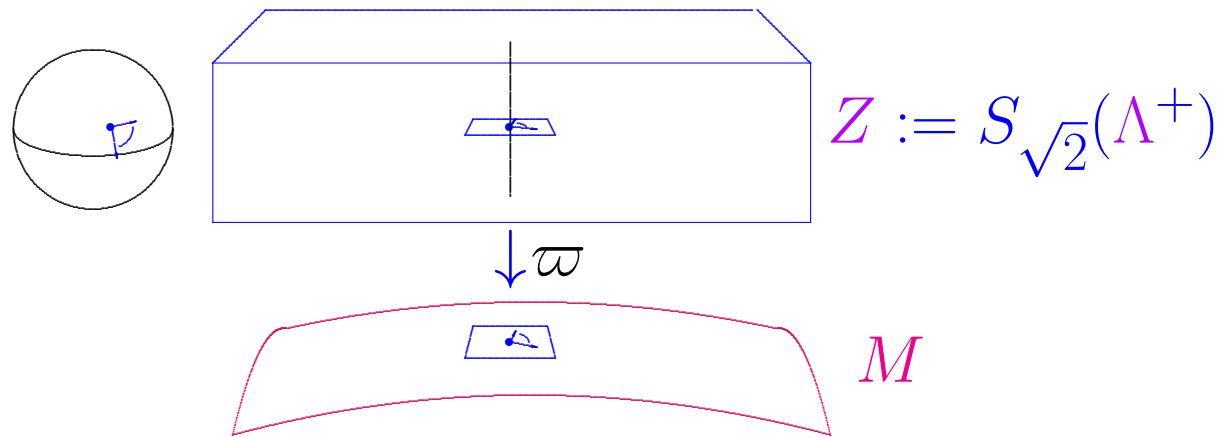
Geometric Definition. A spin^c structure



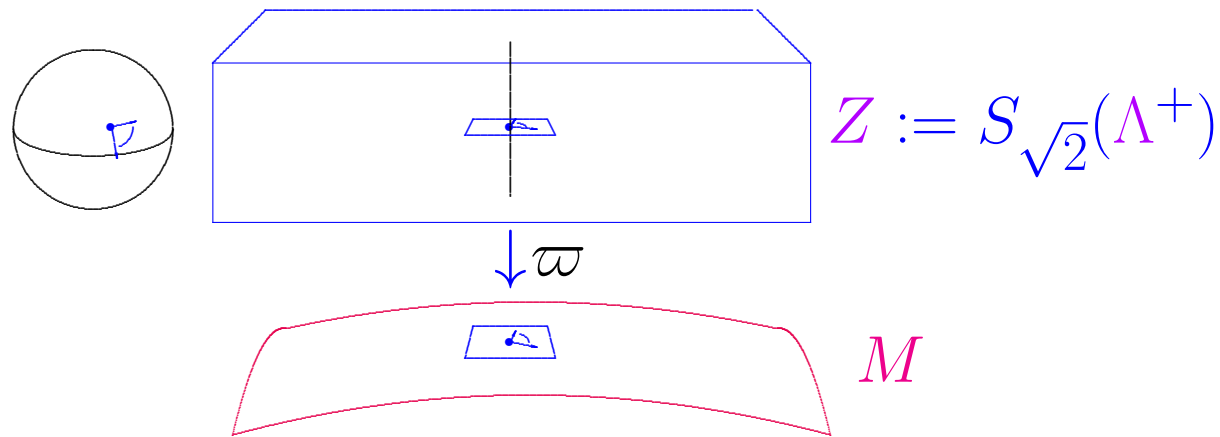
Geometric Definition. A spin^c structure on a smooth oriented 4-manifold M



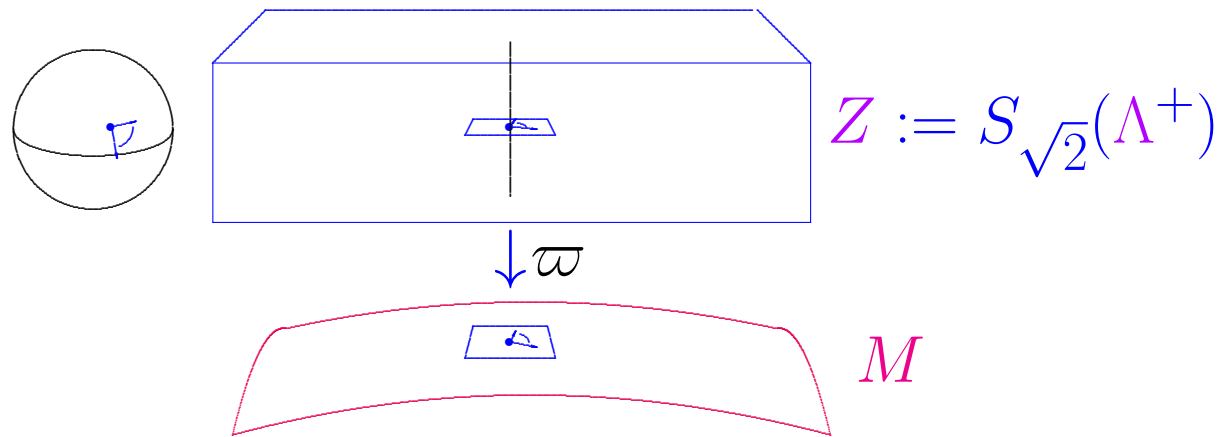
Geometric Definition. A spin^c structure on a smooth oriented 4-manifold M is a complex line bundle $\mathcal{L} \rightarrow Z$



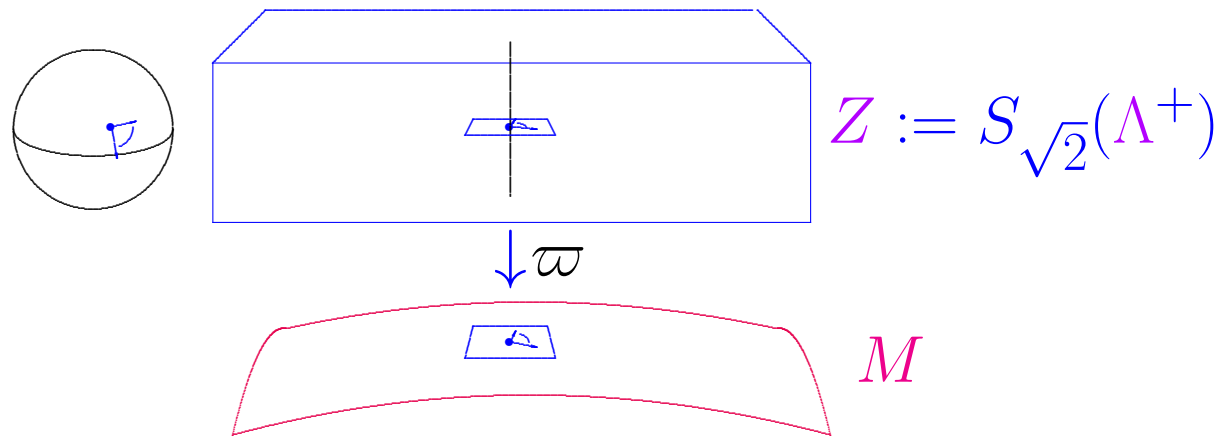
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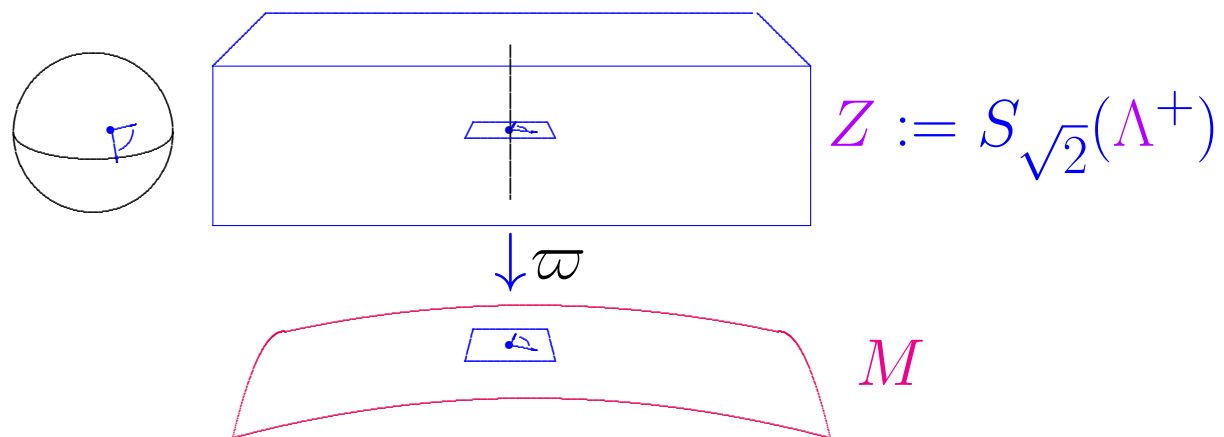
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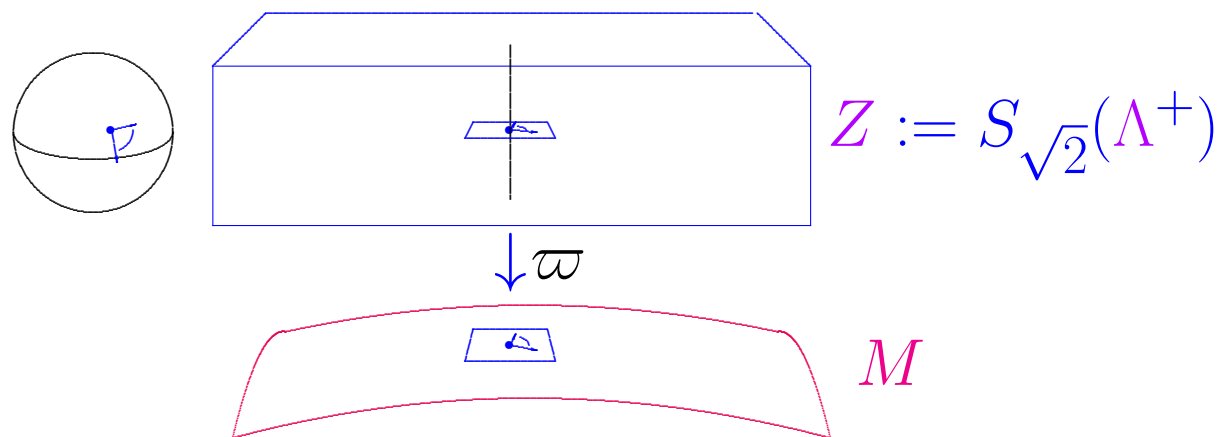
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This can be made into a principal $\mathbf{Spin}^c(4)$ -bundle $\widehat{\mathfrak{F}} \rightarrow M$ in an essentially unique way.

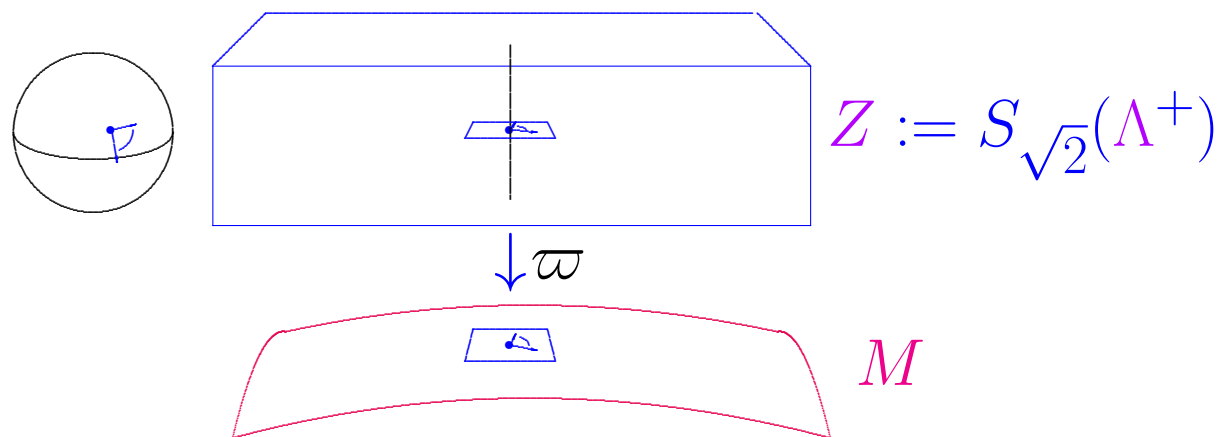
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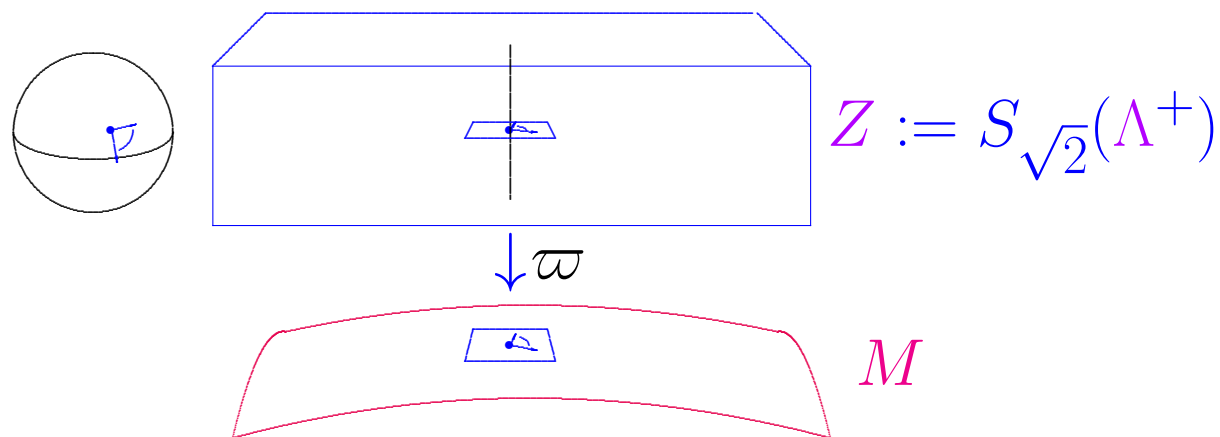
Geometric Definition. A spin structure



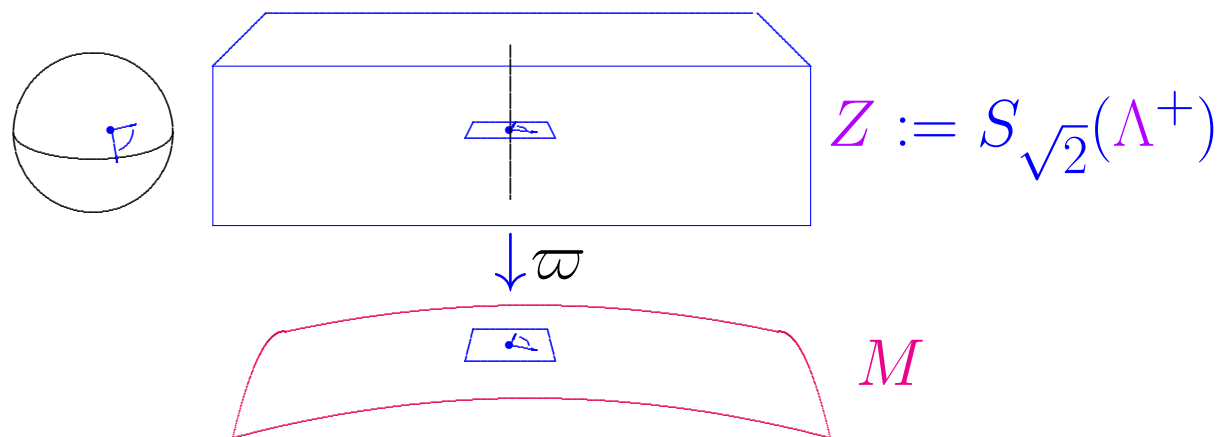
Geometric Definition. A spin structure *on an oriented Riemannian 4-manifold* (M, g)



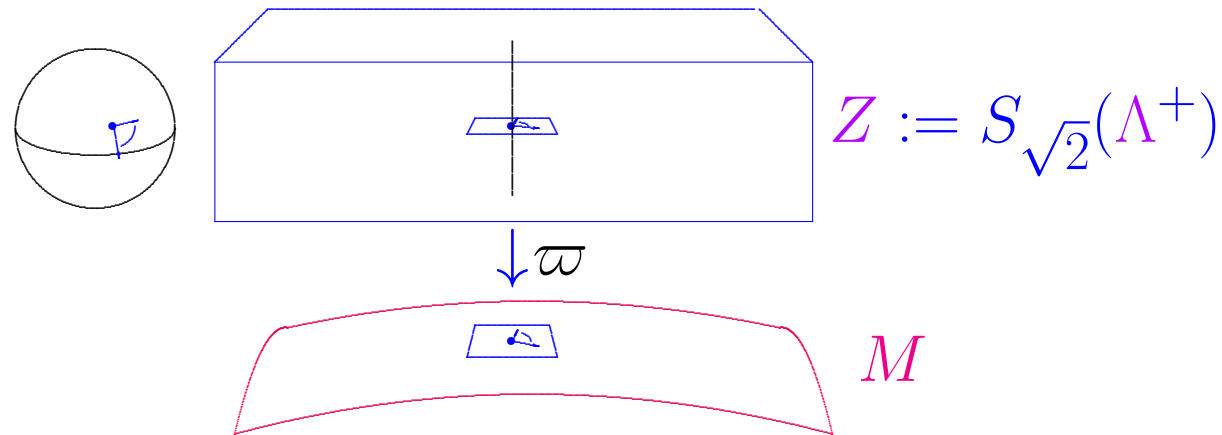
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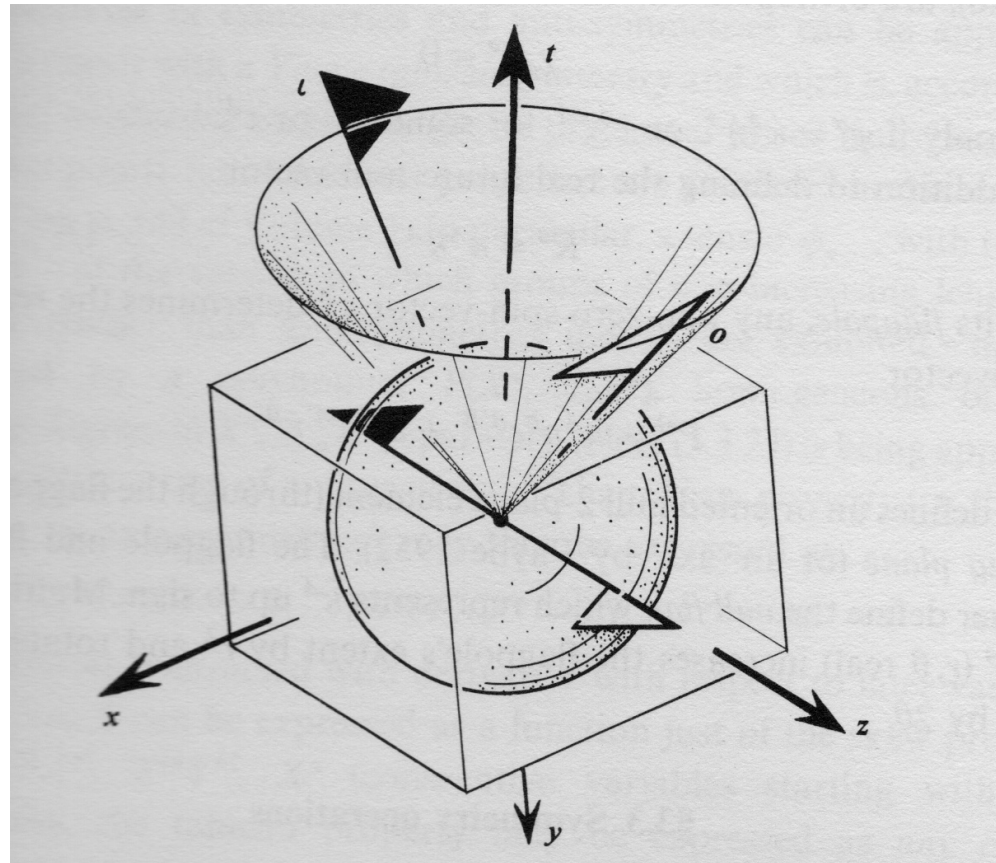
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Proposition. *Let M be a smooth oriented connected compact 4-manifold, and let $E \rightarrow M$ be a real oriented rank-3 vector bundle, equipped with positive-definite inner-product. Let $\varpi : \mathcal{Z} \rightarrow M$ be the unit 2-sphere bundle $\mathcal{Z} = S(E)$, and let $F \in H_2(\mathcal{Z}, \mathbb{Z})$ be the homology class of an S^2 -fiber of ϖ . Then the following are equivalent:*

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Key tool:

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$$\begin{array}{ccccccc} 0 \rightarrow & H^2(M) & \xrightarrow{\varpi^*} & H^2(\mathcal{Z}) & \xrightarrow{\varpi^*} & H^0(M) & \xrightarrow{\cup e} \\ & \rightarrow & H^3(M) & \xrightarrow{\varpi^*} & H^3(\mathcal{Z}) & \xrightarrow{\varpi^*} & H^1(M) & \xrightarrow{\cup e} \end{array}$$

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$$\begin{array}{ccccccc} 0 & \rightarrow & H^2(M) & \xrightarrow{\beta^*} & H^2(Z) & \xrightarrow{\beta^*} & H^0(M) \xrightarrow{\cup e} \\ & & \rightarrow & H^3(M) & \xrightarrow{\beta^*} & H^3(Z) & \xrightarrow{\beta^*} & H^1(M) \xrightarrow{\cup e} \\ & & \rightarrow & H^4(M) & \xrightarrow{\beta^*} & H^4(Z) & \xrightarrow{\beta^*} & H^2(M) \xrightarrow{\cup e} 0 \end{array}$$

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$$\begin{array}{ccccccc} & & & & & & 0 \\ & & & & & & \uparrow \\ \rightarrow & H^2(M, \mathbb{Z}) & \longrightarrow & H^2(\mathbb{Z}, \mathbb{Z}) & \longrightarrow & H^0(M, \mathbb{Z}) & \\ & & & \downarrow \text{el} & & & \\ \rightarrow & H^3(M, \mathbb{Z}) & \longrightarrow & H^3(\mathbb{Z}, \mathbb{Z}) & \longrightarrow & H^1(M, \mathbb{Z}) & \\ & & & \downarrow \text{el} & & & \\ \rightarrow & H^4(M, \mathbb{Z}) & \longrightarrow & H^4(\mathbb{Z}, \mathbb{Z}) & \longrightarrow & H^2(M, \mathbb{Z}) & \\ & & & & & & \uparrow \\ & & & & & & 0 \end{array}$$

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Exact sequence of pair:

$$\begin{array}{ccccccc} & & & \rightarrow & H^2(\mathbf{E}) & \rightarrow & H^2(\mathbf{E} - 0) \rightarrow \\ H^3(\mathbf{E}, \mathbf{E} - 0) & \rightarrow & H^3(\mathbf{E}) & \rightarrow & H^3(\mathbf{E} - 0) & \rightarrow & \\ H^4(\mathbf{E}, \mathbf{E} - 0) & \rightarrow & H^4(\mathbf{E}) & \rightarrow & H^4(\mathbf{E} - 0) & \rightarrow & \\ H^5(\mathbf{E}, \mathbf{E} - 0) & \rightarrow & & & & & \end{array}$$

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Thom isomorphism:

$$H^{k-3}(M) \xrightarrow{\cong} H^k(\mathbf{E}, \mathbf{E} - 0)$$

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More obvious implications:

$$(i) \implies (ii) \quad \text{and} \quad (iii) \implies (iv).$$

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What about

$$(ii) \implies (iii)?$$

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$$\begin{array}{ccccccc}
0 & \rightarrow & H^2(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(\mathcal{Z}, \mathbb{Z}) & \xrightarrow{\beta^*} & H^0(M, \mathbb{Z}) \xrightarrow{U^e} \\
& & \rightarrow & H^3(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^3(\mathcal{Z}, \mathbb{Z}) & \xrightarrow{\beta^*} & H^1(M, \mathbb{Z}) \xrightarrow{U^e} \\
& & \rightarrow & H^4(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^4(\mathcal{Z}, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(M, \mathbb{Z}) \xrightarrow{U^e} 0
\end{array}$$

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0 & \rightarrow & H^2(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(\mathcal{Z}, \mathbb{Z}) & \xrightarrow{\beta^*} & H^0(M, \mathbb{Z}) \xrightarrow{Ue} \\
& & \rightarrow & H^3(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^3(\mathcal{Z}, \mathbb{Z}) & \xrightarrow{\beta^*} & H^1(M, \mathbb{Z}) \xrightarrow{Ue} \\
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\end{array}$$

$H^4(M, \mathbb{Z}) = \mathbb{Z}$ is free,

$$\begin{array}{ccccccc}
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0 & \rightarrow & H^2(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(Z, \mathbb{Z}) & \xrightarrow{\beta^*} & H^0(M, \mathbb{Z}) \xrightarrow{Ue} \\
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& & \rightarrow & H^4(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^4(Z, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(M, \mathbb{Z}) \xrightarrow{Ue} 0
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$e(E)$ is torsion.

$$0 \rightarrow H^4(M, \mathbb{Z}) \xrightarrow{\beta^*} H^4(Z, \mathbb{Z}) \xrightarrow{\beta^*} H^2(M, \mathbb{Z}) \rightarrow 0$$

$$\begin{array}{ccccccc}
0 & \rightarrow & H^2(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(Z, \mathbb{Z}) & \xrightarrow{\beta^*} & H^0(M, \mathbb{Z}) & \xrightarrow{Ue} \\
& & \rightarrow & H^3(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^3(Z, \mathbb{Z}) & \xrightarrow{\beta^*} & H^1(M, \mathbb{Z}) & \xrightarrow{Ue} \\
& & \rightarrow & H^4(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^4(Z, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(M, \mathbb{Z}) & \xrightarrow{Ue} 0
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Poincaré duality:

$$\begin{array}{ccccccc}
0 & \rightarrow & H^2(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(Z, \mathbb{Z}) & \xrightarrow{\beta^*} & H^0(M, \mathbb{Z}) & \xrightarrow{Ue} \\
& & \rightarrow & H^3(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^3(Z, \mathbb{Z}) & \xrightarrow{\beta^*} & H^1(M, \mathbb{Z}) & \xrightarrow{Ue} \\
& & \rightarrow & H^4(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^4(Z, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(M, \mathbb{Z}) & \xrightarrow{Ue} 0
\end{array}$$

$H^4(M, \mathbb{Z}) = \mathbb{Z}$ is free,

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$$0 \rightarrow H^4(M, \mathbb{Z}) \xrightarrow{\beta^*} H^4(Z, \mathbb{Z}) \xrightarrow{\beta^*} H^2(M, \mathbb{Z}) \rightarrow 0$$

Poincaré duality:

$$0 \rightarrow \mathbb{Z} \xrightarrow{\cdot F} H_2(Z, \mathbb{Z}) \rightarrow H_2(M, \mathbb{Z}) \rightarrow 0$$

$$\begin{array}{ccccccc}
0 & \rightarrow & H^2(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(Z, \mathbb{Z}) & \xrightarrow{\beta^*} & H^0(M, \mathbb{Z}) \xrightarrow{Ue} \\
& & \rightarrow & H^3(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^3(Z, \mathbb{Z}) & \xrightarrow{\beta^*} & H^1(M, \mathbb{Z}) \xrightarrow{Ue} \\
& & \rightarrow & H^4(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^4(Z, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(M, \mathbb{Z}) \xrightarrow{Ue} 0
\end{array}$$

$H^4(M, \mathbb{Z}) = \mathbb{Z}$ is free,

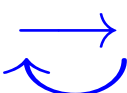
$e(E)$ is torsion.

$$0 \rightarrow H^4(M, \mathbb{Z}) \xrightarrow{\beta^*} H^4(Z, \mathbb{Z}) \xrightarrow{\beta^*} H^2(M, \mathbb{Z}) \rightarrow 0$$

Poincaré duality:

$$0 \rightarrow \mathbb{Z} \xrightarrow{\cdot F} H_2(Z, \mathbb{Z}) \rightarrow H_2(M, \mathbb{Z}) \rightarrow 0$$

If $\exists \mathbf{a} \in H^2(Z, \mathbb{Z})$ with $\langle \mathbf{a}, F \rangle = 1$, gives splitting

$$0 \rightarrow \mathbb{Z} \xrightarrow{\quad} H_2(Z, \mathbb{Z}) \rightarrow H_2(M, \mathbb{Z}) \rightarrow 0$$


$$\begin{array}{ccccccc}
0 & \rightarrow & H^2(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(\mathcal{Z}, \mathbb{Z}) & \xrightarrow{\beta^*} & H^0(M, \mathbb{Z}) \xrightarrow{Ue} \\
& & \rightarrow & H^3(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^3(\mathcal{Z}, \mathbb{Z}) & \xrightarrow{\beta^*} & H^1(M, \mathbb{Z}) \xrightarrow{Ue} \\
& & \rightarrow & H^4(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^4(\mathcal{Z}, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(M, \mathbb{Z}) \xrightarrow{Ue} 0
\end{array}$$

$H^4(M, \mathbb{Z}) = \mathbb{Z}$ is free,

$e(E)$ is torsion.

$$0 \rightarrow H^4(M, \mathbb{Z}) \xrightarrow{\beta^*} H^4(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^2(M, \mathbb{Z}) \rightarrow 0$$

Poincaré duality:

$$0 \rightarrow \mathbb{Z} \xrightarrow{\cdot F} H_2(\mathcal{Z}, \mathbb{Z}) \rightarrow H_2(M, \mathbb{Z}) \rightarrow 0$$

If $\exists \mathbf{a} \in H^2(\mathcal{Z}, \mathbb{Z})$ with $\langle \mathbf{a}, F \rangle = 1$, gives splitting

$$H_2(\mathcal{Z}, \mathbb{Z}) \cong \mathbb{Z} \oplus H_2(M, \mathbb{Z}).$$

So we now have

$$(i) \implies (ii) \implies (iii) \implies (iv).$$

Proposition. *The following are equivalent:*

- (i) The Euler class $\mathbf{e}(\mathbf{E}) \in H^3(\mathbf{M}, \mathbb{Z})$ vanishes;
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Finally, we'll show

$$(iv) \implies (i)$$

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$$\begin{array}{ccccccc}
0 & \rightarrow & H^2(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(\mathcal{Z}, \mathbb{Z}) & \xrightarrow{\beta^*} & H^0(M, \mathbb{Z}) \xrightarrow{\cup e} \\
& & \rightarrow & H^3(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^3(\mathcal{Z}, \mathbb{Z}) & \xrightarrow{\beta^*} & H^1(M, \mathbb{Z}) \xrightarrow{\cup e} \\
& & \rightarrow & H^4(M, \mathbb{Z}) & \xrightarrow{\beta^*} & H^4(\mathcal{Z}, \mathbb{Z}) & \xrightarrow{\beta^*} & H^2(M, \mathbb{Z}) \xrightarrow{\cup e} 0
\end{array}$$

$$\mathfrak{I}_2(M) \cong \mathfrak{I}^3(M), \quad \mathfrak{I}_2(\mathcal{Z}) \cong \mathfrak{I}^3(\mathcal{Z})$$

$$\begin{aligned}
0 &\rightarrow H^2(M, \mathbb{Z}) \xrightarrow{\beta^*} H^2(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^0(M, \mathbb{Z}) \xrightarrow{U^e} \\
&\rightarrow H^3(M, \mathbb{Z}) \xrightarrow{\beta^*} H^3(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^1(M, \mathbb{Z}) \xrightarrow{U^e} \\
&\rightarrow H^4(M, \mathbb{Z}) \xrightarrow{\beta^*} H^4(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^2(M, \mathbb{Z}) \xrightarrow{U^e} 0
\end{aligned}$$

$$|\mathfrak{I}_2(\mathcal{Z})| = |\mathfrak{I}_2(M)| \implies |\mathfrak{I}^3(\mathcal{Z})| = |\mathfrak{I}^3(M)|$$

$$\begin{aligned}
0 &\rightarrow H^2(M, \mathbb{Z}) \xrightarrow{\beta^*} H^2(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^0(M, \mathbb{Z}) \xrightarrow{U^e} \\
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&\rightarrow H^4(M, \mathbb{Z}) \xrightarrow{\beta^*} H^4(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^2(M, \mathbb{Z}) \xrightarrow{U^e} 0
\end{aligned}$$

$$|\mathfrak{I}_2(\mathcal{Z})| = |\mathfrak{I}_2(M)| \implies |\mathfrak{I}^3(\mathcal{Z})| = |\mathfrak{I}^3(M)|$$

But

$$H^0(M, \mathbb{Z}) \xrightarrow{U^e} H^3(M, \mathbb{Z}) \xrightarrow{\beta^*} H^3(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^1(M, \mathbb{Z})$$

$$\begin{aligned}
0 &\rightarrow H^2(M, \mathbb{Z}) \xrightarrow{\beta^*} H^2(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^0(M, \mathbb{Z}) \xrightarrow{U^e} \\
&\rightarrow H^3(M, \mathbb{Z}) \xrightarrow{\beta^*} H^3(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^1(M, \mathbb{Z}) \xrightarrow{U^e} \\
&\rightarrow H^4(M, \mathbb{Z}) \xrightarrow{\beta^*} H^4(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^2(M, \mathbb{Z}) \xrightarrow{U^e} 0
\end{aligned}$$

$$|\mathfrak{T}_2(\mathcal{Z})| = |\mathfrak{T}_2(M)| \implies |\mathfrak{T}^3(\mathcal{Z})| = |\mathfrak{T}^3(M)|$$

But

$$\mathbb{Z} \xrightarrow{e} \mathfrak{T}^3(M) \rightarrow \mathfrak{T}^3(\mathcal{Z}) \rightarrow 0$$

$$\begin{aligned}
0 &\rightarrow H^2(M, \mathbb{Z}) \xrightarrow{\beta^*} H^2(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^0(M, \mathbb{Z}) \xrightarrow{Ue} \\
&\rightarrow H^3(M, \mathbb{Z}) \xrightarrow{\beta^*} H^3(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^1(M, \mathbb{Z}) \xrightarrow{Ue} \\
&\rightarrow H^4(M, \mathbb{Z}) \xrightarrow{\beta^*} H^4(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^2(M, \mathbb{Z}) \xrightarrow{Ue} 0
\end{aligned}$$

$$|\mathfrak{T}_2(\mathcal{Z})| = |\mathfrak{T}_2(M)| \implies |\mathfrak{T}^3(\mathcal{Z})| = |\mathfrak{T}^3(M)|$$

But

$$\mathfrak{T}^3(\mathcal{Z}) = \mathfrak{T}^3(M) / \langle e(E) \rangle$$

$$\begin{aligned}
0 &\rightarrow H^2(M, \mathbb{Z}) \xrightarrow{\beta^*} H^2(\mathcal{Z}, \mathbb{Z}) \xrightarrow{\beta^*} H^0(M, \mathbb{Z}) \xrightarrow{Ue} \\
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\end{aligned}$$

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So if $[\Sigma] \in H_2(M, \mathbb{Z})$ is a torsion class, then

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Why torsion?

$$H^2(Z, \mathbb{R}) = \mathbb{R}c_1(H^{1,0}) \oplus \varpi^* H^2(M, \mathbb{R})$$

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which e.g. appears in Seiberg-Witten equations

$$\begin{aligned} \mathcal{D}_\theta \Phi &= 0 \\ F_\theta^+ &= i\sigma(\Phi). \end{aligned}$$

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But since $\text{rank}_{\mathbb{R}}(\mathbb{V}_+) = 4 = \dim M$, always have sections of \mathbb{V}_+ that only vanish at one point!

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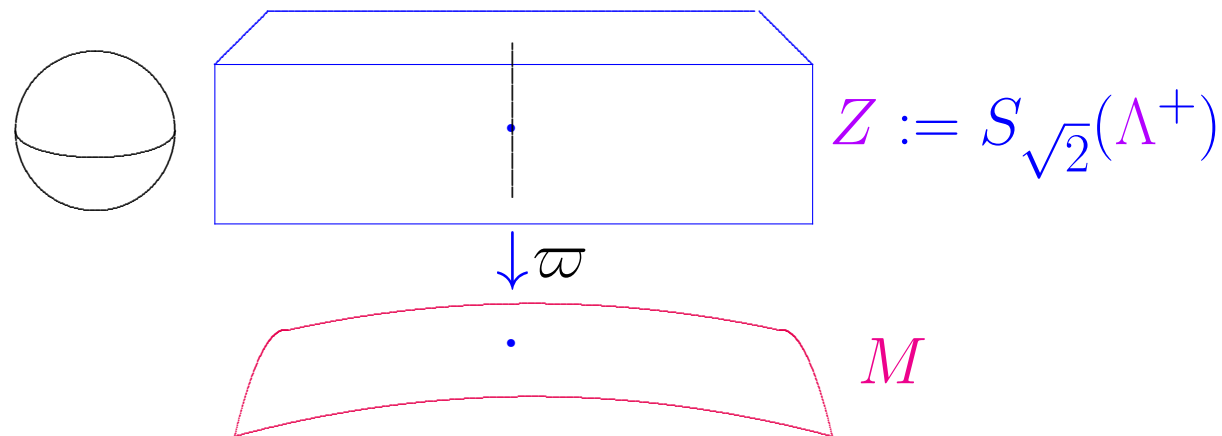
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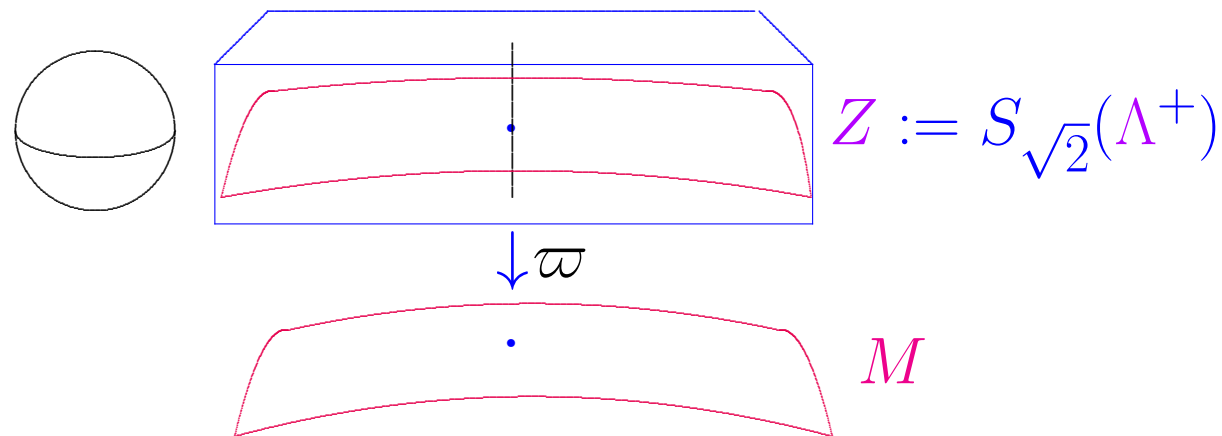
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Happy Birthday, Roger!



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And Many Happy Returns!