Einstein Manifolds,

Self-Dual Weyl Curvature, &

Conformally Kähler Geometry

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Special Colloquium
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Let (M^n, g) be a Riemannian *n*-manifold, $p \in M$.

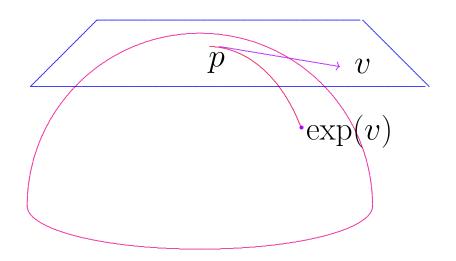
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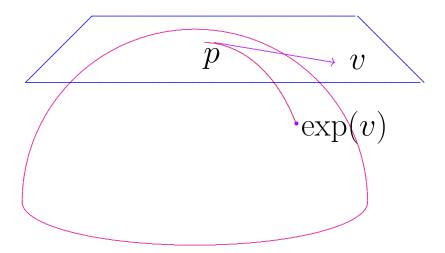
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Now choosing $T_pM \stackrel{\cong}{\to} \mathbb{R}^n$ via some orthonormal basis gives us special coordinates on M.

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given by

$$v \longmapsto r(v,v).$$

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for some constant $\lambda \in \mathbb{R}$.

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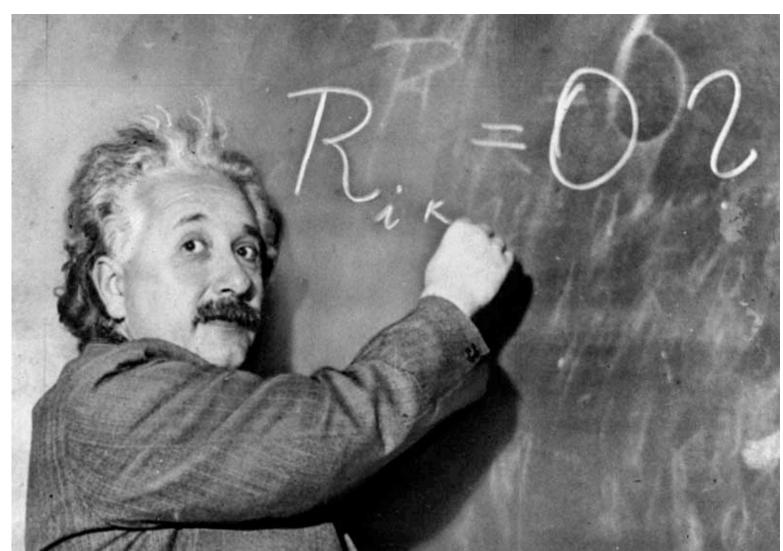
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"... the greatest blunder of my life!"

— A. Einstein, to G. Gamow

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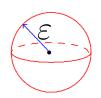
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$$\frac{\operatorname{vol}_g(B_{\varepsilon}(p))}{c_n \varepsilon^n} = 1 - s \frac{\varepsilon^2}{6(n+2)} + O(\varepsilon^4)$$



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same number of equations as unknowns.

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$$\mathcal{R}^{j}_{k\ell m}$$
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$$\Delta x^j = 0 \Longrightarrow r_{jk} = \frac{1}{2} \Delta g_{jk} + \ell ots.$$

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- When $n \geq 6$, wide open. Maybe???

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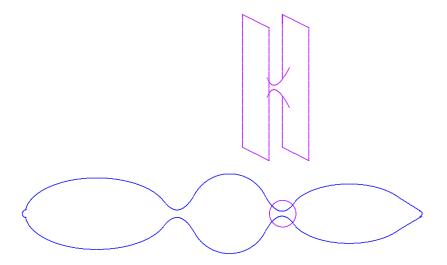
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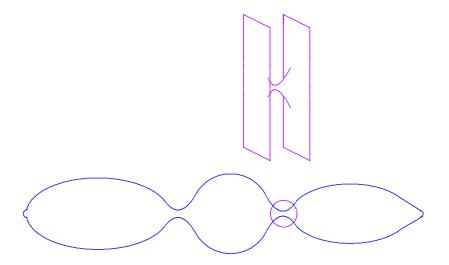


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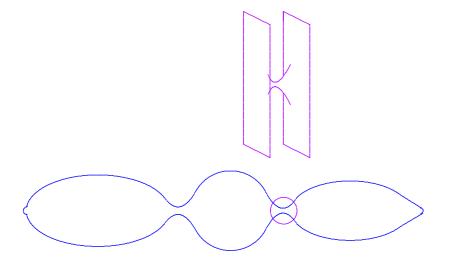
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Prime Decomposition.

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Similar results for most simply connected spin 5-manifolds. (Boyer, Galicki, Kollár, et al.)

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(Terminology to be explained later!)

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Theorem (L). There is only one Einstein metric on compact complex-hyperbolic 4-manifold $\mathbb{C}\mathcal{H}_2/\Gamma$, up to scale and diffeos.

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By contrast, high-dimensional Einstein metrics too common; have little to do with geometrization.

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$$\star^2 = 1.$$

 Λ^+ self-dual 2-forms.

 Λ^- anti-self-dual 2-forms.

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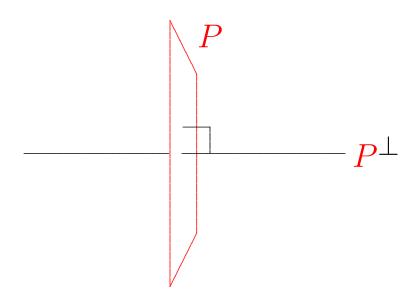
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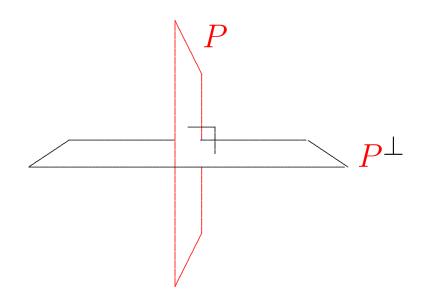
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Corollary. A Riemannian 4-manifold (M, g) is Einstein \iff sectional curvatures are equal for any pair of perpendicular 2-planes. Corollary. A Riemannian 4-manifold (M, g) is Einstein \iff sectional curvatures are equal for any pair of perpendicular 2-planes.



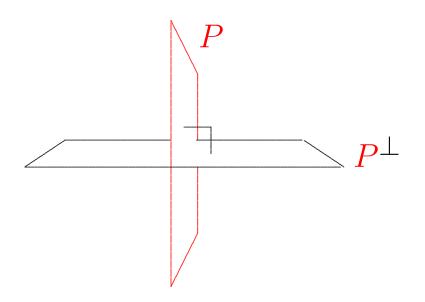
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$$K(P) = K(P^{\perp})$$

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for Euler-characteristic
$$\chi(\mathbf{M}) = \sum_{j} (-1)^{j} b_{j}(\mathbf{M}).$$

4-dimensional Hirzebruch signature formula

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 for signature $\tau(M) = b_{+}(M) - b_{-}(M)$.

$$H^{2}(M,\mathbb{R}) \times H^{2}(M,\mathbb{R}) \longrightarrow \mathbb{R}$$

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Diagonalize:

$$+1$$
 $\cdot \cdot \cdot \cdot \cdot +1$
 -1
 $\cdot \cdot \cdot \cdot \cdot -1$

Here $\tau(M) = b_{+}(M) - b_{-}(M)$ defined in terms of intersection pairing

$$H^{2}(M,\mathbb{R}) \times H^{2}(M,\mathbb{R}) \longrightarrow \mathbb{R}$$

$$([\varphi], [\psi]) \longmapsto \int_{M} \varphi \wedge \psi$$

Diagonalize:

$$\begin{array}{c}
+1 \\
 & \cdots \\
 & +1 \\
\hline
 & b_{+}(M)
\end{array}$$

$$\begin{array}{c}
-1 \\
 & \cdots \\
 & -1
\end{array}$$

For (M^4, g) compact oriented Riemannian,

Euler characteristic

$$\chi(\mathbf{M}) = \frac{1}{8\pi^2} \int_{\mathbf{M}} \left(\frac{\mathbf{s}^2}{24} + |W_+|^2 + |W_-|^2 - \frac{|\mathring{\mathbf{r}}|^2}{2} \right) d\mu$$

Signature

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

$$(2\chi + 3\tau)(\mathbf{M}) = \frac{1}{4\pi^2} \int_{\mathbf{M}} \left(\frac{\mathbf{s}^2}{24} + 2|W_+|^2 - \frac{|\mathring{\mathbf{r}}|^2}{2} \right) d\mu_g$$

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Theorem (Hitchin-Thorpe Inequality). If smooth compact oriented M^4 admits Einstein g, then

$$(2\chi + 3\tau)(M) \ge 0,$$

with equality only if (M, g) finitely covered by flat T^4 or Calabi-Yau K3.

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Einstein $\Rightarrow = \frac{1}{4\pi^2} \int_{M} \left(\frac{s^2}{24} + 2|W_+|^2 \right) d\mu_g$

Theorem (Hitchin-Thorpe Inequality). If smooth compact oriented M^4 admits Einstein g, then

$$(2\chi + 3\tau)(M) \ge 0,$$

with equality only if (M, g) finitely covered by flat T^4 or Calabi-Yau K3.

(Terminology to be explained latter)

For (M^4, g) compact oriented Riemannian,

Euler characteristic

$$\chi(\mathbf{M}) = \frac{1}{8\pi^2} \int_{\mathbf{M}} \left(\frac{\mathbf{s}^2}{24} + |W_+|^2 + |W_-|^2 - \frac{|\mathring{\mathbf{r}}|^2}{2} \right) d\mu$$

Signature

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

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where $j = b_+(M)$ and $k = b_-(M)$.

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K3 manifold...

 $K3 = \text{Kummer-K\"{a}hler-Kodaira surface}.$

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"...et de la belle montagne K2 au Cachemire."

—André Weil, 1958

Simply connected complex surface with $c_1 = 0$.

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Only one deformation type.

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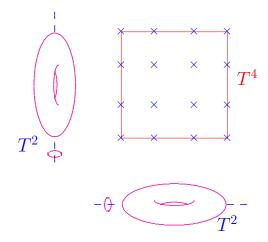
Spin, $\chi = 24$, $\tau = -16$.

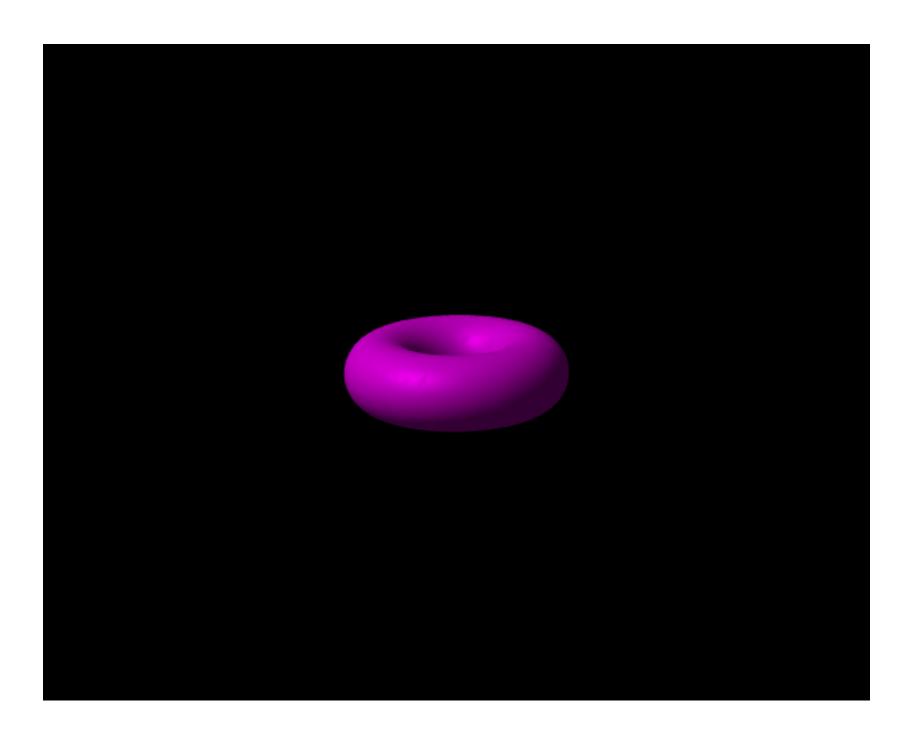
Kummer construction:

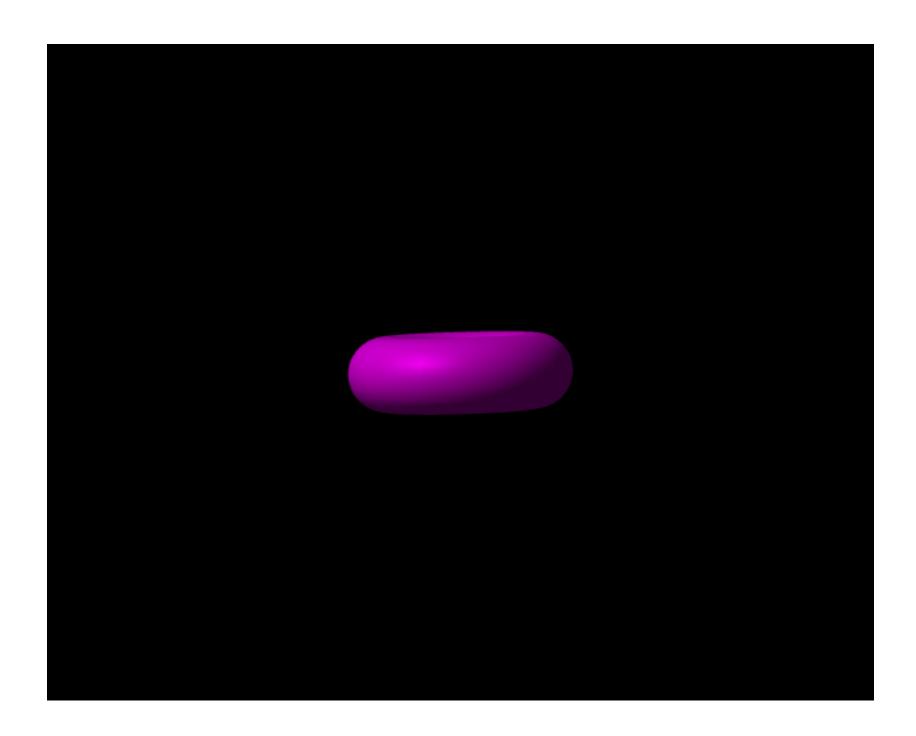
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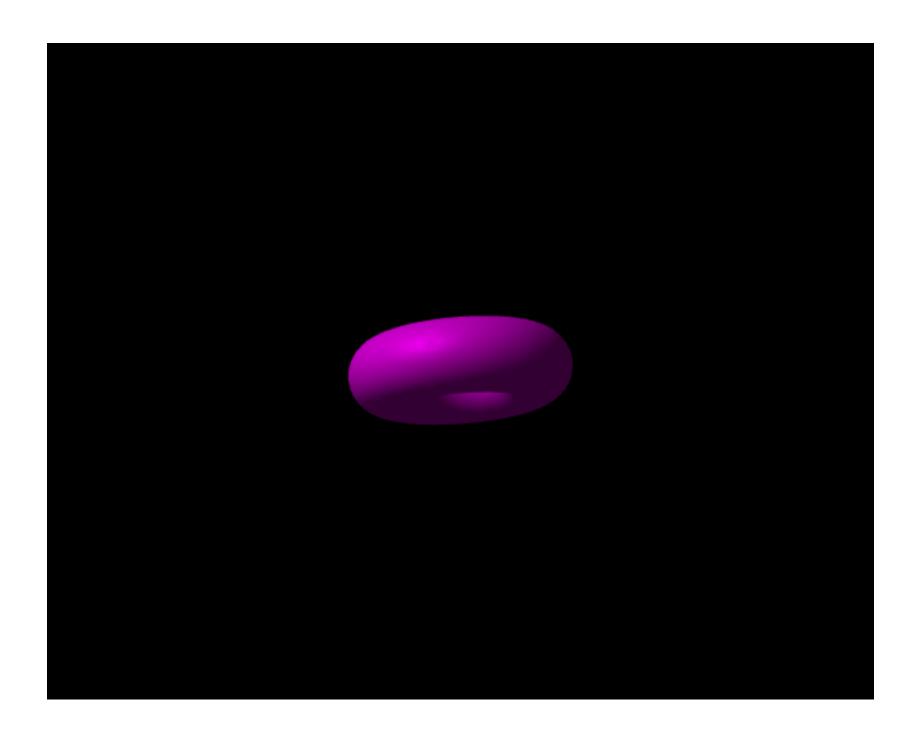
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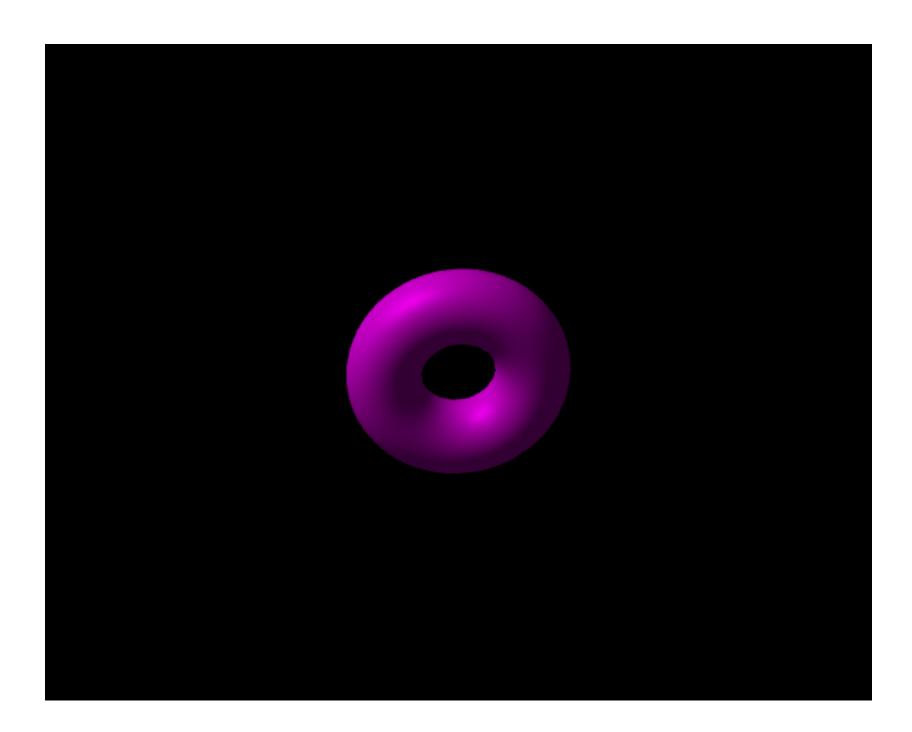
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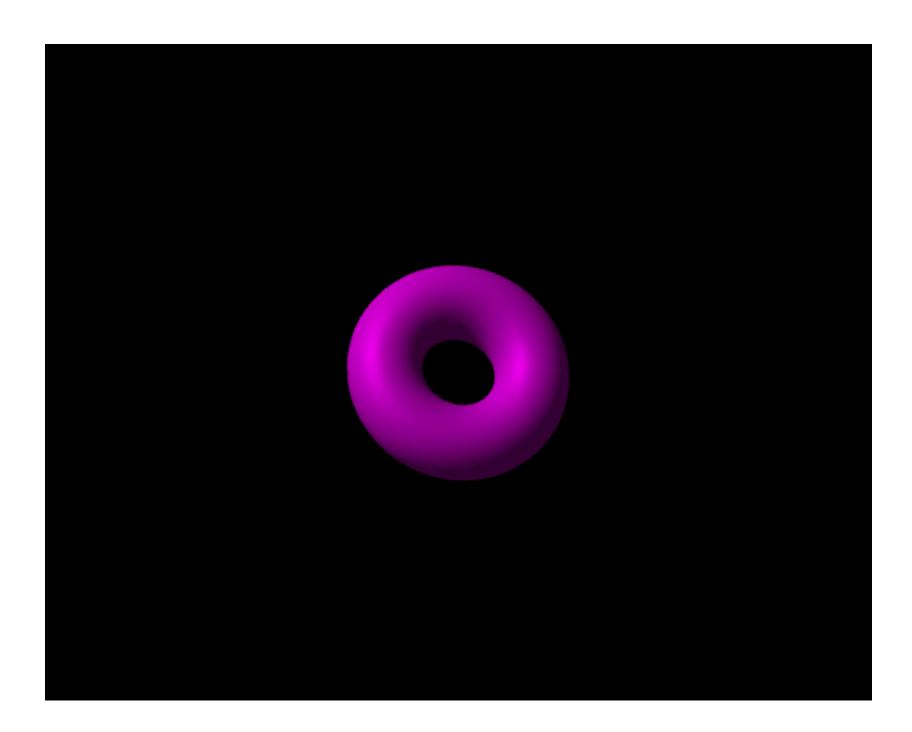


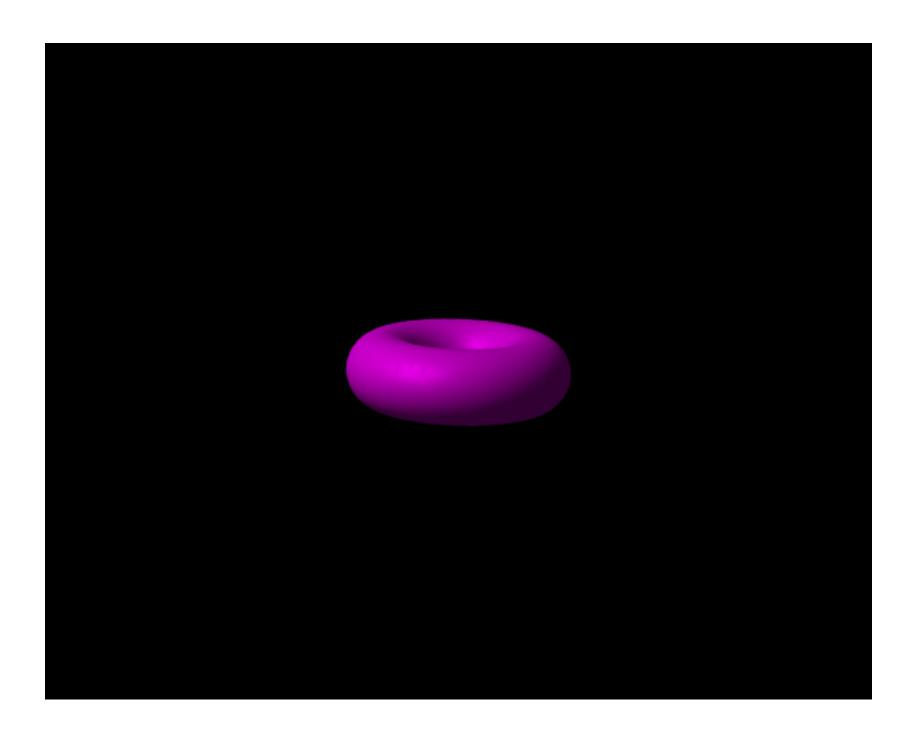




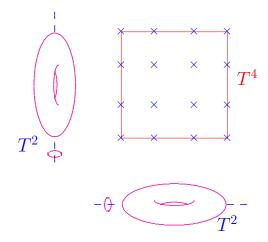




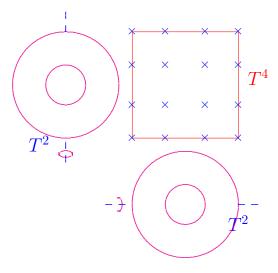




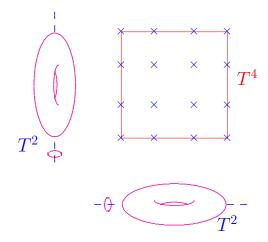
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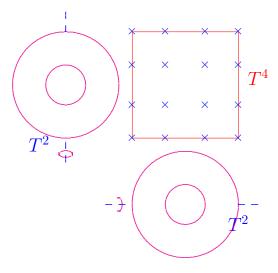
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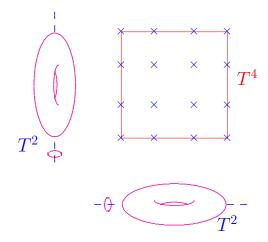
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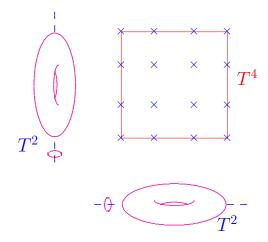
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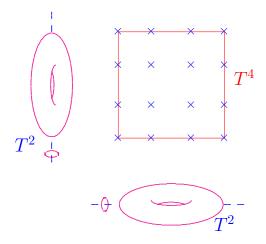


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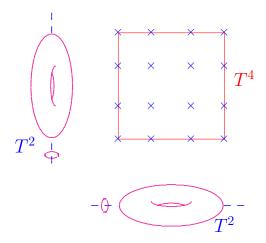
Begin with T^4/\mathbb{Z}_2 :



Replace $\mathbb{R}^4/\mathbb{Z}_2$ neighborhood of each singular point with copy of T^*S^2 .

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Result is a K3 surface.

Kummer construction:

Kummer construction:

Begin with T^4/\mathbb{Z}_2 : Singular quartic in \mathbb{CP}_3 .

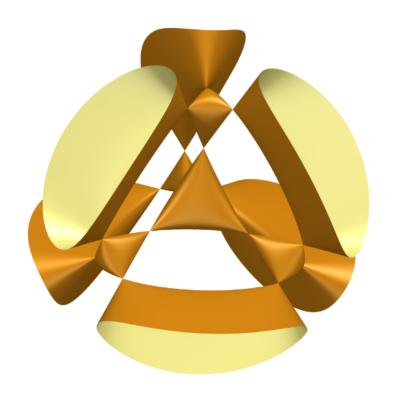
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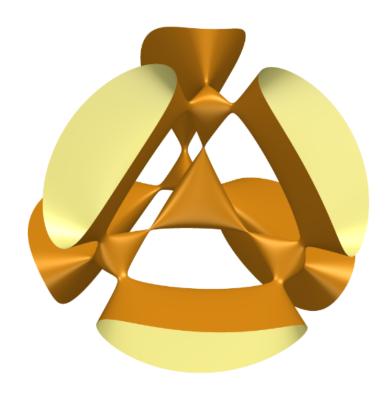
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 T^4 = Picard torus of curve of genus 2.

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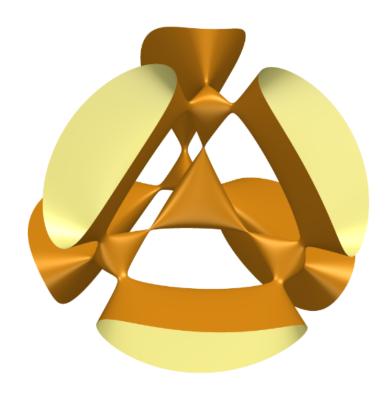
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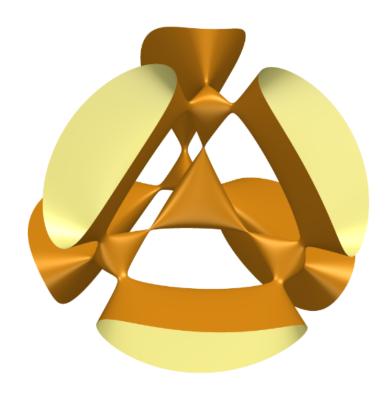
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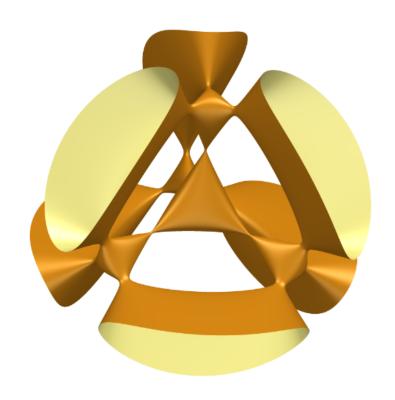
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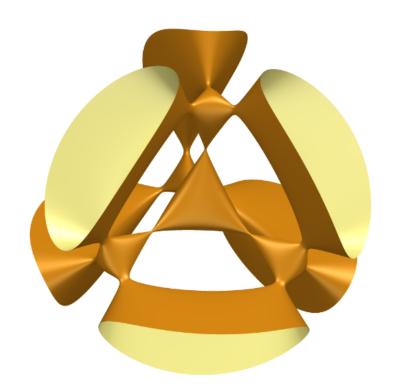
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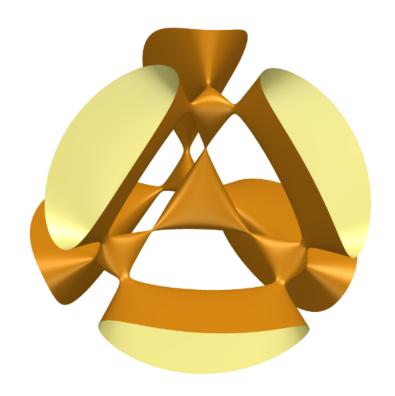


Generic quartic is then a K3 surface. Example:

$$0 = x^4 + y^4 + z^4 + w^4$$

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Generic quartic is then a K3 surface. Example:

$$0 = (x^{2} + y^{2} + z^{2} - w^{2})^{2} - 8[(1 - z^{2})^{2} - 2x^{2}][(1 + z^{2})^{2} - 2y^{2}]$$

Theorem (Freedman/Donaldson). Two smooth compact simply connected oriented 4-manifolds are orientedly homeomorphic if and only if

- they have the same Euler characteristic χ ;
- they have the same signature τ ; and
- both are spin, or both are non-spin.

Corollary. Any smooth compact simply connected non-spin 4-manifold M is homeomorphic to a connect sum $j\mathbb{CP}_2\# k\overline{\mathbb{CP}}_2$.

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Conjecture (11/8 Conjecture). Any smooth compact simply connected spin 4-manifold M is (unorientedly) homeomorphic to either S^4 or a connected sum $jK3\#k(S^2\times S^2)$.

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Equivalent to asserting that such manifolds satisfy

$$b_2 \ge \frac{11}{8} |\tau|.$$

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Certainly true of all examples in this lecture!

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On symplectic 4-manifolds, Seiberg-Witten theory allows one to mimic Kähler geometry when treating non-Kähler metrics.

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Suggestive Question. If M^4 admits a closed 2-form ω with $\omega \wedge \omega \neq 0$ everywhere, when does M^4 admit Einstein metric g (unrelated to ω)?

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On symplectic 4-manifolds, Seiberg-Witten theory allows one to mimic Kähler geometry when treating non-Kähler metrics.

Suggestive Question. If M^4 admits a closed 2-form ω with $\omega \wedge \omega \neq 0$ everywhere, when does M^4 admit Einstein metric g (unrelated to ω)?

Narrower Question. If (M^4, ω) is a compact symplectic manifold, when does M^4 admit an Einstein metric g (unrelated to ω) with Einstein constant $\lambda \geq 0$?

Theorem (L '09). Suppose that M is a smooth compact oriented 4-manifold which admits a symplectic form ω .

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M \stackrel{diff}{pprox} \left\{ egin{array}{c} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, \\ M \stackrel{diff}{pprox} \left\{ egin{array}{c} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, \\ \mathbb{CP}_2 \# k \overline{\mathbb{CP}}
```

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M \stackrel{diff}{\approx} \left\{ \begin{array}{c} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, & 0 \leq k \leq 8, \\ \\ M \approx \end{array} \right.
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 \text{ in } M \text{ also a}   \text{ in } \lambda \geq 0 \text{ if and only } \gamma,   \begin{cases} \mathbb{CP}_2 \# k \overline{\mathbb{CP}_2}, & 0 \leq k \leq 8, \\ S^2 \times S^2, \end{cases}   M \overset{diff}{\approx}
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s.nifold
s.nen \ M \ also \ ac
s.n \ \lambda \geq 0 \ if \ and \ only \ c.
S^2 \times S^2,
K^3,
M \stackrel{diff}{pprox}
```

Theorem (L 709). Suppose that
$$M$$
 is compact oriented 4-manifold which admits a metric g with $\lambda \geq 0$ if and only if
$$\begin{cases} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, & 0 \leq k \leq 8, \\ S^2 \times S^2, \\ K3, \\ K3/\mathbb{Z}_2, \end{cases}$$

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$$Metric \ g \ with \ \lambda \geq 0 \ ij \ with \ only \ ij$$

$$\left\{ egin{align*} \mathbb{CP}_2\#k\overline{\mathbb{CP}}_2, & 0 \leq k \leq 8, \\ S^2 imes S^2, & K3, \\ K3/\mathbb{Z}_2, & T^4, & T^4/\mathbb{Z}_2, T^4/\mathbb{Z}_3, T^4/\mathbb{Z}_4, T^4/\mathbb{Z}_6, \end{array} \right.$$

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 \begin{array}{l} \textit{lettic g with } \texttt{A} \geq \texttt{O} \textit{ ij with Sing S}, \\ & \\ \mathbb{CP}_2 \# k \overline{\mathbb{CP}_2}, \quad 0 \leq k \leq 8, \\ S^2 \times S^2, \\ & \\ K3, \\ & \\ K3/\mathbb{Z}_2, \\ & \\ T^4, \\ & \\ T^4/\mathbb{Z}_2, T^4/\mathbb{Z}_3, T^4/\mathbb{Z}_4, T^4/\mathbb{Z}_6, \\ & \\ T^4/(\mathbb{Z}_2 \oplus \mathbb{Z}_2), T^4/(\mathbb{Z}_3 \oplus \mathbb{Z}_3), \textit{ or } T^4/(\mathbb{Z}_2 \oplus \mathbb{Z}_4). \end{array}
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$$metric \ g \ with \ \lambda \geq 0 \ if \ and \ only \ if$$

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Del Pezzo surfaces, K3 surface, Enriques surface, Abelian surface, Hyper-elliptic surfaces.

```
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Existence: Yau, Tian, Page, Chen-L-Weber, et al.

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No others: Hitchin-Thorpe, Seiberg-Witten, ...

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Definitive list ...

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Below the line:

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Below the line:

Every Einstein metric is Ricci-flat Kähler.

Kähler metrics:

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Original definition:

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M can be made into a complex manifold,

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$$g = \sum_{j,k=1}^{m} \frac{\partial^2 f}{\partial z^j \partial \bar{z}^k} \left[dz^j \otimes d\bar{z}^k + d\bar{z}^k \otimes dz^j \right]$$

Original definition:

M can be made into a complex manifold, in such a manner that, locally,

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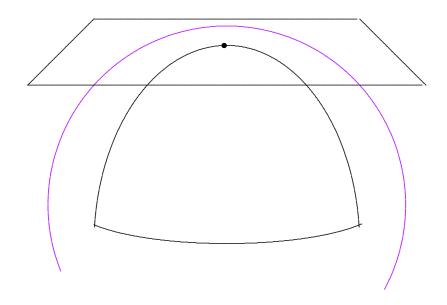
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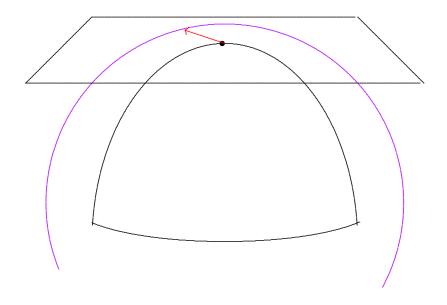
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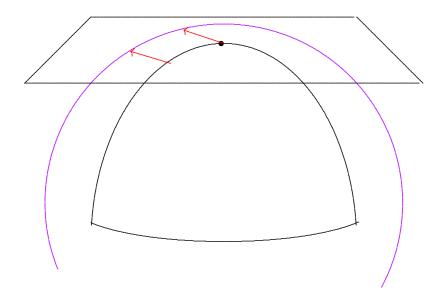
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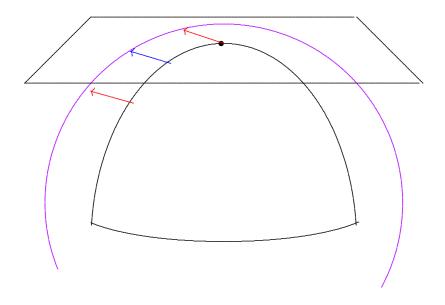
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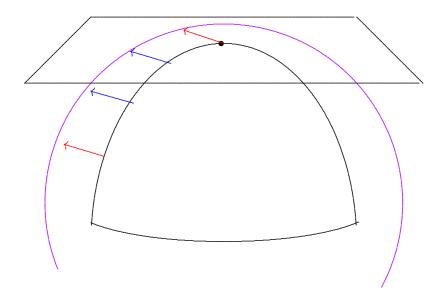
 (M^{2m}, g) has holonomy $\subset \mathbf{U}(m)$.

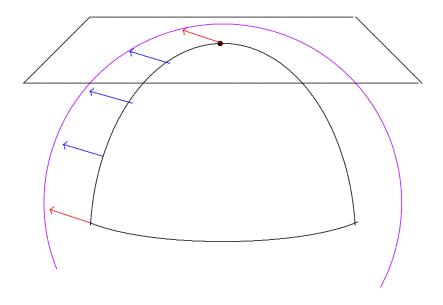


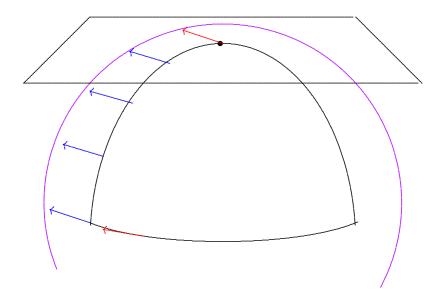


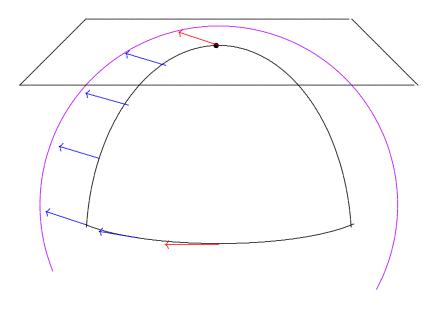


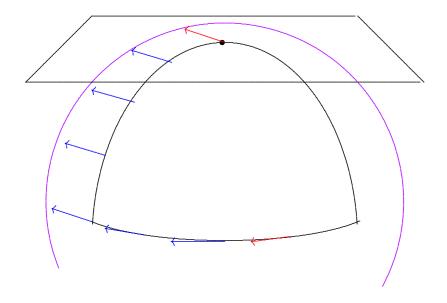


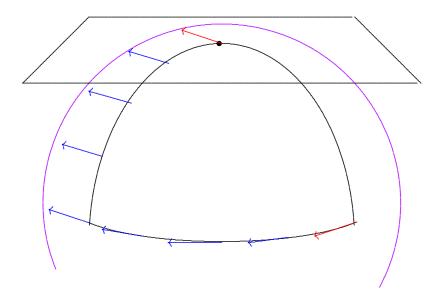


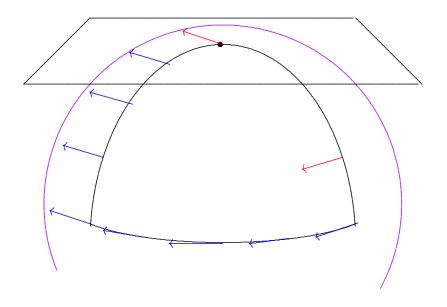


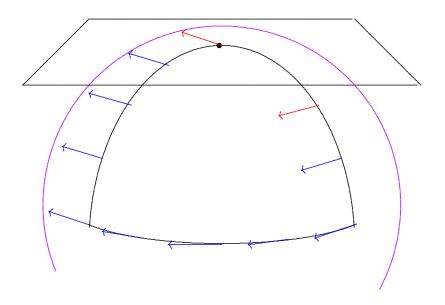


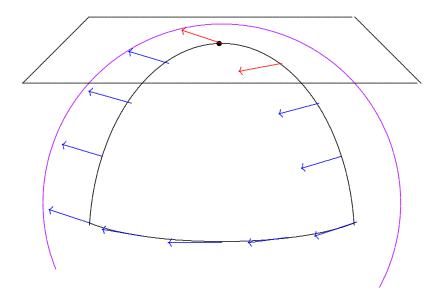


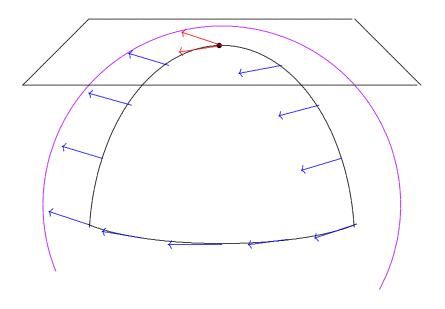


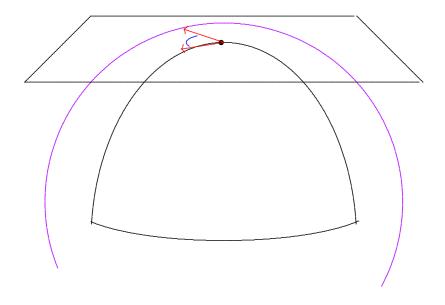




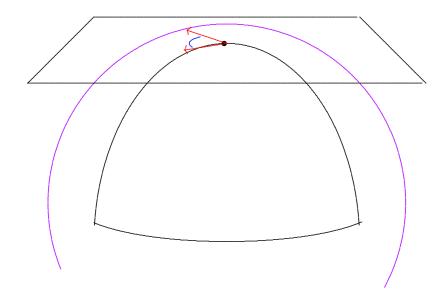




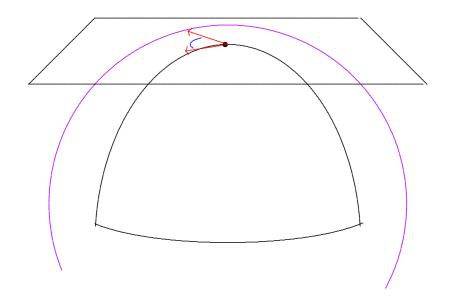




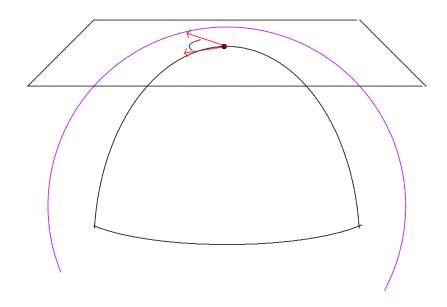
 (M^n, g) : holonomy $\subset \mathbf{O}(n)$



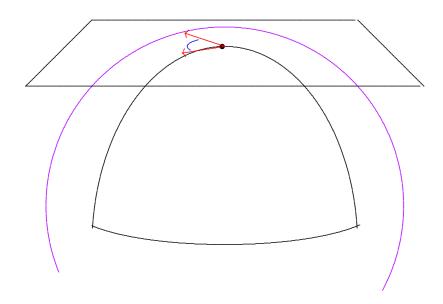
 (M^{2m}, g) : holonomy



 (M^{2m}, g) Kähler \iff holonomy $\subset \mathbf{U}(m)$



$$(M^{2m}, g)$$
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 $\mathbf{U}(m) := \mathbf{O}(2m) \cap \mathbf{GL}(m, \mathbb{C})$

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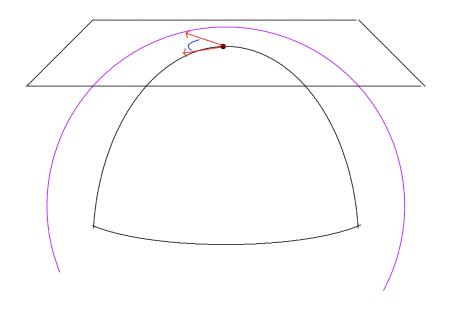
Modern definition:

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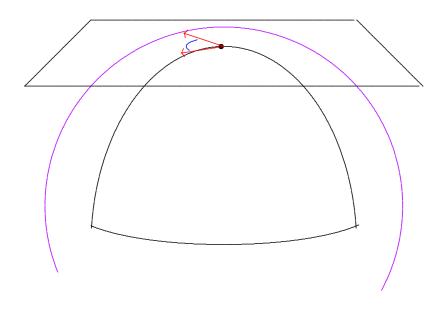
Ricci-flat Kähler:

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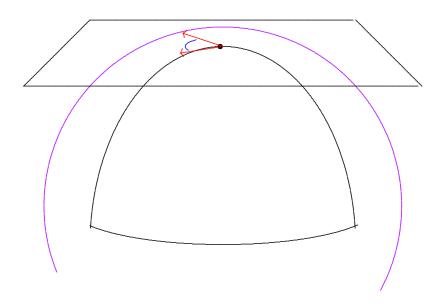
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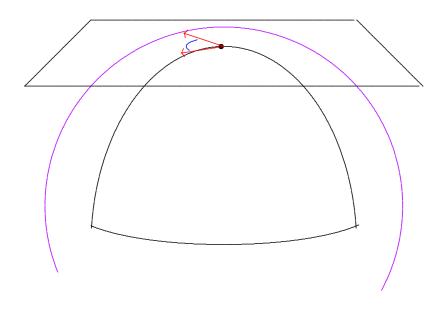


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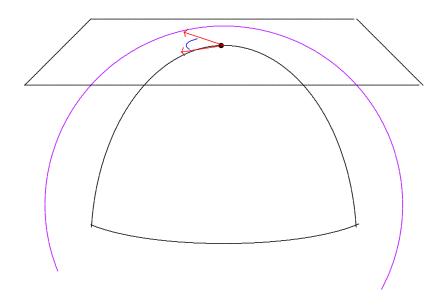


$$\mathbf{SU}(m) \subset \mathbf{U}(m) : \{A \mid \det A = 1\}$$

 (M^{2m}, g) : Ricci-flat Kähler \longleftarrow holonomy $\subset \mathbf{SU}(m)$



 (M^{2m}, g) : Ricci-flat Kähler \iff holonomy $\subset \mathbf{SU}(m)$



if M is simply connected.

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Theorem (Yau).

Theorem (Yau). A compact complex manifold

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• it admits Kähler metrics, and

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- its first Chern class $c_1 \in H^2(M, \mathbb{R})$ is zero.

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"Calabi-Yau metrics."

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Moreover, metric uniquely determined by

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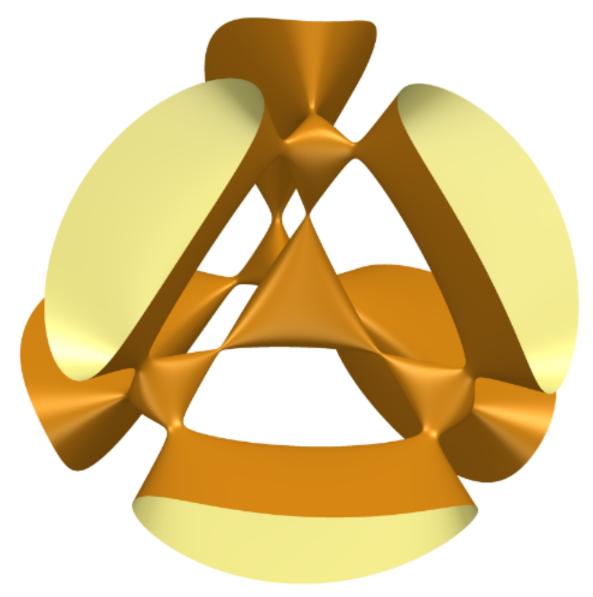
Moreover, metric uniquely determined by

- \bullet complex structure J; and
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(Kähler form $\omega = g(J, \cdot)$ is closed 2-form.)

Corollary. $\exists \lambda = 0 \text{ Einstein metrics on } K3.$

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Theorem (L '09). Suppose that M is a smooth compact oriented 4-manifold which admits a symplectic form ω . Then M also admits an Einstein metric g with $\lambda \geq 0$ if and only if

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 \begin{array}{l} \textit{lettic g with } \texttt{A} \geq \texttt{O} \textit{ ij with Sing S}, \\ & \\ \mathbb{CP}_2 \# k \overline{\mathbb{CP}_2}, \quad 0 \leq k \leq 8, \\ S^2 \times S^2, \\ & \\ K3, \\ & \\ K3/\mathbb{Z}_2, \\ & \\ T^4, \\ & \\ T^4/\mathbb{Z}_2, T^4/\mathbb{Z}_3, T^4/\mathbb{Z}_4, T^4/\mathbb{Z}_6, \\ & \\ T^4/(\mathbb{Z}_2 \oplus \mathbb{Z}_2), T^4/(\mathbb{Z}_3 \oplus \mathbb{Z}_3), \textit{ or } T^4/(\mathbb{Z}_2 \oplus \mathbb{Z}_4). \end{array}
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Below the line:

Every Einstein metric is Ricci-flat Kähler.

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Moduli space $\mathscr{E}(M)$

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Moduli space $\mathscr{E}(M) = \{\text{Einstein } g\}/(\text{Diffeos} \times \mathbb{R}^+)$

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Moduli space $\mathscr{E}(M)$ completely understood.

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Below the line:

Every Einstein metric is Ricci-flat Kähler.

Know an Einstein metric on each manifold.

$$\mathbb{CP}_{2} \# k \overline{\mathbb{CP}}_{2}, \quad 0 \leq k \leq 8, \\
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Moduli space $\mathscr{E}(M) \neq \varnothing$.

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Below the line:

Every Einstein metric is Ricci-flat Kähler.

Moduli space $\mathscr{E}(M) \neq \varnothing$. But is it connected?

$$\mathbb{CP}_{2} \# k \overline{\mathbb{CP}}_{2}, \quad 0 \leq k \leq 8, \\
S^{2} \times S^{2}, \\
K3, \\
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In the remaining cases,

$$g = uh$$

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for some Kähler metric h and a positive function u.

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These live on Del Pezzo surfaces,

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These live on Del Pezzo surfaces, which are, in particular, oriented 4-manifolds with $b_{+}=1$.

 (M^4, J) for which c_1 is a Kähler class $[\omega]$.

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Blow-up of \mathbb{CP}_2 at k distinct points, in general position,

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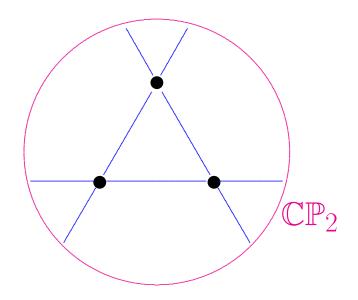
Blow-up of \mathbb{CP}_2 at k distinct points, $0 \le k \le 8$, in general position,

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Blow-up of \mathbb{CP}_2 at k distinct points, $0 \le k \le 8$, in general position, or $\mathbb{CP}_1 \times \mathbb{CP}_1$.

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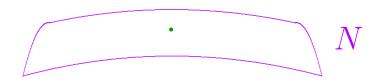
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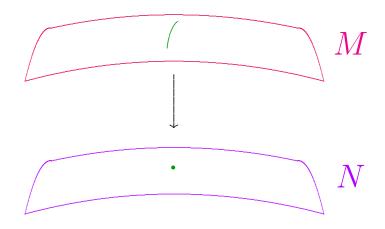
If N is a complex surface,



If N is a complex surface, may replace $p \in N$

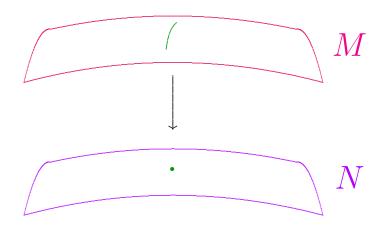


If N is a complex surface, may replace $p \in N$ with \mathbb{CP}_1



If N is a complex surface, may replace $p \in N$ with \mathbb{CP}_1 to obtain blow-up

$$M \approx N \# \overline{\mathbb{CP}}_2$$



 $\overline{\mathbb{CP}}_2$ = reverse oriented \mathbb{CP}_2 .

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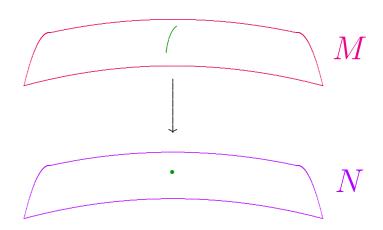


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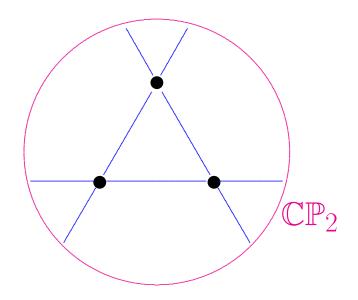
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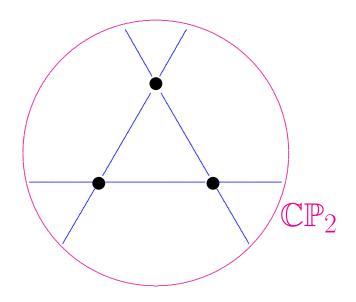
 (M^4, J) for which c_1 is a Kähler class $[\omega]$. Shorthand: " $c_1 > 0$."

Blow-up of \mathbb{CP}_2 at k distinct points, $0 \le k \le 8$, in general position, or $\mathbb{CP}_1 \times \mathbb{CP}_1$.



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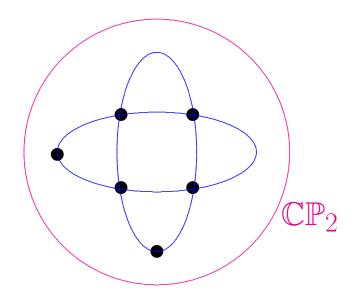
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No 3 on a line,

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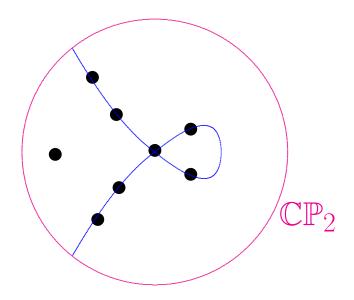
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No 3 on a line, no 6 on conic,

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Blow-up of \mathbb{CP}_2 at k distinct points, $0 \le k \le 8$, in general position, or $\mathbb{CP}_1 \times \mathbb{CP}_1$.



No 3 on a line, no 6 on conic, no 8 on nodal cubic.

 (M^4, J) for which c_1 is a Kähler class $[\omega]$. Shorthand: " $c_1 > 0$."

Blow-up of \mathbb{CP}_2 at k distinct points, $0 \le k \le 8$, in general position, or $\mathbb{CP}_1 \times \mathbb{CP}_1$.

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Blow-up of \mathbb{CP}_2 at k distinct points, $0 \le k \le 8$, in general position, or $\mathbb{CP}_1 \times \mathbb{CP}_1$.

Theorem.

 (M^4, J) for which c_1 is a Kähler class $[\omega]$. Shorthand: " $c_1 > 0$."

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Theorem. Each del Pezzo (M^4, J) admits a J-compatible conformally Kähler, Einstein metric,

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Theorem. Each del Pezzo (M^4, J) admits a J-compatible conformally Kähler, Einstein metric, and this metric is unique

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Theorem. Each del Pezzo (M^4, J) admits a J-compatible conformally Kähler, Einstein metric, and this metric is unique up to complex automorphisms and constant rescalings.

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Theorem. Each del Pezzo (M^4, J) admits a J-compatible conformally Kähler, Einstein metric, and this metric is geometrically unique.

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Existence: Page

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Uniqueness: Bando-Mabuchi '87

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Uniqueness: Bando-Mabuchi '87, L '12.

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by some natural geometric criterion?

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Perhaps even by an open condition?

Theorem (L '15).

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Corollary. These known Einstein metrics on any del Pezzo M⁴

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Corollary. These known Einstein metrics on any del Pezzo M^4 sweep out exactly one connected component of the Einstein moduli space $\mathcal{E}(M)$.

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L (2021a): completely different proof;

method also proves more general results.

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Wu (2021): terse, opaque proof that \iff .

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L (2021b): related classification result.

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Theorem (Wu/L '21). Let (M, g) be a simply-connected compact oriented Einstein 4-manifold, and suppose that its self-dual Weyl curvature

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$$\det(W^+) > 0$$

at every point of M.

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$$W^+:\Lambda^+\to\Lambda^+$$

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at every point of M. Then M is diffeomorphic to a del Pezzo surface, and g is one of the conformally Kähler Einstein metrics we've discussed.

$$W^{+} = \begin{bmatrix} \alpha \\ \beta \\ \gamma \end{bmatrix}$$

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necessarily has the same sign as $-\beta$.

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So $\alpha: M \to \mathbb{R}^+$ a smooth function, and can choose ω with $W^+(\omega) = \alpha \omega$, $|\omega| \equiv \sqrt{2}$.

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So $\alpha: M \to \mathbb{R}^+$ a smooth function, and can choose ω with $W^+(\omega) = \alpha \omega$, $|\omega| \equiv \sqrt{2}$. either on M or double cover \widetilde{M} .

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Get almost-complex structure J on M or M by

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Claim: (M, g) compact Einstein $\Longrightarrow J$ integrable.

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Integrability proof based on Weitzenböck formula

$$0 = \nabla^* \nabla W^+ + \frac{s}{2} W^+ - 6W^+ \circ W^+ + 2|W^+|^2 I$$

$$W^+:\Lambda^+\to\Lambda^+$$

satisfies

$$\det(W^+) > 0$$

at every point of M. Then M is diffeomorphic to a del Pezzo surface, and g is one of the conformally Kähler Einstein metrics we've discussed.

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Corollary. Every simply-connected compact oriented Einstein (M^4, h) with $det(W^+) > 0$ is diffeomorphic to a del Pezzo surface.

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Corollary. Every simply-connected compact oriented Einstein (M^4, h) with $\det(W^+) > 0$ is diffeomorphic to a del Pezzo surface. Conversely, every del Pezzo M^4 carries Einstein h with $\det(W^+) > 0$, and these sweep out exactly one connected component of moduli space $\mathcal{E}(M)$.

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Simply connected hypothesis $\iff b_{+}(M) \neq 0$.

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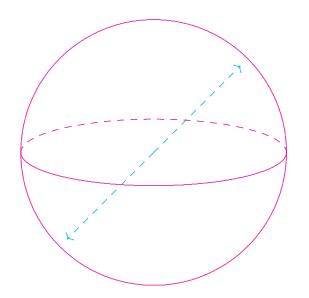
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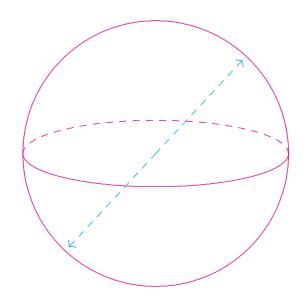
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Excludes 5 types with $\pi_1 = \mathbb{Z}_2$ and $b_+(M) = 0$.







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Can also understand these by same methods.

Thanks for the invitation!

It's a pleasure to be here!

