Mirror Symmetry: from curve counts to hypergeometric series

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26/06/09

joint with Jun Li, R. Vakil, D. Zagier

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Analysis

From string theory to enumerative geometry

A-Model partition function for Calabi-Yau 3-fold X MIRROR principle B-Model partition function for mirror (family) of X

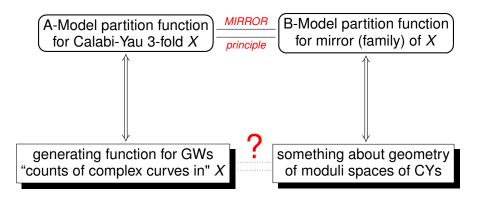
generating function for GWs "counts of complex curves in" X something about geometry of moduli spaces of CYs

Image: A matrix

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From string theory to enumerative geometry



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Basic notions

- Calabi-Yau 3-fold X = (cmpt) complex manifold dim_ℂ X = 3, c₁(TX) = 0
- Mirror family \hat{X} = family of Calabi-Yau 3-folds some singular

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expected # of genus-*g* degree-*d* curves in *X* is finite, $n_{g,d} \in \mathbb{Z}$ e.g. $n_{0,1} = 2,875$ # of lines on general X_5 $n_{g,1} = n_{g,2} = 0 \ \forall g \ge 1$ genus *g* degree *d* GW of *X*: $N_{g,d} \in \mathbb{Q}$ "linear combination" of $n_{g',d'}$, $g' \le g$, $d' \le d$

More generally: $n_{1,d}$ is finite if $c_1(TX) = 0$ (any dim X) \implies genus 1 degree d GW of X: $N_{1,d} \in \mathbb{Q}$

Main example: $X \equiv X_n \subset \mathbb{P}^{n-1}$ hypersurface of degree *n*

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Mirror symmetry for X

$$\mathbb{A}_g^X(Q)\equiv\sum_{d=1}^\infty N_{g,d}Q^d \stackrel{?}{=} \mathbb{B}_g^X(q), \qquad Q=Q(q), \; q=q(Q)$$

 $\mathbb{B}_{g}^{X}(q) =$ explicit function determined by mirror family of X

Mathematical verifications

 $\mathbf{g} = \mathbf{0}$: *Givental'96/Lian-Liu-Yau'97/...* (X_n, etc.) $\mathbf{g} = \mathbf{1}$: '07 (hypersurfaces $X_n \subset \mathbb{P}^{n-1}$ only) $\mathbf{g} \geq \mathbf{2}$: **?**

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B-model PFs for $X = X_n$

$$\begin{split} \mathbb{F}_{0}(x,q) &= \sum_{d=0}^{\infty} q^{d} \frac{\prod_{r=1}^{r=nd} (nx+r)}{\prod_{r=1}^{r=d} (x+r)^{n}} \in 1 + q \cdot \mathbb{Q}(x)[[q]] \\ \mathbb{I}_{0}(q) &= \mathbb{F}_{0}(0,q), \qquad \mathbb{F}_{1}(x,q) = \left\{ 1 + \frac{q}{x} \frac{\partial}{\partial q} \right\} \frac{\mathbb{F}_{0}(x,q)}{\mathbb{I}_{0}(q)} \\ \mathbb{I}_{1}(q) &= \mathbb{F}_{1}(0,q), \qquad \mathbb{F}_{2}(x,q) = \left\{ 1 + \frac{q}{x} \frac{\partial}{\partial q} \right\} \frac{\mathbb{F}_{1}(x,q)}{\mathbb{I}_{1}(q)} \\ \mathbb{I}_{3}(q), \mathbb{I}_{4}(q), \dots, \mathbb{I}_{n-1}(q) \in 1 + q \cdot \mathbb{Q}[[q]] \\ \mathbb{F}_{0}(x,q) &\equiv \mathbb{I}_{0}(q) \left(1 + \mathbb{J}(q)x + O(x^{2}) \right) \implies \mathbb{I}_{1}(q) = 1 + q \frac{\partial}{\partial q} \mathbb{J}(q) \end{split}$$

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Mirror symmetry in genus 1 for $X = X_n$

$$\mathbb{B}_{1}^{X}(q) = \left(\frac{(n-2)(n+1)}{48} + \frac{1-(1-n)^{n}}{24n^{2}}\right)\mathbb{J}(q) \\ - \frac{(3n-8)(n-1)}{48}\log(1-n^{n}q) \\ + \frac{n^{2}-1+(1-n)^{n}}{24n}\log\mathbb{I}_{0}(q) - \frac{1}{2}\sum_{r=0}^{n-1}\binom{r}{2}\log\mathbb{I}_{r}(q)$$

Mirror Symmetry in genus 1 for $X = X_n \subset \mathbb{P}^{n-1}$

$$\mathbb{A}_1^X(Q) \equiv \sum_{d=1}^{\infty} N_{1,d} Q^d = \mathbb{B}_1^X(q), \qquad Q = q \cdot e^{\mathbb{J}(q)}$$

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Some properties of $\mathbb{I}_r(q)$

$$\begin{split} \mathbb{F}_{0}(x,q) &= \sum_{d=0}^{\infty} q^{d} \frac{\prod_{r=1}^{r=nd} (nx+r)}{\prod_{r=1}^{r=d} (x+r)^{n}} \in 1 + q \cdot \mathbb{Q}(x)[[q]] \\ \mathbb{I}_{0}(q) &= \mathbb{F}_{0}(0,q), \qquad \mathbb{F}_{1}(x,q) = \left\{1 + \frac{q}{x} \frac{\partial}{\partial q}\right\} \frac{\mathbb{F}_{0}(x,q)}{\mathbb{I}_{0}(q)} \\ \mathbb{I}_{1}(q) &= \mathbb{F}_{1}(0,q), \qquad \mathbb{F}_{2}(x,q) = \left\{1 + \frac{q}{x} \frac{\partial}{\partial q}\right\} \frac{\mathbb{F}_{1}(x,q)}{\mathbb{I}_{1}(q)} \\ \mathbb{I}_{3}(q), \mathbb{I}_{4}(q), \dots, \mathbb{I}_{n-1}(q) \in 1 + q \cdot \mathbb{Q}[[q]] \end{split}$$

 $I_r(q) = I_{n-1-r}(q), \qquad r = 0, 1, \dots, n-1$ $I_0(q)I_1(q) \dots I_{n-1}(q) = (1 - n^n q)^{-1}$

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Reality check, I

$n = 1, 2, 4 : \mathbb{B}_1^X(q) = 0$

 $n=1: X = \emptyset \subset \mathbb{P}^{1-1} \Longrightarrow N_{g,d} = 0 \ \forall \ d \in \mathbb{Z}^+ \Longrightarrow \mathbb{A}_1^X(Q) = 0 \quad \checkmark$ $n=2: X = 2pts \subset \mathbb{P}^1 \Longrightarrow N_{g,d} = 0 \ \forall \ d \in \mathbb{Z}^+ \Longrightarrow \mathbb{A}_1^X(Q) = 0 \quad \checkmark$

$$n = 4: X = K3 \subset \mathbb{P}^3 \Longrightarrow N_{g,d} = 0 \ \forall \ d \in \mathbb{Z}^+ \Longrightarrow \mathbb{A}_1^X(Q) = 0 \quad \checkmark$$

Geometric reason (*Junho Lee'03*): there are no *J*-holomorphic curves on K3 for some almost complex structure *J*

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Verification of physics predictions: $\mathbb{A}_1^X(Q) \stackrel{?}{=} \mathbb{B}_1^X(q)$

 $n=5: X = X_5 \subset \mathbb{P}^4$ quintic 3-fold

$$\mathbb{B}_1^X(q) = \frac{25}{12} \mathbb{J}(q) - \frac{1}{12} \log(1 - 5^5 q) - \frac{31}{3} \log \mathbb{I}_0(q) - \frac{1}{2} \log \mathbb{I}_1(q)$$

Bershadsky-Cecotti-Ooguri-Vafa'93 √

$$n = 6: X = X_6 \subset \mathbb{P}^5 \text{ sextic 4-fold}$$
$$\mathbb{B}_1^X(q) = -\frac{35}{2}\mathbb{J}(q) - \frac{1}{24}\log(1 - 6^6q) - \frac{423}{4}\log\mathbb{I}_0(q) - \log\mathbb{I}_1(q)$$

Klemm-Pandharipande'07 🗸

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Klemm-Pandharipande'07 🗸

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A mystery: BPS states in higher dimensions?

Gopakumar-Vafa'98, dim X = 3: \exists "BPS states" $n_{g,d} \in \mathbb{Z}$ s.t.

 $\{N_{g,d}\} = \text{Upper-}\Delta \operatorname{Transform}(\{n_{g,d}\})$

Klemm-Pand...'07, dim X = 4: \exists "curve counts" $n_{g,d} \in \mathbb{Z}$ s.t.

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Pandharipande-Z.'08, dim X = 5: same

All conjectures: true for $d \le 100$ in $X_7 \subset \mathbb{P}^6$ *Klemm*: no physical motivation if dim $X \ge 5$

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Reality check, II: A-side

$n = 3: X \subset \mathbb{P}^2$ cubic curve (2-torus)

 $N_{1,d} = \# \{(d/3) : 1 \text{ covers } T^2 \longrightarrow X\} / |\operatorname{Aut}|$

$$N_{1,3d} = \frac{\sigma_d}{d}, \quad \sigma_d = \sum_{r|d} r \iff \sum_{d=1}^{\infty} \sigma_d Q^d = \sum_{d=1}^{\infty} d \frac{Q^d}{1 - Q^d}$$

$$\mathbb{A}_{1}^{X}(Q) = \sum_{d=1}^{\infty} \frac{\sigma_{d}}{d} Q^{3d} = -\sum_{d=1}^{\infty} \ln(1 - Q^{3d})$$

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Reality check, II: A-side

$$n = 3 : X \subset \mathbb{P}^2$$
 cubic curve (2-torus)

 $N_{1,d} = \# \left\{ (d/3) \colon 1 \text{ covers } T^2 \longrightarrow X \right\} / |\operatorname{Aut}|$

$$N_{1,3d} = \frac{\sigma_d}{d}, \quad \sigma_d = \sum_{r|d} r \iff \sum_{d=1}^{\infty} \sigma_d Q^d = \sum_{d=1}^{\infty} d \frac{Q^d}{1 - Q^d}$$

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Reality check, II

$$\begin{split} \mathbb{I}_{0}(q) &\equiv \sum_{d=0}^{\infty} q^{d} \frac{(3d)!}{(d!)^{3}}, \quad \mathbb{J}(q) \equiv \frac{1}{\mathbb{I}_{0}(q)} \sum_{d=1}^{\infty} q^{d} \left(\frac{(3d)!}{(d!)^{3}} \sum_{r=d+1}^{3d} \frac{3}{r}\right) \\ \mathbb{B}_{1}^{X}(q) &= \frac{1}{8} \mathbb{J}(q) - \frac{1}{24} \log(1 - 3^{3}q) - \frac{1}{2} \log \mathbb{I}_{0}(q), \quad Q = q \cdot e^{\mathbb{J}(q)} \end{split}$$

Mirror Symmetry: $\mathbb{A}_1^X(Q) = \mathbb{B}_1^X(q) \iff q^3(1-27q)\mathbb{I}_0(q)^{12} = Q^3 \prod_{d=1}^{\infty} (1-Q^{3d})^{24}$

Scheidegger'09: direct proof (modular forms)

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From curve counts to hypergeometric series

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Need to compute each $N_{g,d}$ and all of them (for fixed g):

Step 1: relate $N_{g,d}$ to GWs of $\mathbb{P}^{n-1} \supset X$

Step 2: use $(\mathbb{C}^*)^n$ -action on \mathbb{P}^{n-1} to compute each $N_{g,d}$ by localization

Step 3: find some recursive feature(s) to compute $N_{g,d} \quad \forall d \iff \mathbb{A}_g^X$

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$\overline{\mathsf{GW}}$ -invariants of $X_5 \subset \mathbb{P}^4$

$\overline{\mathfrak{M}}_{g}(X_{5},d) = \left\{ [u: \Sigma \longrightarrow X_{5}] | g(\Sigma) = g, \deg u = d, \, \overline{\partial}u = \mathbf{0} \right\}$

$$N_{g,d} \equiv \deg \left[\overline{\mathfrak{M}}_g(X_5, d) \right]^{vir} \\ \equiv \# \left\{ \left[u \colon \Sigma \longrightarrow X_5 \right] \mid g(\Sigma) = g, \deg u = d, \ \bar{\partial}u = \nu(u) \right\}$$

 ν = small generic deformation of $\bar{\partial}$ -equation

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From $X_5 \subset \mathbb{P}^4$ to \mathbb{P}^4



$X_5 \equiv s^{-1}(0) \subset \mathbb{P}^4$

$\overline{\mathfrak{M}}_{g}(\mathbb{P}^{4},d)$

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From $X_5 \subset \mathbb{P}^4$ to \mathbb{P}^4

This suggests: *Hyperplane Property*

$$N_{g,d} \equiv \deg \left[\overline{\mathfrak{M}}_g(X_5, d) \right]^{vir} \equiv \left. \pm \right| \tilde{s}^{-1}(0) \right|$$

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ight
angle \end{aligned}$$

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Image: A matrix

Genus 0 vs. positive genus

g = 0 everything is as expected:

- $\overline{\mathfrak{M}}_{g}(\mathbb{P}^{4}, d)$ is smooth
- $[\overline{\mathfrak{M}}_g(\mathbb{P}^4, d)]^{vir} = [\overline{\mathfrak{M}}_g(\mathbb{P}^4, d)]$
- $\mathcal{V}_{0,d} \longrightarrow \overline{\mathfrak{M}}_g(\mathbb{P}^4, d)$ is vector bundle
- hyperplane prop. makes sense and holds

 $g \ge 1$ none of these holds

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Genus 1 analogue

Thm. A: HP holds for reduced genus 1 GWs

$$\left[\overline{\mathfrak{M}}_{1}^{0}(X_{5},d)\right]^{vir}=e(\mathcal{V}_{1,d})\cap\overline{\mathfrak{M}}_{1}^{0}(\mathbb{P}^{4},d).$$

This generalizes to complete intersections $X \subset \mathbb{P}^n$.

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Standard vs. reduced GWs

Thm. A
$$\implies N_{1,d}^0 \equiv \deg [\overline{\mathfrak{M}}_1^0(X,d)]^{vir} = \int_{\overline{\mathfrak{M}}_1^0(\mathbb{P}^4,d)} e(\mathcal{V}_{1,d})$$

$$\overline{\mathfrak{M}}_1^0(X,d) \equiv \overline{\mathfrak{M}}_1^0(\mathbb{P}^4,d) \cap \overline{\mathfrak{M}}_1(X,d)$$

Thm. B:
$$N_{1,d} - N_{1,d}^{J} = \frac{1}{12} N_{0,d}$$

This generalizes to all symplectic manifolds:

[standard] – [reduced genus 1 GW] = f(genus 0 GW)

: to check BCOV, enough to compute $\int_{\overline{\mathfrak{M}}_{1}^{0}(\mathbb{P}^{4},d)} e(\mathcal{V}_{1,d})$

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• $(\mathbb{C}^*)^5$ acts on \mathbb{P}^4 (with 5 fixed pts)

• \Longrightarrow on $\overline{\mathfrak{M}}_{g}(\mathbb{P}^{4}, d)$ (with simple fixed loci) and on $\mathcal{V}_{q,d} \longrightarrow \overline{\mathfrak{M}}_{q}(\mathbb{P}^{4}, d)$

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g = 0: Atiyah-Bott Localization Thm reduces \int to $\sum_{graphs} q = 1$: $\overline{\mathfrak{M}}^0(\mathbb{P}^4, d)$ $\mathcal{Y}_{a,d}$ singular \longrightarrow AB does not apply

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- \Longrightarrow on $\overline{\mathfrak{M}}_{g}(\mathbb{P}^{4}, d)$ (with simple fixed loci) and on $\mathcal{V}_{g,d} \longrightarrow \overline{\mathfrak{M}}_{g}(\mathbb{P}^{4}, d)$

• $\int_{\overline{\mathfrak{M}}_{q}^{0}(\mathbb{P}^{4},d)} e(\mathcal{V}_{g,d})$ localizes to fixed loci

g= 0: Atiyah-Bott Localization Thm reduces \int to \sum_{graphs}

 $g = 1: \overline{\mathfrak{M}}_{g}^{0}(\mathbb{P}^{4}, d), \mathcal{V}_{g,d}$ singular \Longrightarrow AB does not apply

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Torus actions

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Genus 1 bypass

Thm. C: $\mathcal{V}_{1,d} \longrightarrow \overline{\mathfrak{M}}_1^d(\mathbb{P}^4, d)$ admit natural desingularizations:

$$\widetilde{\mathcal{V}}_{1,d} \xrightarrow{} \mathcal{V}_{1,d} \\ \downarrow \\ \widetilde{\mathfrak{M}}_1^0(\mathbb{P}^4, d) \xrightarrow{} \overline{\mathfrak{M}}_1^0(\mathbb{P}^4, d)$$

$$\int_{\widetilde{\mathfrak{M}}_1^0(\mathbb{P}^4,d)} e(\mathcal{V}_{1,d}) = \int_{\widetilde{\mathfrak{M}}_1^0(\mathbb{P}^4,d)} e(\widetilde{\mathcal{V}}_{1,d})$$

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Genus 1 bypass

Thm. C: $\mathcal{V}_{1,d} \longrightarrow \overline{\mathfrak{M}}_1^{\mathfrak{s}}(\mathbb{P}^4, d)$ admit natural desingularizations:

$$\int_{\widetilde{\mathfrak{M}}_1^0(\mathbb{P}^4,d)} e(\mathcal{V}_{1,d}) = \int_{\widetilde{\mathfrak{M}}_1^0(\mathbb{P}^4,d)} e(\widetilde{\mathcal{V}}_{1,d})$$

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Genus 1 bypass

Thm. C: $\mathcal{V}_{1,d} \longrightarrow \overline{\mathfrak{M}}_1^{\mathfrak{s}}(\mathbb{P}^4, d)$ admit natural desingularizations:

$$\implies \qquad \int_{\overline{\mathfrak{M}}_1^0(\mathbb{P}^4,d)} \boldsymbol{e}(\mathcal{V}_{1,d}) = \int_{\widetilde{\mathfrak{M}}_1^0(\mathbb{P}^4,d)} \boldsymbol{e}(\widetilde{\mathcal{V}}_{1,d})$$

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Thm. C generalizes to all $\mathcal{V}_{1,d} \longrightarrow \overline{\mathfrak{M}}_{1,k}^{0}(\mathbb{P}^{n}, d)$:



: Thms A,B,C provide an algorithm for computing genus 1 GWs of complete intersections $X \subset \mathbb{P}^n$

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Computation of genus 1 GWs of CIs

Thm. C generalizes to all $\mathcal{V}_{1,d} \longrightarrow \overline{\mathfrak{M}}_{1,k}^{0}(\mathbb{P}^{n}, d)$:



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Thm. C generalizes to all $\mathcal{V}_{1,d} \longrightarrow \overline{\mathfrak{M}}_{1,k}^{0}(\mathbb{P}^{n},d)$:



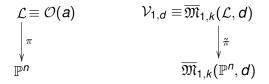
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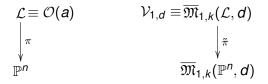
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Computation of $N_{1,d}$ for all d

split genus 1 graphs into many genus 0 graphs at special vertex

- make use of good properties of genus 0 numbers to eliminate infinite sums
- extract non-equivariant part of elements in $H^*_{\mathbb{T}}(\mathbb{P}^4)$

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Key geometric foundation

A sharp Gromov's compactness thm in genus 1

- describes limits of sequences of pseudo-holomorphic maps
- describes limiting behavior for sequences of solutions of a $\bar{\partial}\text{-}\text{equation}$ with limited perturbation
- allows use of topological techniques to study genus 1 GWs

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Main tool

Analysis of local obstructions

- study obstructions to smoothing pseudo-holomorphic maps from singular domains
- not just potential existence of obstructions

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