

# Notes on Covering Spaces

A. W. Knapp

## A. Fundamental group.

Notation:  $X$  denotes a separable metric space, i.e., a regular Hausdorff space with a countable base.

Paths and loops:

Path in  $X$ : continuous function  $a : [0, \|a\|] \rightarrow X$ .

$\|a\|$  = stopping time.

$a(0)$  = initial point.

$a(\|a\|)$  = final point or endpoint.

Loop in  $X$ : path with  $a(0) = a(\|a\|)$ ;  $a(0)$  is the base point for the loop.

Identity path: a path with  $\|a\| = 0$ .

Constant path: a path with  $a(t) \equiv a(0)$ .

Inverse path  $a^{-1}$ :  $a^{-1}(t) = a(\|a\| - t)$  for  $0 \leq t \leq \|a\|$ .

Multiplication of paths: If  $a$  and  $b$  are paths with  $a(\|a\|) = b(0)$ , then their product  $c = a \cdot b$  is defined to be the path traced out by  $a$  and then  $b$ :

$$c(t) = \begin{cases} a(t) & \text{for } 0 \leq t \leq \|a\| \\ b(t - \|a\|) & \text{for } \|a\| \leq t \leq \|a\| + \|b\|. \end{cases}$$

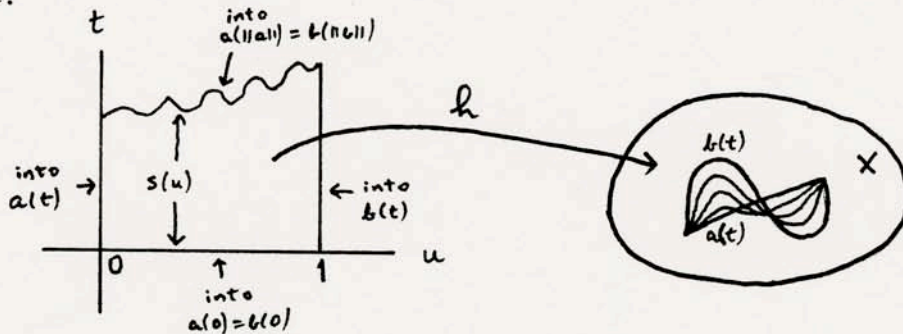
Properties:

- (1) The product  $c$  is a path with stopping time  $\|a\| + \|b\|$ .
- (2) If  $a \cdot b$  and  $b \cdot c$  are defined, then  $(a \cdot b) \cdot c$  and  $a \cdot (b \cdot c)$  are defined and  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$ .
- (3) If  $i$  is an identity path, then  $i \cdot a = a$  whenever  $i \cdot a$  is defined and  $b \cdot i = b$  whenever  $b \cdot i$  is defined.
- (4)  $a \cdot a^{-1}$  and  $a^{-1} \cdot a$  are always defined.

Equivalence: Two paths  $a$  and  $b$  with the same initial points and same final points are equivalent, written  $a \simeq b$ , if there are continuous functions  $s : [0,1] \rightarrow [0,\infty)$  and  $h : \{(u,t)\} \rightarrow X$  (for  $u \in [0,1]$  and  $t \in [0,s(u)]$ ) such that

$$\begin{aligned} h(0,t) &= a(t), & h(u,0) &= a(0) = b(0) \\ h(1,t) &= b(t), & h(u,s(u)) &= a(\|a\|) = b(\|b\|). \end{aligned}$$

Picture:



Properties:

- (1) "Equivalent" is an equivalence relation; denote a class by  $[a]$ .
- (2) If  $a \simeq a'$  and  $b \simeq b'$  and if  $a \cdot b$  is defined, then  $a' \cdot b'$  is defined and  $a \cdot b \simeq a' \cdot b'$ . Also  $a^{-1} \simeq a'^{-1}$ .
- (3) Constant paths are equivalent with identity paths.
- (4)  $a \cdot a^{-1}$  and  $a^{-1} \cdot a$  are equivalent with constant paths.

Class multiplication:

If  $a \cdot b$  is defined, set

$$[a][b] = [ab] \quad \text{and} \quad [a]^{-1} = [a^{-1}].$$

Both are well defined by (2).

Properties:

- (1) If  $[a][b]$  and  $[b][c]$  are defined, then  $([a][b])[c]$  and  $[a]([b][c])$  are defined and equal.
- (2)  $[1][a] = [a]$ , where  $1$  = identity path  $a(0)$   
 $[a][1] = [a]$ , where  $1$  = identity path  $a(\|a\|)$ .

- (3)  $[a][a]^{-1} = [1]$ , where  $1 = \text{identity path } a(0)$   
 $[a]^{-1}[a] = [1]$ , where  $1 = \text{identity path } a(\|a\|)$ .

Proposition 1. Fix  $p$  in  $X$ . The set of classes of loops with base point  $p$  is a group under class multiplication, denoted  $\pi(X,p)$ . If also  $q$  is in  $X$ , then any path  $\xi$  from  $p$  to  $q$  canonically defines an isomorphism of  $\pi(X,p)$  with  $\pi(X,q)$ .

Proof. In the properties above, all products are now defined.

So  $\pi(X,p)$  is a group, by the properties. Let  $a$  be a loop based at  $p$ . Then  $\xi^{-1} \cdot a \cdot \xi$  is a loop based at  $q$ , and this correspondence defines the isomorphism.

Definition:  $\pi(X,p) = \underline{\text{fundamental group}}$  of  $X$  with base point  $p$ .

If  $X$  is pathwise connected, then  $\pi(X,p)$  as an abstract group is independent of  $p$ . We say a pathwise connected  $X$  is simply connected if  $\pi(X) = 1$ .

Let  $f: X \rightarrow Y$  be continuous. Then  $f$  induces a homomorphism

$$f_* : \pi(X,p) \rightarrow \pi(Y,f(p))$$

by  $f_*([a]) = [f \circ a]$ , which is independent of the representative.

The induced homomorphism has the important property

$$(f \circ g)_* = f_* g_* .$$

B. Properties of covering spaces.

Notation:  $X$  and  $Y$  denote separable metric spaces that are pathwise connected and locally pathwise connected (i.e., each point has arbitrarily small pathwise connected neighborhoods). Then every open set has open connected components.

Let  $e : X \rightarrow Y$  be continuous, and let  $V$  be open in  $Y$ . We say  $V$  is evenly covered by  $e$  if each connected component of  $e^{-1}(V)$  is mapped by  $e$  homeomorphically onto  $V$ . (Note this implies  $V$  is connected.)

Let  $e : X \rightarrow Y$  be continuous. We say  $e$  is a covering map if each  $y$  in  $Y$  has an open neighborhood  $V_y$  that is evenly covered by  $e$ . (Note that this implies  $e$  is onto  $Y$ .) In this case  $Y$  is called the base space and  $X$  is the covering space.

Proposition 2 (Path-lifting theorem). Suppose  $e : X \rightarrow Y$  is a covering map. If  $y(t)$ ,  $0 \leq t \leq 1$ , is a path in  $Y$  and if  $x_0$  is in  $e^{-1}(y(0))$ , then there exists a unique path  $x(t)$ ,  $0 \leq t \leq 1$ , in  $X$  with  $x(0) = x_0$  and  $e(x(t)) = y(t)$ .

Proof. Let  $T$  be the set of  $t$  in  $[0,1]$  such that  $y|_{[0,t]}$  lifts to a path from  $x_0$ . The set  $T$  is nonempty since  $0$  is in  $T$ .  $T$  is open. [In fact, let  $t_0$  be in  $T$ . Form the connected component  $U_0$  of  $e^{-1}(V_{y(t_0)})$  containing  $x(t_0)$ , so that  $e^{-1} : V_{y(t_0)} \rightarrow U_0$  is continuous. Extend  $x(t)$  by the definition  $x(t) = e^{-1}(y(t))$ ; then we see that  $T$  is open. (Note that this definition is forced since  $x(t)$  for  $t$  near

$t_0$  must be a connected subset of  $e^{-1}(V_y(t_0))$  containing  $x(t_0)$  and so must be in the component  $U_0$ .]  $T$  is closed. [In fact, let  $t_0$  be a limit point of  $T$  not in  $T$ . Form  $V_y(t_0)$  and choose  $\delta > 0$  so that  $y(t)$  is in  $V_y(t_0)$  for  $t_0 - \delta \leq t \leq t_0$ . Find the component of  $e^{-1}(V_y(t_0))$  containing  $x(t_0 - \delta)$  and lift  $y$  to this component. As above,  $t_0$  is in  $T$ . Thus  $T$  is closed.] Since  $[0,1]$  is connected, we conclude  $T = [0,1]$ .

For uniqueness let  $T'$  be the set of  $t$  in  $[0,1]$  such that all lifts of  $y|_{[0,t]}$  starting at  $x_0$  agree. Then  $T'$  is nonempty, and it is closed by continuity.  $T'$  is open by the argument for  $T$  above. By connectedness,  $T' = [0,1]$ .

Lemma. Let  $e : X \rightarrow Y$  be a covering map and let  $V$  be an open subset of  $Y$  that is evenly covered. Then the components of  $e^{-1}(V)$  are open.

Proof. Let  $U_0$  be a component of  $e^{-1}(V)$  and let  $x_0$  be in  $U_0$ . Since  $X$  is locally connected, choose a connected open neighborhood  $U$  of  $x_0$  contained in the open set  $e^{-1}(V)$ . Then  $U \subseteq U_0$  since  $U_0$  is a component, and so  $U_0$  is open.

Proposition 3 (Covering homotopy theorem). Let  $e : X \rightarrow Y$  be a covering map, let  $K$  be a compact space, and let  $f_0 : K \rightarrow X$  be continuous. If  $g : K \times [0,1] \rightarrow Y$  is continuous and satisfies  $g(\cdot, 0) = ef_0$ , then there is a unique continuous  $f : K \times [0,1] \rightarrow X$  such that  $f(\cdot, 0) = f_0$  and  $g = ef$ .

Proof. For each  $k$  in  $K$ , Proposition 2 shows there is a unique path  $f|_{k \times [0,1]}$  starting at  $f_0(k)$  and covering the

path  $g|_{K \times [0,1]}$ . This defines  $f$  and proves uniqueness. We must prove  $f$  is continuous as a function of two variables. Let  $T$  be the set of  $t_0$  in  $[0,1]$  such that  $f(k,t)$  is continuous at  $(k,t)$  for all  $k$  in  $K$  and all  $t \leq t_0$ .

Then  $0$  is in  $T$ . [In fact, fix  $k_0$  in  $K$ . Form the component  $U_0$  of  $e^{-1}(V_{g_0}(k_0))$  containing  $f_0(k_0)$ , so that  $e^{-1} : V_{g_0}(k_0) \rightarrow U_0$  is continuous.  $U_0$  is open by the lemma. Choose a neighborhood  $N_{k_0}$  of  $k_0$  so that  $f_0(N_{k_0}) \subseteq U_0$  and  $g(N_{k_0} \times [0,\epsilon)) \subseteq V_{g_0}(k_0)$ . Then  $f(N_{k_0} \times [0,\epsilon)) \subseteq U_0$ . Hence  $f = e^{-1}g$  on  $N_{k_0} \times [0,\epsilon)$  and is continuous.]

$T$  is open. [In fact, let  $t_0$  be in  $T$ . Fix  $k_0$  in  $K$ . Form the component  $U_0$  of  $e^{-1}(V_{g(k_0,t_0)})$  containing  $f(k_0,t_0)$ ; then  $e^{-1} : V_{g(k_0,t_0)} \rightarrow U_0$  is continuous. Choose a neighborhood  $N_{k_0}$  of  $k_0$  and an  $\epsilon_{k_0}$  so that  $f(N_{k_0} \times (t_0 - \epsilon_{k_0}, t_0 + \epsilon_{k_0})) \subseteq U_0$ . Then  $f = e^{-1}g$  on  $N_{k_0} \times (t_0 - \epsilon_{k_0}, t_0 + \epsilon_{k_0})$  and so is continuous on this set. The  $N$ 's cover  $K$ . Extract a finite subcover and use the minimum of the  $\epsilon$ 's to see that  $T$  is open.]

$T$  is closed. [In fact, let  $t_0$  be a limit point of  $T$  not in  $T$ . Fix  $k_0$  in  $K$ . Form  $V = V_{g(k_0,t_0)}$ , and choose  $N'$  (a neighborhood of  $k_0$ ) and  $\epsilon$  so that  $g(N' \times [t_0 - \epsilon, t_0 + \epsilon]) \subseteq V$ . Form the component  $U_0$  of  $e^{-1}(V)$  containing  $f(k_0, t_0 - \epsilon)$ ; then  $e^{-1} : V \rightarrow U_0$  is continuous. Choose a neighborhood  $N \subset N'$  so that  $f(N, t_0 - \epsilon) \subseteq U_0$ . Then  $f(N \times [t_0 - \epsilon, t_0 + \epsilon]) \subseteq U_0$ ,  $f = e^{-1}g$  on this set, and  $f$  is continuous at  $(k_0, t_0)$ . So  $T$  is closed.] By connectedness,  $T = [0,1]$ .

Proposition 4. If  $e : X \rightarrow Y$  is a covering map, then a path  $x(t)$  in  $X$  is a contractible loop (i.e., a loop equivalent with a constant map) if and only if the projected path  $ex(t)$  is a contractible loop. Consequently  $e_*$  is one-one.

Proof.  $\Rightarrow$  is trivial. For  $\Leftarrow$ , put  $f_0(t) = x(t)$ , and suppose  $ex(t)$  is contractible. Then we can find a continuous  $g(t,s)$  for  $s \in [0,1]$  such that  $g(\cdot,0) = ex(\cdot)$ ,  $g(0,s) = ex(0)$ ,  $g(1,s) = ex(1) = ex(0)$ , and  $g(\cdot,1) = ex(0)$ . Find  $f$  as in Proposition 3. Then  $f(\cdot,0) = x(\cdot)$ . Also  $f(0,s)$  is continuous into the discrete space  $e^{-1}(ex(0))$  and so is constant and must be  $x(0)$ . Similarly  $f(\cdot,1) = x(0)$ , and then  $f(1,s) = x(0)$ . So  $x(0) = x(1)$ , and  $x(t)$  is equivalent with the constant  $x(0)$ .

Proposition 5. If  $e : X \rightarrow Y$  is a covering, if  $y_0$  is in  $Y$ , and if  $x_0$  is in  $e^{-1}(y_0)$ , then the lift of a loop  $y(t)$  based at  $y_0$  is a loop if and only if  $[y(t)]$  is in  $e_*\pi(X,x_0)$ .

Proof.  $\Rightarrow$  is trivial. For  $\Leftarrow$ , choose a loop  $x'(t)$  based at  $x_0$  in  $X$  such that  $[ex'(t)] = [y(t)]$ , and let  $g$  exhibit  $ex'$  and  $y$  as equivalent:  $g(\cdot,0) = ex'(\cdot)$ ,  $g(\cdot,1) = y(\cdot)$ ,  $g(0,s) = g(1,s) = y_0$ . Produce  $f$  as in Proposition 3. As above,  $f(\cdot,1)$  is a loop based at  $x_0$ , and it is the lift of  $y(t)$ .

Theorem 1 (Map-lifting theorem). If  $e : X \rightarrow Y$  is a covering, if  $P$  is a pathwise connected, locally pathwise connected separable metric space, if  $g : P \rightarrow Y$  is continuous, and if  $p_0$  is in  $e^{-1}(y_0)$  and  $x_0$  is in  $e^{-1}(y_0)$ , then there exists a continuous  $f : P \rightarrow X$  with  $f(p_0) = x_0$  and  $g = ef$  if and only if  $e_*(\pi(P,p_0)) \subseteq e_*(\pi(X,x_0))$ . When  $f$  exists, it is unique.

Proof. If  $f$  exists, then

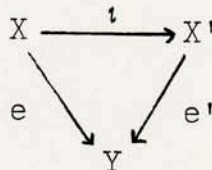
$$g_*\pi(P, p_0) = e_*f_*\pi(P, p_0) \subseteq e_*\pi(X, x_0).$$

Conversely, suppose the inclusion holds. Let  $p$  be in  $P$  and let  $w$  be a path from  $p_0$  to  $p$ . Then  $gw$  is a path from  $y_0$  to  $y = g(p)$ , and we let  $u$  be the lift of  $gw$  to a path from  $x_0$  to some  $x$  in  $X$ . Define  $f(p) = x$ . Another choice of  $w$  leads to a loop in  $P$  that is mapped under  $g$  to a loop in  $Y$ . Since the class of the loop in  $Y$  is in  $g_*\pi(P, p_0) \subseteq e_*\pi(X, x_0)$ , Proposition 5 shows the loop lifts to a loop in  $X$ ; therefore  $x$  is uniquely determined by this definition.

To see that  $f$  is continuous at  $p$ , let  $U_0$  be the component of  $e^{-1}(V_{g(p)})$  containing  $f(p)$ , so that  $e^{-1} : V_{g(p)} \rightarrow U_0$  is continuous. Choose a pathwise connected neighborhood  $N$  of  $p$  in  $P$  so that  $g(N) \subseteq V_{g(p)}$ . Then a path from  $p$  within  $N$  is mapped by  $g$  and lifts to a path from  $f(p)$  within  $U_0$ . Hence  $f(N) \subseteq U_0$ . Then  $f = e^{-1}g$  on  $N$ , and  $f$  is continuous on  $N$ .

If  $f$  exists, then the conditions in the definition above must be satisfied. So  $f$  is unique. This completes the proof.

Notation for uniqueness theorem: Let  $e : X \rightarrow Y$  and  $e' : X' \rightarrow Y$  be coverings. We say  $e$  and  $e'$  are equivalent coverings if there is a homeomorphism  $\iota$  of  $X$  onto  $X'$  such that  $e'\iota = e$ .





Theorem 2 (Uniqueness theorem). Let  $e : X \rightarrow Y$  and  $e' : X' \rightarrow Y$  be coverings, and let  $y_0$  be in  $Y$ . Then  $e$  and  $e'$  are equivalent coverings if and only if base points  $x_0$  in  $X$  and  $x'_0$  in  $X'$  can be chosen so that  $e(x_0) = e'(x'_0) = y_0$  and

$$e_* (\pi(X, x_0)) = e'_* (\pi(X', x'_0)).$$

Proof. If  $e$  and  $e'$  are equivalent, choose  $x_0$  in  $e^{-1}(y_0)$  and define  $x'_0 = \iota(x_0)$ . Then  $e_* \pi(X, x_0) = e'_* \iota_* \pi(X, x_0) = e'_* \pi(X', x'_0)$ .

Conversely suppose  $e_* \pi(X, x_0) = e'_* \pi(X', x'_0)$ . By Theorem 1, we can cover  $e : X \rightarrow Y$  by  $\iota : X \rightarrow X'$  and we can cover  $e' : X' \rightarrow Y$  by  $j : X' \rightarrow X$ . Now  $j\iota$  leaves  $x_0$  fixed and  $e(j\iota) = (ej)\iota = e'\iota = e$ . So  $j\iota$  covers the identity map of  $Y$  into itself and by the uniqueness in Theorem 1 is the identity map of  $X$ . Similarly  $\iota j$  is the identity, and therefore  $\iota$  is a homeomorphism. By construction  $e'\iota = e$ , and therefore  $\iota$  defines an equivalence.

C. Existence of covering spaces.

Notation:  $X$  and  $Y$  are separable metric spaces, pathwise connected, and locally pathwise connected.

In proving existence of covering spaces, the next proposition will guide the choice of open sets in  $Y$  that are to be evenly covered by  $e$ . In particular, we shall want to assume that such sets exist.

Proposition 6. If  $e : X \rightarrow Y$  is a covering, then any pathwise connected open subset  $Q$  of  $Y$  such that any loop in  $Q$  is contractible in  $Y$  is evenly covered.

Proof. Fix  $y_0$  in  $Q$ ,  $x_0$  in  $e^{-1}(y_0)$ . Lift the paths in  $Q$  from  $y_0$  to paths in  $e^{-1}(Q)$  from  $x_0$ . Let  $P_{x_0}$  be the union of the images of these paths, and let  $e' = e|_{P_{x_0}}$ . Then  $e'$  is continuous from  $P_{x_0}$  onto  $Q$ .

We show  $e'$  is one-one. Thus let  $p_1$  and  $p_2$  be in  $e'^{-1}(q)$ . Connect  $x_0$  to  $p_1$  and  $p_2$  by paths in  $e^{-1}(Q)$ . The projections to  $Q$  yield a loop in  $Q$ , which is in  $e_*(\pi(X, x_0))$  since it is contractible in  $Y$  (by hypothesis). By Proposition 5 the lift is a loop and  $p_1 = p_2$ . Thus  $e'$  is one-one.

We show  $e'$  is onto  $Q$ . If  $q$  is given, join  $y_0$  to  $q$  by a path and lift to a path from  $x_0$  to some  $x$ . Then  $e'(x) = q$ .

We show  $e'^{-1}$  is continuous. If  $y$  is in  $Q$ , let

$V \subseteq Q$  be a pathwise connected evenly covered neighborhood of  $y$ , let  $x$  be in  $P_{x_0} \cap e^{-1}(y)$ , and let  $U$  be the component of  $e^{-1}(V)$  containing  $x$ , so that  $e^{-1} : V \rightarrow U$  is continuous. Then  $e'^{-1} = e^{-1}$  on  $V$ , and  $e'^{-1}$  is thus continuous at  $y$ . Hence  $e'$  is a homeomorphism of  $P_{x_0}$  onto  $Q$ .

Clearly  $P_{x_0}$  is connected. If  $x_0 \neq x$ , then  $P_{x_0}$  and  $P_x$  coincide or are disjoint since a point of intersection joins  $x_0$  to  $x$ . Also  $P_{x_0}$  is open: In fact, let  $x$  be in  $P_{x_0}$  and let  $U \subseteq e^{-1}(Q)$  be a pathwise connected open neighborhood of  $x$ . Then  $U$  is path connected to  $P_{x_0}$  and so  $U \subseteq P_{x_0}$ . Thus  $P_{x_0}$  is open.

Finally the union of all  $P_x$  for  $x$  in  $e^{-1}(Q)$  is  $e^{-1}(Q)$ , and so  $P_{x_0}$  is a component of  $e^{-1}(Q)$ . Thus  $Q$  is evenly covered.

We say  $Y$  is locally simply connected if each  $y$  in  $Y$  has an open pathwise connected, simply connected neighborhood. In this case, each  $y$  in  $Y$  has arbitrarily small open pathwise connected neighborhoods with the following property: Any loop in the neighborhood is contractible in  $Y$ .

Lemma. If  $Y$  is locally simply connected, then  $\pi(Y, y_0)$  is countable.

Proof. Let  $\mathcal{V}$  be a countable base of open sets of  $Y$  that are pathwise connected and contain only loops that are contractible in  $Y$ . If  $V_j$  and  $V_k$  are in  $\mathcal{V}$ , then  $V_j \cap V_k$  has a countable number of components (since  $Y$  is separable and the

components are open). Let  $\mathcal{V}^*$  be the collection of all components of all  $V_j \cap V_k$ . By the separability we can cover each  $V_j$  by countably many open sets  $V'_\ell$  such that  $\bar{V}'_\ell \subseteq V_j$ . Let  $f(t)$ ,  $0 \leq t \leq 1$ , be a loop based at  $y_0$ . Cover  $[0,1]$  with open intervals such that  $f$  of each interval is in some  $V'_\ell$ , and, by compactness of  $[0,1]$ , extract a finite subcover. If  $\bar{V}'_\ell \subseteq V_j$  and if  $f$  maps some open interval into  $V'_\ell$ , then  $f$  maps the closure of the interval into  $V_j$ , by continuity. As a result, we can choose points  $t_i$  and sets  $V_i$ ,  $0 \leq i \leq n$ , with  $t_0 = 0$ ,  $t_n = 1$ ,  $f(t_i) \in V_i \cap V_{i-1}$  for  $i \geq 1$ , and  $f(t) \in V_i$  for  $t_i \leq t \leq t_{i+1}$ . Let  $V_i^*$  be the component of  $V_i \cap V_{i-1}$  to which  $f(t_i)$  belongs. To  $f$  we can associate the finite sequence

$$V_1, V_1^*, V_2, V_2^*, \dots, V_{n-1}, V_n$$

and this sequence determines  $[f]$ . (To see this, suppose  $g$  is given with the same sequence. We may assume without loss of generality that  $g(t_i) = f(t_i)$  for all  $i$  since  $V_i^*$  is pathwise connected. Then  $f \simeq g$  for each interval  $t_i \leq t \leq t_{i+1}$ , because taken in succession they give a loop in  $V_i$ .) The set of sequences is countable, and so  $\pi(Y, y_0)$  is countable.

Theorem 3 (Existence theorem). If  $Y$  is locally simply connected, if  $y_0$  is in  $Y$ , and if  $H$  is a subgroup of  $\pi(Y, y_0)$ , then there exists a covering space  $X$  with covering map  $e : X \rightarrow Y$  and with point  $x_0$  in  $X$  such that  $e(x_0) = y_0$  and  $e_*(\pi(X, x_0)) = H$ .

Proof. Let  $X$  be the set of equivalence classes of paths in  $Y$  from  $y_0$  under the equivalence relation that  $f \sim f'$  if

- (1)  $f$  and  $f'$  have the same final point
- (2)  $[f' \cdot f^{-1}]$  is in  $H$ .

This is an equivalence relation because  $H$  is a group.

Typical equivalence classes will be denoted  $x$  or  $\{f\}$ . Let  $x_0$  be the class of the constant path at  $y_0$ . For  $x$  in  $X$ , let  $e(x)$  be the endpoint of a path in the class  $x$ ; then  $e(x_0) = y_0$ .

Let  $\mathcal{V}$  be a base of open sets in  $Y$  that are pathwise connected and contain only loops that are contractible in  $Y$ . For  $V$  in  $\mathcal{V}$  and  $x$  in  $e^{-1}(V)$ , define  $U(x, V) \subseteq X$  by

$$U(x, V) = \{x' \in e^{-1}(V) \mid x' = \text{class of some } f \cdot h, \text{ where } \{f\} = x \text{ and } h \text{ is a path starting at } e(x) \text{ and remaining within } V \}.$$

The sets  $U(x, V)$  have the following properties:

- (1) A class  $x'$  in  $U(x, V)$  is not affected by using a different representative  $f$ , nor is it affected by using a different  $h$  as long as the endpoint of  $h$  is not changed. [In fact,  $\{f \cdot h\} = \{f' \cdot h\}$  because  $f \cdot h$  and  $f' \cdot h$  have the same endpoint and  $[f \cdot h \cdot h^{-1} \cdot f'^{-1}] = [f \cdot f'^{-1}] \in H$ . Also, if  $h$  and  $h'$  both have the same endpoint, then so do  $f \cdot h$  and  $f \cdot h'$ ; since  $h \cdot h'^{-1}$  is contractible in  $Y$ , we have

$$[f \cdot h \cdot h'^{-1} \cdot f^{-1}] = [f \cdot f^{-1}] = [1] \in H$$

and hence  $\{f \cdot h\} = \{f \cdot h'\}$ .]

- (2) If  $x'$  is in  $U(x, V)$ , then  $U(x', V) = U(x, V)$ .

[In fact, let  $x''$  be in  $U(x, V)$ , and write  $x' = \{f_0 \cdot h_0\}$  and  $x'' = \{f \cdot h\}$ . Applying (1), we have

$$x'' = \{f \cdot h\} = \{f \cdot h_0 \cdot h_0^{-1} \cdot h\} = \{(f \cdot h_0) \cdot (h_0^{-1} \cdot h)\}. \quad (*)$$

On the right side,  $\{f \cdot h_0\} = x'$  because  $f \cdot h_0$  has endpoint the same as for  $f_0 \cdot h_0$  and because  $x = \{f\} = \{f_0\}$  implies

$$[f_0 \cdot h_0 \cdot h_0^{-1} \cdot f^{-1}] = [f_0 \cdot f^{-1}] \in H.$$

Also  $h_0^{-1} \cdot h$  is a path within  $V$  starting at  $e(x')$ . Thus (\*) shows that  $x''$  is in  $U(x', V)$ . Hence  $U(x, V) \subseteq U(x', V)$ .

In particular,  $x$  is in  $U(x', V)$ . Then we can repeat the above argument with  $x$  and  $x'$  interchanged to conclude  $U(x', V) \subseteq U(x, V)$ .]

(3) If  $x$  is in  $U(x_1, V_1) \cap U(x_2, V_2)$ , choose  $V$  in so that  $e(x)$  is in  $V$  and  $V \subseteq V_1 \cap V_2$ . Then

$$x \text{ is in } U(x, V) \quad \text{and} \quad U(x, V) \subseteq U(x_1, V_1) \cap U(x_2, V_2).$$

[In fact,  $U(x, V) \subseteq U(x, V_1) = U(x_1, V_1)$ , with the equality holding by (2). Similarly,  $U(x, V) \subseteq U(x_2, V_2)$ , and the assertion follows.]

Now let  $\mathcal{U} = \{U(x, V)\}$ .  $\mathcal{U}$  is a base for a topology of  $X$ , according to (3), and  $e$  is continuous since  $e^{-1}(V)$  is the union of all  $U(x, V)$  for  $x$  in  $e^{-1}(V)$ . Next,  $e$  is one-one on  $U(x, V)$ . [In fact, suppose  $x$  and  $x'$  are in  $U(x, V)$ ,  $e(x) = e(x')$ , and  $\{f\} = x$ . The path  $h$  that exhibits  $x'$  as in  $U(x, V)$  is then a loop in  $V$ , hence contractible. Thus  $x = \{f\} = \{f \cdot h\} = x'$ .] Also  $e$  maps  $U(x, V)$  onto  $V$ . [In fact, let  $v$  be given in  $V$ , and join  $e(x)$  to  $v$  by a path  $h$  in  $V$ . Then  $f \cdot h$  defines a point  $x'$  of  $X$  with  $e(x') = v$  and exhibits  $x'$  as in  $U(x, V)$ .] Moreover,  $e^{-1} : V \rightarrow U(x, V)$  is continuous because  $(e^{-1})^{-1}(U(x', V')) = V'$  is open. Thus  $e : U(x, V) \rightarrow V$  is a

homeomorphism. Since  $V$  is connected,  $U(x,V)$  is connected. The set  $U(x,V)$  is open by definition, and  $e^{-1}(V)$  is the union of the  $U(x,V)$  for  $x$  in  $e^{-1}(V)$ , with the  $U(x,V)$  disjoint or equal, by (2). Consequently the sets  $U(x,V)$  are the connected components of  $e^{-1}(V)$ . Hence  $V$  is evenly covered by  $e$ .

Now we prove the appropriate topological properties of  $X$ . Since  $U(x,V)$  is open and is homeomorphic with  $V$ ,  $X$  is locally pathwise connected. To see that  $X$  is pathwise connected, let  $x$  in  $X$  be given. Then  $x$  is a class of paths in  $Y$  starting at  $y_0$ . Pick such a path  $f$ . Then for  $0 \leq t \leq \|f\|$ ,  $\tilde{f}(t) = f|_{[0,t]}$  is a path from  $x_0$  to  $x$  in  $X$ . The space  $X$  is Hausdorff and regular because these properties are local properties and they hold in  $Y$ . To complete the argument that  $X$  is a separable metric space, it is enough, in view of the metrization theorem, to prove that  $X$  has a countable base.

To prove that  $X$  has a countable base, we may assume that  $\mathcal{V}$  is countable. For each  $V$  in  $\mathcal{V}$ , select one  $y$  in  $V$ . Then the number of sets  $U(x,V)$  with  $e(x) = y$  is the same as the number of elements of  $e^{-1}(y)$ , which is the number of classes of paths from  $y_0$  to  $y$ , modulo  $H$ . Since  $\pi(Y,y_0)$  is countable by the lemma,  $\mathcal{U}$  is countable.

Finally we are to show that  $e_*(\pi(X,x_0)) = H$ . Let  $\tilde{f}$  be a loop based at  $x_0$  and let  $f = e\tilde{f}$ . If  $x$  is the point in  $X$  corresponding to  $f$ , then  $f'(t) = f|_{[0,t]}$  is a path from  $x_0$  to  $x$  covering  $f$ , and so  $f' = \tilde{f}$ . Thus  $x$  is the endpoint of  $\tilde{f}$ , which is  $x_0$ . Consequently  $f$  and the

constant path represent the same point in  $X$ , and  $[f]$  must be in  $H$ . Thus  $e_*(\pi(X, x_0)) \subseteq H$ . In the reverse direction, let  $[f]$  be in  $H$ , and lift  $f$  to  $\tilde{f}$ . Again  $f$  represents  $x_0$  since  $[f]$  is in  $H$ , and it represents the endpoint of  $\tilde{f}$ . Thus  $\tilde{f}$  is a loop, and Proposition 5 shows that  $[f]$  is in  $e_*(\pi(X, x_0))$ . Hence  $e_*(\pi(X, x_0)) = H$ . This completes the proof.

By Theorems 3 and 2, if  $Y$  is locally simply connected,  $Y$  has a simply connected covering space that is unique up to equivalence. This space is called the universal covering space of  $Y$ .



D. Computation of fundamental groups.

We shall establish formulas for  $\pi(X)$  for some basic spaces  $X$  and then show how  $\pi(Y)$  can often be computed when a covering  $e : X \rightarrow Y$  is given and  $\pi(X)$  is known. This will allow us to compute  $\pi(X)$  in all cases of interest for Lie group theory.

Proposition 7.  $\mathbb{R}^n$  is simply connected.

Proof. Without loss of generality, let the base point be  $0$ .

Let  $f(t)$  be a loop based at  $0$ . Then  $h(u,t) = (1-u)f(t)$ ,  $0 \leq u \leq 1$ , exhibits  $f(t)$  as equivalent with a constant path.

Proposition 8. If  $X$  and  $Y$  are pathwise connected separable metric spaces with  $x_0 \in X$  and  $y_0 \in Y$ , then  $\pi(X \times Y, (x_0, y_0))$  is canonically isomorphic with  $\pi(X, x_0) \oplus \pi(Y, y_0)$ .

Proof. We map  $\pi(X \times Y, (x_0, y_0))$  to  $\pi(X, x_0) \oplus \pi(Y, y_0)$  by mapping a loop  $(f(t), g(t))$  based at  $(x_0, y_0)$  to  $([f(t)], [g(t)])$ . If  $p_X$  and  $p_Y$  denote the projection maps of  $X \times Y$  onto  $X$  and  $Y$ , respectively, then this map can be written as  $((p_X)_*, (p_Y)_*)$ ; hence it is a well-defined map on the fundamental group and is a group homomorphism. To see it is onto, let  $[f(t)] \in \pi(X, x_0)$  and  $[g(t)] \in \pi(Y, y_0)$ . Without loss of generality, we may assume  $0 \leq t \leq 1$  in both cases. Then  $[(f(t), g(t))]$  maps onto  $([f(t)], [g(t)])$ . Thus the map is onto. To see the map is one-one, suppose  $[f(t)] = 1$  and  $[g(t)] = 1$ . Again suppose  $0 \leq t \leq 1$  in both cases. Find  $h_f(u, t)$  with  $h_f(u, 0) = h_f(u, 1) = x_0$ ,  $h_f(0, t) = f(t)$ ,

and  $h(1,t) = x_0$ . Find  $h_g(u,t)$  similarly. Then  $h_{(f,g)}(u,t) = (h_f(u,t), h_g(u,t))$  exhibits  $[(f(t), g(t))]$  as equal to 1.

Proposition 9. Let  $X = \mathbb{R}^1 = \text{line}$  and  $Y = \{z \in \mathbb{C} \mid |z| = 1\}$  = circle. Let  $e : X \rightarrow Y$  be the map  $e(x) = e^{ix}$ . Then  $e$  is a covering map,  $\pi(Y) = \mathbb{Z}$ , and  $y(t) = e^{it}$ ,  $0 \leq t \leq 2\pi$ , is a generator of  $\pi(Y)$ .

Proof. Let  $e^{i\theta}$  in  $Y$  be given,  $0 \leq \theta \leq 2\pi$ . Choose  $V_\theta = \{e^{i\varphi} \mid |\varphi - \theta| < \pi/2\}$ . Then

$$e^{-1}(V_\theta) = \bigcup_{n=-\infty}^{+\infty} \{\varphi \in X \mid |\varphi - \theta - 2\pi n| < \pi/2\}$$

disjointly, with each set homeomorphic to  $V_\theta$ . Hence  $e$  is a covering map.

We use  $x_0 = 0$  as base point in  $X$ . By Proposition 7,  $\pi(X,0) = \{1\}$ . Let  $y_n(t) = e^{it}$ ,  $0 \leq t \leq 2\pi n$ . The lift of  $y_n$  is  $x_n(t) = t$ ,  $0 \leq t \leq 2\pi n$ , which is not a loop. By Proposition 5,  $[y_n]$  is not in  $e_*\pi(X,0) = \{1\}$ . By definition  $[y_n] = [y_1]^n$ . Hence  $y_1$  generates an infinite cyclic group contained in  $\pi(Y,1)$ .

Now suppose  $y^*(t)$ ,  $0 \leq t \leq 1$ , is any loop based at 1 in  $Y$ . Lift to a path  $x^*(t)$ ,  $0 \leq t \leq 1$ , based at 0. Then  $e(x^*(1)) = 1$  implies  $x^*(1) = 2\pi n$  for some integer  $n$ . Form  $y^* \cdot y_n^{-1}$ . This path lifts to a loop in  $X$  (necessarily contractible) and so is contractible in  $Y$ , by Proposition 4. Thus  $[y^*] = [y_n] = [y_1]^n$ . Hence  $y_1$  generates all of  $\pi(Y,1)$ .

Proposition 10. The  $n$ -sphere  $S^n$  is simply connected if  $n > 1$ .

Proof. This follows from the next lemma if we take

$$X_1 = S^n - \{(1, 0, \dots, 0)\} \quad \text{and} \quad X_2 = S^n - \{(-1, 0, \dots, 0)\}.$$

Lemma. Let  $X$  be pathwise connected and locally pathwise connected.

If there exist connected, simply connected open subsets  $X_1$  and  $X_2$  of  $X$  with  $X = X_1 \cup X_2$  and with  $X_1 \cap X_2$  connected, then  $\pi(X) = 1$ .

Proof. Fix  $x_0$  in  $X_1 \cap X_2$ . (If  $X_1 \cap X_2$  is empty, one of  $X_1$  and  $X_2$  must be empty, and the result follows.) Let  $a(t)$  be a loop based at  $x_0$ . Without loss of generality, we may assume  $0 \leq t \leq 1$ . To each point in  $(0, 1)$ , we can associate an open interval centered at the point such that  $a$  maps the closure of this interval completely into  $X_1$  or completely into  $X_2$ . For  $t = 0$ , similarly,  $a$  maps the closure of some (relatively) open interval  $[0, \epsilon)$  into one of  $X_1$  and  $X_2$ ; and for  $t = 1$ , we similarly obtain a (relatively) open interval  $(\epsilon', 1]$ . By compactness of  $[0, 1]$ , we extract a finite subcover and obtain a partition

$0 = t_0 < t_1 < \dots < t_n = 1$  so that  $a([t_{i-1}, t_i]) \subseteq X_{k_i}$  for  $1 \leq i \leq n$ . Construct a path  $b_i$ ,  $0 \leq i \leq n$ , from  $x_0$  to  $a(t_i)$  such that  $b_i$  remains in whatever  $X_j$ 's  $a(t_i)$  is in. This is possible since  $X_1 \cap X_2$  is pathwise connected. Let  $a_i(t) = a(t + t_{i-1})$  for  $0 \leq t \leq t_i$ ,  $1 \leq i \leq n$ . Then

$$[a] = [b_0 a_1 b_1^{-1}] [b_1 a_2 b_2^{-1}] \cdots [b_{n-1} a_n b_n^{-1}].$$

Fix  $i$ . Then  $a_i(t) \subseteq X_{k_i}$ , in particular for  $t = 0$  and  $t = t_i - t_{i-1}$ . So  $b_i^{-1} \subseteq X_{k_i}$  by construction. Thus

$b_{i-1} a_i b_i^{-1}$  lies in  $X_{K_i}$ . Since  $X_{K_i}$  is simply connected,  $[b_{i-1} a_i b_i^{-1}] = 1$ . Therefore  $[a] = 1$ .

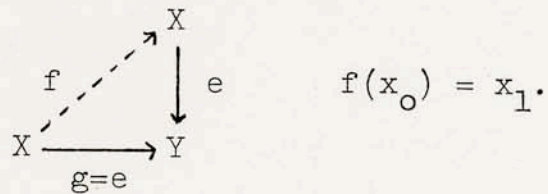
Let  $X$  be the universal covering space of a pathwise connected, locally pathwise connected, locally simply connected, separable metric space  $Y$ , and let  $e : X \rightarrow Y$  be the covering map. A deck transformation of  $X$  is a homeomorphism  $f$  of  $X$  that satisfies  $ef = e$ .

Theorem 4. Let  $e : X \rightarrow Y$  be a universal covering map (as above), and let  $e(x_0) = y_0$ . Then

- (1)  $\pi(Y, y_0)$  is in one-one correspondence with  $e^{-1}(y_0)$ , the correspondence being that  $x_1 \in e^{-1}(y_0)$  corresponds to  $[e(\text{any path from } x_0 \text{ to } x_1)]$ .
- (2) the group of deck transformations  $H$  of  $X$  acts simply transitively on  $e^{-1}(y_0)$ .
- (3) the correspondence that associates to a deck transformation  $f$  in  $H$  the member of  $\pi(Y, y_0)$  corresponding to  $f(x_0)$  is a group isomorphism of  $H$  onto  $\pi(Y, y_0)$ .

Proof. (1) Let  $[y(t)]$ ,  $y(0) = y_0$ , be in  $\pi(Y, y_0)$ . Let  $x(t)$  be its lift with  $x(0) = x_0$ , and let  $x_y$  be the endpoint. We make  $y \rightarrow x_y$ . This map is independent of the representative because a contractible loop based at  $y_0$  lifts to a loop in  $X$ , by Proposition 4. For the inverse correspondence, let  $x_1$  be given. Then  $[e(\text{any path from } x_0 \text{ to } x_1)]$  is well defined because any loop based at  $x_0$  is contractible,  $X$  being simply connected. The result is in  $\pi(Y, y_0)$  and defines the inverse map.

(2) If  $x_1$  is in  $e^{-1}(y_0)$ , we apply Theorem 1 to the diagram



Then  $g_x(\pi(X, x_0)) = \{1\}$ , and so  $f$  exists with  $ef = g$ . Arguing similarly, we see that  $f$  is a homeomorphism. This proves transitivity. The transitivity is simple by the uniqueness in Theorem 1.

(3) The map in question is one-one onto by (1) and (2). We show it is a homomorphism. Let  $f$  and  $g$  be in  $H$ . Then  $f \circ g$  corresponds to  $[ex(t)]$ , where  $x$  is any path from  $x_0$  to  $f(g(x_0))$ . If we choose  $x(t)$  to pass through  $f(x_0)$  on the way, we see that the problem is to show that  $[eu(t)] = [ev(t)]$  if

- $u(t)$  is a path from  $x_0$  to  $g(x_0)$
- $v(t)$  is a path from  $f(x_0)$  to  $f(g(x_0))$ .

Now  $[ef(u(t))] = [eu(t)]$  since  $f$  is a deck transformation, and  $f(u(t))$  is a path from  $f(x_0)$  to  $f(g(x_0))$ . Since  $X$  is simply connected,  $[ef(u(t))] = [ev(t)]$ . Therefore  $[eu(t)] = [ev(t)]$ .

E. Topological groups.

A topological group is a Hausdorff space that is a group such that multiplication and inversion are continuous.

Properties of a topological group  $G$ :

- (1) Left and right translations are homeomorphisms.

Proof. The continuity of multiplication implies the continuity of translation, by restriction. Translation is a homeomorphism because translation by the inverse element is continuous.

- (2) To each neighborhood  $V$  of the identity  $1$  corresponds a neighborhood  $U$  such that  $UU^{-1} \subseteq V$ .

Proof. This is the statement of continuity of the map

$$(x,y) \rightarrow xy^{-1} \text{ at } (x,y) = (1,1).$$

- (3)  $G$  is regular as a topological space.

Proof. If a point and a closed set are given, we may assume the point is  $1$ , by (1). Then find  $U$  in (2) for  $V = G - F$ . We claim that  $\bar{U} \subseteq V$ . In fact, if  $x$  is in  $\bar{U} - U$ , then  $xU$  is a neighborhood of  $x$  and so meets  $U$ . If  $y$  is in  $xU \cap U$ , then  $y = xu$ ,  $x = yu^{-1} \in UU^{-1} \subseteq V$ .

- (4) Let  $H$  be a closed subgroup, and let  $G/H$  have the quotient topology. Then the projection  $p : G \rightarrow G/H$  is open, and  $G/H$  is a Hausdorff regular space such that the action of  $G$  on  $G/H$  is jointly continuous. If  $G$  has a countable base, so does  $G/H$ .

Proof. The set  $E \subseteq G/H$  is open if and only if  $p^{-1}(E)$  is open. Let  $U$  be open in  $G$ . Then  $p^{-1}(p(U)) = \bigcup_{h \in H} Uh$ ,

which is open. The action of  $G$  on  $G/H$  is the composition

$$G \times G \xrightarrow{\text{multiplication}} G \xrightarrow{\text{quotient}} G/H,$$

which is continuous.

If  $x$  is in  $G/H$  and  $F$  is a disjoint closed subset, we may assume  $x = 1$  by this continuity. Choose a neighborhood  $U$  of  $1$  in  $G$  and a neighborhood  $N$  of  $1$  in  $G/H$  such that  $UN \subseteq G/H - F$ . As in (3), we claim  $\bar{N} \subseteq UN$ . [In fact, if  $y$  is in  $\bar{N} - N$ , then  $U^{-1}y$  is a neighborhood of  $y$  since  $p$  is open. Hence  $U^{-1}y \cap N$  is not empty; let  $z$  be a member. Then  $z = u^{-1}y$ , and  $y = uz \in UN$ .] Consequently  $G/H$  is regular. Next,  $p^{-1}(\{x\}) = xH$  is closed, and so  $\{x\}$  is closed. Thus  $G/H$  is a  $T_1$  regular space and must be Hausdorff. If  $\mathcal{U}$  is a base of  $G$ ,  $p\mathcal{U}$  is a base of  $G/H$  since  $p$  is open. Hence if  $G$  has a countable base, so does  $G/H$ .

- (5) Let  $H$  be a closed subgroup. If  $H$  and  $G/H$  are connected, then  $G$  is connected.

Proof. Let  $G = UU'V$  with  $U$  and  $V$  nonempty, open, and disjoint. For each  $x$  in  $G$ ,  $xH$  is connected, by (1). Since  $xH \subseteq UU'V$ , we must have  $xH \subseteq U$  or  $xH \subseteq V$ . Let  $A = \{x \in G \mid xH \subseteq U\}$  and  $B = \{x \in G \mid xH \subseteq V\}$ .

We have just seen that  $G = A \cup B$ . Since  $U$  and  $V$  are complements,  $A = \{x \in G \mid xH \cap V = \emptyset\}$ . It follows that

$$A = \{x \in G \mid xH \cap p^{-1}pV = \emptyset\}.$$

Consequently (4) shows that  $A$  is open in  $G$ . Similarly

$B$  is open in  $G$ . Since  $p^{-1}pA = A$  and  $p^{-1}pB = B$ , we see that  $G/H = pA \cup pB$  is a disjoint decomposition of  $G/H$  into open sets. By connectedness of  $G/H$ , one of  $pA$  and  $pB$  is empty, say  $pB$ . Then  $B$  is empty,  $A = G$ , and  $U = G$ . We conclude  $G$  is connected.

(6) Let  $H$  be a closed subgroup. If  $H$  and  $G/H$  are compact, then  $G$  is compact.

Proof. Let  $\mathcal{U}$  be an open cover of  $G$ . For each  $x$  in  $G$ ,  $\mathcal{U}$  is an open cover of  $xH$ . Let  $\mathcal{V}_x$  be a finite subcover and let

$$V_x = \{y \in G \mid yH \text{ is covered by } \mathcal{V}_x\}.$$

We prove  $V_x$  is open. Fix  $y$  in  $V_x$ . For each  $h \in H$  we can find  $U_h \in \mathcal{V}_x$  with  $yh \in U_h$ . By continuity of multiplication, we can then find open neighborhoods  $M_h$  of  $y$  and  $N_h$  of  $h$  such that  $M_h N_h \subseteq U_h$ . The open sets  $N_h$  cover  $H$ , and we let  $\{N_{h_j}\}$  be a finite subcover. Then  $M = \bigcap_j M_{h_j}$  is an open neighborhood of  $y$ . If  $z$  is in  $M$ , we show  $z$  is in  $V_x$ . Let  $h$  be given and let  $h \in N_{h_j}$ . Then  $zh \in M_{h_j} N_{h_j} \subseteq U_{h_j} \in \mathcal{V}_x$ . Hence  $z$  is in  $V_x$ , and we conclude  $V_x$  is open. The sets  $pV_x$  cover  $G/H$ . Since  $G/H$  is compact, let  $\{pV_{x_1}, \dots, pV_{x_n}\}$  be a finite subcover. Since  $V_{x_j} = p^{-1}pV_{x_j}$  for all  $j$ ,  $\{V_{x_1}, \dots, V_{x_n}\}$  covers  $G$ . Then  $\bigcup_j \mathcal{V}_{x_j}$  is a finite subcover of  $\mathcal{U}$ .

(7) If  $H$  is a closed normal subgroup, then  $G/H$  is a topological group.

Proof. Let  $V$  be a neighborhood of  $1$  in  $G/H$ . Choose by (4) a neighborhood  $U$  of  $1$  in  $G$  and a neighborhood  $N$  of  $1$  in  $G/H$  such that  $UN \subseteq V$ . Then  $pU$  and  $N$  are



neighborhoods of  $1$  in  $G/H$  such that  $(pU)N \subseteq V$ . Hence multiplication is continuous at  $(1,1)$ , therefore everywhere. If  $V$  is a neighborhood of  $1$  in  $G/H$  and  $U$  is an open neighborhood of  $1$  in  $G$  with  $U^{-1} \subseteq p^{-1}(V)$ , then  $pU^{-1} \subseteq V$ , and so inversion is continuous at  $1$ , hence everywhere. Finally  $G/H$  is Hausdorff by (4).

(8) Any open subgroup is closed.

Proof. If  $H$  is the open subgroup, then  $H = G - \bigcup_{x \notin H} xH$  shows  $H$  is closed.

(9) The identity component  $G_0$  of  $1$  in  $G$  is a closed normal subgroup.

Proof. The image of  $G_0 \times G_0$  under multiplication is a connected set containing  $1$ . Hence  $G_0 G_0 \subseteq G_0$ . Similarly  $G_0^{-1} \subseteq G_0$ . So  $G_0$  is a group. It is closed because components are closed in any topological space. It is normal because the same argument shows  $xG_0 x^{-1} \subseteq G_0$  for each  $x$  in  $G$ . If  $G$  is locally connected, its components are open, and  $G_0$  in particular is open. Hence so are the cosets of  $G_0$ . Since  $p : G \rightarrow G/G_0$  is an open mapping,  $G/G_0$  has every subset open.

(10) If  $G$  is connected, then any neighborhood of  $1$  generates  $G$ .

Proof. Let  $V$  be a neighborhood of  $1$ , and choose an open neighborhood  $U$  of  $1$ , by continuity of inversion, such that  $U = U^{-1} \subseteq V$ . Set  $H = \bigcup_{n=1}^{\infty} U^n$ , with  $n$  factors in the  $n^{\text{th}}$  term. Then  $H$  is nonempty, is open, and is a subgroup of  $G$ , since  $U = U^{-1}$ . By (8),  $H$  is closed. Therefore  $H = G$ . Then  $V$  must generate  $G$  since  $U \subseteq V$ .

(11) If  $H$  is a discrete subgroup of  $G$  (i.e., if every subset of  $H$  is relatively open), then  $H$  is a closed subgroup.

Proof. Choose a neighborhood  $V$  of  $1$  in  $G$  so that

$H \cap V = \{1\}$ , and choose an open neighborhood  $U$  of  $1$  with  $UU \subseteq V$ , by (2). If  $x$  is in  $\bar{H} - H$ , then  $U^{-1}x$  is a neighborhood of  $x$  and so must contain a member  $h$  of  $H$ . Write  $u^{-1}x = h$ . Then  $u = xh^{-1}$  is in  $\bar{H} - H$  and is in  $U$ . Since it is a limit point of  $H$ , we can find  $h' \neq 1$  such that  $h' \in Uxh^{-1}$ . Then  $h'$  is in  $U(xh^{-1}) \subseteq UU \subseteq V$ , and  $h' = 1$ , contradiction.

(12) If  $G$  is connected, then any discrete normal subgroup  $H$  of  $G$  lies in the center of  $G$ .

Proof. If  $h$  is in  $H$ , then  $ghg^{-1}$  is in  $H$ , and by continuity  $ghg^{-1}$  is in the same component of  $H$  as  $h1h^{-1} = h$ . Since  $H$  is discrete,  $ghg^{-1} = h$  and  $h$  is central.

Notation for the remainder of the section:

$G$  = pathwise connected, locally pathwise connected, separable metric topological group

$H$  = closed subgroup of  $G$ , locally pathwise connected (but not necessarily connected)

Proposition 11. (a) The quotient  $G/H$  is pathwise connected and locally pathwise connected.

(b) If  $H_0$  is the identity component of  $H$ , then the natural map of  $G/H_0$  onto  $G/H$  is a covering map.

Proof. (a) If  $x$  and  $y$  are given in  $G/H$ , take their preimages in  $G$ , connect them by a path, and map back down to  $G/H$  to see that  $G/H$  is pathwise connected. If  $x$  is in an open subset  $U$  of  $G/H$ , take a preimage  $\tilde{x}$  in  $p^{-1}(x)$ , and choose a pathwise connected open subset  $V \subseteq p^{-1}(U)$  with  $\tilde{x}$  in  $V$ . Then  $p(V)$  is a pathwise connected open subneighborhood of  $U$  and shows that  $G/H$  is locally pathwise connected.

(b) Let  $p_0 : G \rightarrow G/H_0$ ,  $p : G \rightarrow G/H$ , and  $q : G/H_0 \rightarrow G/H$  be the projection maps. Then  $q^{-1}(U) = p_0(p^{-1}(U))$  is open if  $U$  is open, and so  $q$  is continuous. Also  $q(U) = p(p_0^{-1}(U))$  shows that  $q$  is open. Since  $H$  is locally connected, we can find an open neighborhood  $U$  of  $1$  in  $G$  with  $U \cap H = H_0$  (i.e.,  $H_0$  is relatively open in  $H$ ). Next, find an open connected  $V$  about  $1$  with  $V^{-1}V \subseteq U$ ; this is possible by (2). Then  $V^{-1}V \cap H \subseteq H_0$ . Form the sets

$$VhH_0, \quad h \in H.$$

These sets are open and connected in  $G/H_0$  and their union is  $q^{-1}(VH)$ . If  $Vh_1H_0 \cap Vh_2H_0$  is not empty, then the same thing is true first of  $VH_0h_1 \cap VH_0h_2$ , then of  $V^{-1}VH_0 \cap H_0h_2h_1^{-1}$ , and finally of  $V^{-1}V \cap H_0h_2h_1^{-1}$ . Since  $V^{-1}V \cap H \subseteq H_0$ ,  $h_2h_1^{-1}$  is in  $H_0$ , and so  $Vh_1H_0 = Vh_2H_0$ . In short, distinct sets  $VhH_0$  are disjoint. Thus  $q$  is one-one continuous open from each  $VhH_0$  onto  $VH$ , and  $VH$  is evenly covered. By translation we see that each  $gVH$  is evenly covered. Hence  $q$  is a covering map.

Corollary. (a) If  $G/H$  is simply connected, then  $H$  is connected.

(b) If  $H$  is discrete, then the quotient map of  $G$  onto  $G/H$  is a covering map.

Proof. (a) If  $G/H$  is simply connected, then  $q : G/H_0 \rightarrow G/H$  cannot be a nontrivial covering because the diagram

$$\begin{array}{ccc}
 & & G/H_0 \\
 & \nearrow f & \downarrow q \\
 G/H & \xrightarrow{g = \text{identity}} & G/H
 \end{array}$$

says that  $f$  exists and is  $q^{-1}$ . So  $H_0 = H$ , and  $H$  is connected.

(b) This is the special case of Proposition 11b in which  $H_0 = \{1\}$ .

Proposition 12. Let  $G$  be simply connected and let  $H$  be a discrete subgroup of  $G$ , so that  $p : G \rightarrow G/H$  is a covering map. Then the group of deck transformations of  $G$  is exactly the group of right translations in  $G$  by members of  $H$ .

Consequently  $\pi(G/H, 1 \cdot H)$  is canonically isomorphic with  $H$ .

Proof. Let  $f_h(g) = gh$ . Then  $pf_h(g) = ghH = gH = p(g)$ , so that  $pf_h = p$  and  $f_h$  is a deck transformation. Since  $p^{-1}(1 \cdot H) = H$ , the group of translations  $f_h$  is simply transitive on  $p^{-1}(1 \cdot H)$  and by Theorem 4 is the full group of deck transformations.

Again by Theorem 4,  $\pi(G/H, 1 \cdot H) \cong H$ .

Proposition 13. Let  $G$  be locally simply connected, let  $\tilde{G}$  be the universal covering space with covering map  $e : \tilde{G} \rightarrow G$ , and let  $\tilde{1}$  be in  $e^{-1}(1)$ . Then there exists a unique multiplication on  $\tilde{G}$  that makes  $\tilde{G}$  into a topological group in such a way that  $e$  is a group homomorphism.

Proof. Let  $m : G \times G \rightarrow G$  be multiplication, and let

$\varphi : \tilde{G} \times \tilde{G} \rightarrow G$  be the composition  $m \circ (e, e)$ . Since

$$\{1\} = \varphi_* (\pi(\tilde{G} \times \tilde{G}, \tilde{I} \times \tilde{I})) \subseteq e_* (\pi(\tilde{G}, \tilde{I})),$$

there exists a unique continuous  $\tilde{\varphi} : \tilde{G} \times \tilde{G} \rightarrow \tilde{G}$  such that  $\varphi = e\tilde{\varphi}$  and  $\tilde{\varphi}(\tilde{I}, \tilde{I}) = \tilde{I}$ . This  $\tilde{\varphi}$  is the multiplication on  $\tilde{G}$  (and it is the only possible candidate for the multiplication).

It is associative by unique lifting because

$$\begin{aligned} e\tilde{\varphi}(\tilde{\varphi}(x, y), z) &= \varphi(\tilde{\varphi}(x, y), z) = \varphi(x, y)(ez) = ((ex)(ey))ez \\ &= ex((ey)(ez)) = e\tilde{\varphi}(x, \tilde{\varphi}(y, z)) \end{aligned}$$

and  $\tilde{\varphi}(\tilde{\varphi}(\tilde{I}, \tilde{I}), \tilde{I}) = \tilde{I} = \tilde{\varphi}(\tilde{I}, \tilde{\varphi}(\tilde{I}, \tilde{I}))$ . It has  $\tilde{I}$  as identity because  $\tilde{\varphi}(\tilde{I}, \cdot)$  and  $\tilde{\varphi}(\cdot, \tilde{I})$  cover the identity and send  $\tilde{I}$  to  $\tilde{I}$ . To obtain existence of inverses, we lift the composition inversion  $\circ e : \tilde{G} \rightarrow G$  to a map of  $\tilde{G}$  to  $\tilde{G}$  sending  $\tilde{I}$  to  $\tilde{I}$ .

Finally  $e$  is a group homomorphism because

$$e(\tilde{\varphi}(x, y)) = \varphi(x, y) = m(ex, ey).$$

The group  $\tilde{G}$  of Proposition 13 is called the universal covering group of  $G$ .

In the homework, we shall obtain information about rotation groups  $SO(n)$  and special unitary groups  $SU(n)$  and their fundamental groups. The information will be obtained by induction by means of the following proposition.

Proposition 14. If  $G/H$  is simply connected and if  $G$  and  $H$  are locally simply connected, then  $\pi(G, 1)$  is isomorphic to a quotient group of  $\pi(H, 1)$ .

Remarks: In the homework, we shall see that the fundamental group of a group is necessarily abelian.

Proof. Let  $\tilde{G}$  be the universal covering group of  $G$  and let  $e : \tilde{G} \rightarrow G$  be the covering homomorphism. Set  $\tilde{H} = e^{-1}(H)$ . Define  $e_0 : \tilde{G}/\tilde{H} \rightarrow G/H$  by  $e_0(\tilde{g}\tilde{H}) = e(g)H$ . Then  $e_0$  is well defined, one-one, and onto. An open set in  $\tilde{G}/\tilde{H}$  is mapped under  $e_0$  to its preimage in  $\tilde{G}$ , to its image in  $G$ , and to its image in  $G/H$ . So  $e_0$  is open. Similarly  $e_0^{-1}$  is open, and so  $e_0$  is a homeomorphism. Therefore  $\tilde{G}/\tilde{H}$  is simply connected.

We claim that  $\tilde{H}$  is locally pathwise connected. In fact, if  $U$  is a connected open neighborhood of  $\tilde{l}$  in  $\tilde{G}$  mapped homeomorphically by  $e$ , then  $e(U) \cap H$  contains a relatively open pathwise connected neighborhood  $V$  of  $l$  since  $H$  is locally pathwise connected. Then  $U \cap e^{-1}(V)$  is homeomorphic with  $V$  and is the required neighborhood of  $\tilde{l}$  in  $\tilde{H}$ .

By (a) of the Corollary to Proposition 11,  $\tilde{H}$  is connected. By (b) of the Corollary, the map  $e|_{\tilde{H}} : \tilde{H} \rightarrow H$  is a covering map. Now by Proposition 11,  $\pi(G, l) \cong \ker e = \ker e|_{\tilde{H}}$ . Hence the result follows from the following lemma applied to  $H$ .

Lemma. If  $G$  is locally simply connected and  $e : G' \rightarrow G$  is a covering homomorphism and  $\tilde{e} : \tilde{G} \rightarrow G'$  is the universal covering group and homomorphism, then  $\ker e \cong \ker e\tilde{e}/\ker \tilde{e}$ .

Proof. The map of  $\ker e\tilde{e}/\ker \tilde{e}$  to  $\ker e$  is induced by  $\tilde{e}$ .

Namely if  $\tilde{g}$  is in  $\ker e\tilde{e}$ , then  $\tilde{e}(\tilde{g}) \in \ker e$  and  $\tilde{g}\tilde{k} \in \ker e\tilde{e}$  for  $\tilde{k} \in \ker \tilde{e}$  map to the same member of  $\ker e$  under  $\tilde{e}$ .

Since  $\tilde{e}$  is a homomorphism, so is the induced map. The map

is onto because if  $k$  is in  $\ker e$  and  $\tilde{k}$  is in  $\tilde{e}^{-1}(k)$ , then  $\tilde{e}(\tilde{k}) = k$ . To see it is one-one, let  $\tilde{e}(g) = 1$  in  $\ker e$ . Then  $g$  is in  $\ker \tilde{e}$ ; that is,  $g$  is trivial in  $\ker \tilde{e}/\ker \tilde{e}$ . So the map is an isomorphism onto.